

International Union for the Protection of New Varieties of Plants

Meeting on the development of an electronic application form

UPOV/EAF/15/3

Fifteenth Meeting Geneva, March 26, 2020 Original: English

Date: September 15, 2020

REPORT

adopted by the Meeting on the development of an electronic application form

Disclaimer: this document does not represent UPOV policies or guidance

WELCOME AND OPENING

- 1. The Fifteenth Meeting on the Development of an Electronic Form (EAF/15 meeting), which was organized via electronic means, was opened and chaired by Mr. Peter Button, Vice Secretary-General of UPOV, who welcomed the participants.
- 2. The list of participants is reproduced in Annex I to this report.

APPROVAL OF THE AGENDA

3. The meeting adopted the draft agenda as proposed in document UPOV/EAF/15/1.

DEVELOPMENTS CONCERNING UPOV PRISMA

4. The meeting considered document UPOV/EAF/15/2 "Developments concerning UPOV PRISMA" and received a presentation by the Office of the Union on the latest developments concerning UPOV PRISMA, a copy of which is reproduced in Annex II to this document.

Current Version of UPOV PRISMA

- 5. The participants noted that version 2.4 of UPOV PRISMA (V2.4) was released on February 24, 2020, with the following new functionalities:
 - new interface, making it easier to navigate through the forms and with a direct communication tool to the UPOV PRISMA team;
 - re-introduction of UPOV PRISMA fee (90 Swiss francs per PVP application made using UPOV PRISMA). This fee is in addition to the PVP application fee(s) for the UPOV member concerned. In the case of the United Kingdom, the UPOV PRISMA fee is paid by the United Kingdom PVP Office.

Use of UPOV PRISMA

The participants noted the information in relation to the use of UPOV PRISMA (as of March 24, 2020), as reported in Annex III of this document.

Version 2.5 of UPOV PRISMA

7. The participants noted that following authorities had indicated their intention to be part of Version 2.5:

Authority		intention to participate in Version 2.5	Anticipated crop coverage in Version 2.5
Japan	JP	✓	To be confirmed
Singapore	SG	✓	All genera and species

8. The participants noted the following anticipated changes in relation to coverage of crop and species in UPOV PRISMA Version 2.5, compared to Version 2.4:

Authority		Anticipated new crop coverage in Version 2.5	
European Union QZ		Maize	
China	CN	Selected crops	

- 9. The participants noted that the following new functionalities were planned to be included in UPOV PRISMA Version 2.5:
 - New version of payment interface (Epay V2) to facilitate payment by PayPal, China Union Pay and Bulk payment;
 - National Listing for United Kingdom;
 - Bulk upload functionality;
 - Addition of national characteristics for the Netherlands;
 - Customization of OAPI form layout;
 - Machine-to-machine communication for Japan;
 - Synchronization between UPOV PRISMA and CPVO for TQ changes;
 - Machine-to-machine communication with Kenya and Uruguay.
- 10. The participants noted that UPOV PRISMA Version 2.5 was anticipated to be released in November 2020, with a possible intermediate version if necessary and according to available resources for the following elements:
 - Epay V2;
 - OAPI Layout;
 - · National characteristics for Netherlands;
 - Japan (pilot crops).

Possible future developments

- 11. The participants noted that following members have expressed an interest to join UPOV PRISMA at a future date: Bosnia and Herzegovina, Nicaragua, United Republic of Tanzania and Uzbekistan.
- 12. The participants noted that the following new functionalities were being considered for a future Version of UPOV PRISMA:
 - Information on DUS cooperation (DUS Arrangement Recommendation Tool (DART));
 - More PVP offices with machine-to-machine links/ communication to UPOV PRISMA;
 - Machine translation:
 - More countries with National Listing;
 - Crop-specific TQs beyond Test Guidelines.
- 13. The participants also noted the plans to develop a "PVP Office management interface" to assist members of the Union to receive, process and manage application data from UPOV PRISMA.

Variation in forms

14. The participants noted that in relation to the report on variation between the Technical Questionnaire of participating authorities in UPOV PRISMA between UPOV members and the UPOV model application form, there had not been sufficient resources to produce a report for the EAF/15 meeting. It therefore agreed to invite the Office of the Union to present a report at the EAF/16 meeting.

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Communication and promotion

15. The participants noted that the focus for communication in 2020 would be on registered UPOV PRISMA users.

DATE OF NEXT MEETING

16. The next meeting of the EAF (EAF/16 meeting) was agreed to be held in Geneva on the evening of October 27, 2020*, with participation by electronic means.

31. This report was adopted by correspondence.

[Annexes follow]

Since the adoption of the EAF/15 Report, the date of the EAF/16 meeting was changed to October 23, 2020, from 12 p.m. to 2 p.m., by electronic means only.

UPOV/EAF/15/3

ANNEXE I / ANNEX I / ANEXO I

LISTE DES PARTICIPANTS / LIST OF PARTICIPANTS / LISTA DE PARTICIPANTES

(dans l'ordre alphabétique des noms français des membres / in the alphabetical order of the French names of the Members / por orden alfabético de los nombres en francés de los miembros)

I. MEMBRES / MEMBERS / MIEMBROS

ORGANISATION AFRICAINE DE LA PROPRIÉTÉ INTELLECTUELLE (OAPI) / AFRICAN INTELLECTUAL PROPERTY ORGANIZATION (OAPI) / ORGANIZACIÓN AFRICANA DE LA PROPIEDAD INTELECTUAL (OAPI)

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CHINE / CHINA

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<u>UNION EUROPÉENNE / EUROPEAN UNION / UNIÓN EUROPEA</u>

Jean MAISON (Mr.), Deputy Head, Technical Unit, Community Plant Variety Office (CPVO), Angers (e-mail: maison@cpvo.europa.eu)

FRANCE / FRANCIA

Yvane MERESSE (Mme), Responsable INOV, Groupe d'Étude et de Contrôle des Variétés et des Semences (GEVES), Beaucouzé Cedex

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Catherine MALATIER (Mme), Assistante INOV, Groupe d'étude et de contrôle des variétés et des semences (GEVES), Beaucouzé Cedex

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JAPON / JAPAN / JAPÓN

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PAYS-BAS / NETHERLANDS / PAÍSES BAJOS

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NORVÈGE / NORWAY / NORUEGA

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VIET NAM / VIETNAM

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II. ORGANISATIONS / ORGANIZATIONS / ORGANIZACIONES

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Jean DONNENWIRTH (Mr.), Delegate and Global PVP Lead, Corteva, Aussonne, France (e-mail: jean.donnenwirth@corteva.com)

COMMUNAUTÉ INTERNATIONALE DES OBTENTEURS DE PLANTES ORNEMENTALES ET FRUITIÈRES À REPRODUCTION ASEXUÉE (CIOPORA) /

INTERNATIONAL COMMUNITY OF BREEDERS OF ASEXUALLY REPRODUCED ORNAMENTAL AND FRUIT PLANTS (CIOPORA) / COMUNIDAD INTERNACIONAL DE OBTENTORES DE VARIEDADES ORNAMENTALES Y FRUTALES DE REPRODUCCIÓN ASEXUADA (CIOPORA)

Hélène JOURDAN (Mme), Secrétaire générale, Association des obtenteurs horticoles européens (AOHE), Responsable COV & Marques, Meilland International S.A., Le Cannet des Maures, France (e-mail: licprot@meilland.com)

III. BUREAU / OFFICER / OFICINA

Peter BUTTON (Mr.), Chair

IV. BUREAU DE L'UPOV / OFFICE OF UPOV / OFICINA DE LA UPOV

Peter BUTTON (Mr.), Vice Secretary-General

Yolanda HUERTA (Ms.), Legal Counsel and Director of Training and Assistance

Ben RIVOIRE (Mr.), Head of Seed Sector Cooperation and Regional Development (Africa, Arab Countries)

Leontino TAVEIRA (Mr.), Head of Technical Affairs and Regional Development (Latin America, Caribbean)

Hend MADHOUR (Ms.), IT Officer

Caroline ROVERE (Ms.), Communication and Events Officer

Ariane BESSE (Ms.), Administrative Assistant

Urška ČERV (Ms.), Agency Worker

Wen WEN (Ms.), Fellow

[L'annexe II suit/ Annex II follows/ Sigue el Anexo II]

ANNEX II

Meeting on the development of an Electronic Application Form

Document EAF/15/2
"Developments concerning
UPOV PRISMA"

Geneva March 26, 2020

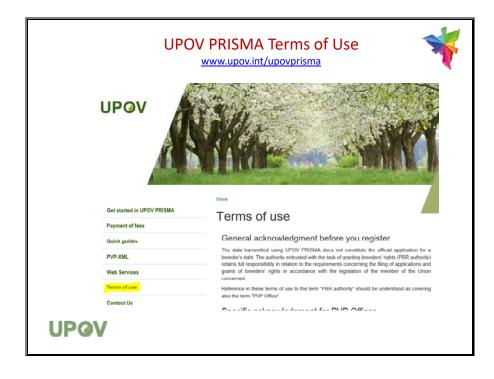


PREVIEW

- UPOV PRISMA Terms of Use
- UPOV PRISMA Version 2.4
- UPOV PRISMA Version 2.5
- Future developments
- Communication & Promotion



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UPOV PRISMA Terms of Use

www.upov.int/upovprisma

Specific acknowledgment for PVP Offices

The PBR authority agrees to these terms of use and agrees to follow the user guide for the PVP Offices for application data transmitted using UPOV PRISMA.

In particular, the PBR authority agrees to the following:

- · Be part of UPOV PRISMA
- · Provide the Office of the Union with the most recent and updated application forms (including Technical Questionnaire)
- Provide the Office of the Union with relevant verified translations (if applicable)
 Complete the "Information for applicants" (PVP Office procedures) to be made available for applicants and provide updates as necessary
- Test and check the output forms during the relevant Test campaigns
 Specify all documents required for accepting a completed application and ensure all
- required information can be provided in UPOV PRISMA (with an exception for the electronic signature, if appropriate)
- Accept application data submitted via UPOV PRISMA without the need for further data or information (except for signature)

 Accept application data by machine-to-machine data transfer or as provided and presented in the output format (PDF), or provide a stylesheet or information that should be displayed on the PDF to be acceptable (e.g. logo)
- Inform the Office of the Union of any changes or updates in the application forms (including Technical Questionnaire) and accept data according to the earlier version until UPOV PRISMA is updated - UPOV PRISMA will be updated at the next release or within 6 months following notification thereof, whatever is the soon entire.
- · Acknowledge receipt in UPOV PRISMA of any application PRISMA within 7 days.

 • PVP Office not to charge a higher application fee when receiving application data through
- UPOV PRISMA
- Communicate information to applicants on the possibility to use UPOV PRISMA
- Permit UPOV to disclose data about the number and crop types of applications received via UPOV PRISMA (no data on individual application will be disclosed)

New and as agreed at EAF/14 meeting



UPOV PRISMA Terms of Use

www.upov.int/upovprisma



- Approved by all participating Authorities *
- With the addition of the following disclaimer and footnotes

Disclaimer for the Community Plant Variety Office of the European Union (CPVO): The CPVO accepts to make all best efforts so that the required information submitted through UPOV PRISMA can be accepted by the CPVO in due time. EU plant variety rights applications will only be accepted if all requirements under the applicable legislation are complied with.

¹⁾ In the case of the CPVO, France and the Netherlands, additional useful information, including TQ characteristics for some crops, may need to be provided to the relevant PVP Office by the applicant after the data is submitted via UPOV PRISMA.

²⁾ In the case of the CPVO, if the data submitted under an earlier version of an application form or technical questionnaire is not sufficient for the purpose of filing an application for an EU plant variety right, the applicant will be asked by the CPVO to complement with the data required.

³⁾ In the case of the African Intellectual Property Organization (OAPI), CPVO, France and the Netherlands, the acknowledgement of receipt will be made by their own system and according to the applicable legislation.



*except the African Intellectual Property Organization (OAPI), Bolivia (Plurinational State of) and Paraguay

UPOV PRISMA Terms of Use

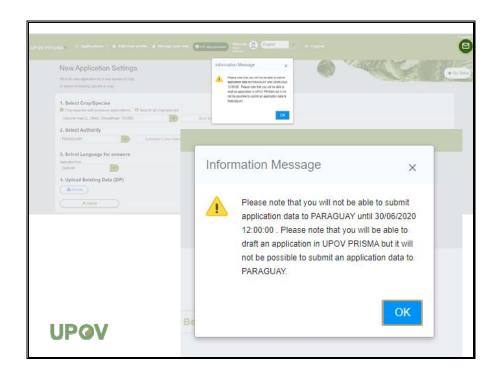
www.upov.int/upovprisma



For OAPI, Bolivia (Plurinational State of) and Paraguay:

- more time was needed to finalize the requirements of the new Terms of Use for UPOV PRISMA
- Until the required information is provided, applicants are not be able to submit application data via UPOV PRISMA for those participating authorities





Application data processing and future potential developments



<u>Indication of the filing date in UPOV PRISMA:</u> (see document EAF/15/2, paragraph 11)

11. At the EAF/14 meeting it was agreed that the proposal to indicate the filing date in UPOV PRISMA within 7 days after it is duly filed with the participating PVP Office, should be discussed at a future EAF meeting.

UPGV

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UPGV

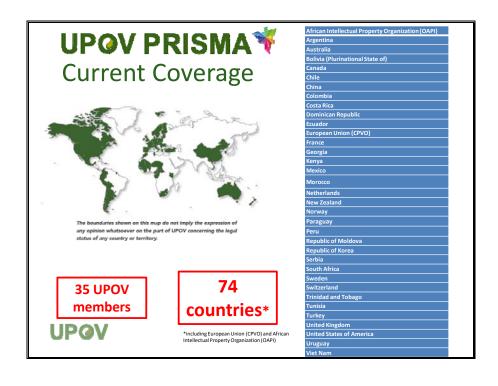
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Status - UPOV PRISMA Version 2.4

- Released on February 24, 2020
- News:
 - New interface
 - Re introduction of UPOV PRISMA fee (90 Swiss francs per PVP application made using UPOV PRISMA) This fee is in addition to the PVP application fee(s) for the UPOV member concerned.



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UPOV PRISMA Current Crops/Species Coverage African Intellectual Property ΔΙΙ Organization (OAPI) New Zealand English All Selected* All Norwegian Selected* Paraguay Spanish Bolivia (Plurinational State of) Peru All Republic of Moldova Romanian Selected* French Republic of Korea Selected* Korean Chile All Serbia Serbian Selected* China Chinese Selected* South Africa All English Spanish Costa Rica Sweden Swedish All Spanish Switzerland English Dominican Republic Spanish Selected* Trinidad and Tobago All English Ecuador Spanish European Union EU langua Selected * Tunisia French ΑII France All Turkey Turkish Georgian Selected* United Kingdom English All Kenya English United States of America English Selected* All Mexico Spanish All Spanish Uruguay Selected* Morocco French Viet Nam Selected* All Netherlands

UPOV PRISMA♥ Current Crops/Species Coverage

*Crops and species covered by participating UPOV members that do not cover

- 14			
2011	CFOD	S/SD6	cies:

Argentina	Apple (Fruit Varieties), Barley, Black Radish, Broad Bean, Brussels Sprouts, Gauliflower, Chili, Chimese Catbbage, Cotton, Egg Plant, Fig, Grapevine, Green onion, Grey Shallot, Hof Peper, Indian mustard, Japanese Bunching Onion, Maize, Melon, Oriental Radish, Papaya, Paprika, Potalo, Rose, Saivia, Shallot, Soya Bean, Spinach, Sugarcane, Sweet Pepper, Tomato Rootstocks, Watermelon, Welsh Onion, Wheat, Witloof Chicony.	
Canada	All genera & species excluding algae, bacteria and fungi	
China	Lettuce	
European Union	All genera & species excluding agricultural crops apart from Bent, Cocksfoot, Fescue, Meadow Fescue, Potato, Soya Bean, Ryegrass, Timothy	
Georgia	Apple (Fruit Varieties), Barley, Blackberry, Blueberry, Cherry (Sweet Cherry), Chick-Pea, Field Bean, French Bean, Hazelnut, Lentil, Maize, Oats, Peach, Pear, Potato, Raspberry, Soya Bean, Sunflower, Tomato, Walnut, Wheat	
Morocco	Melon	
Paraguay	Soya bean	
Republic of Moldova	Apple (Fruit Varieties), Barley, Blackberry, Chili, European Plum, Grapevine, Hot Pepper, Lettuce, Malze, Oats, Onion, Paprika, Pea, Pear, Potato, Raspberry, Rose, Rye, Sour cherry, Soya Bean, Strawberry, Sunflower, Sunflower, Sweet Peoper, Tomato, Walnut, Wheat	
Republic of Korea	Apple (Fruit Varieties), Lettuce, Potato, Rose, Soya Bean	
Serbia	Apple (Fruit Varieties), Blueberry, Raspberry, Rose	
Trinidad and Tobago	Anthurium, Bromeliaceae, Heliconiaceae, Orchidaceae, Sterculiaceae, Cajanus cajans Vigna sp., Theobroma cacao L.	
United States of America	192 crops including Lettuce, Potato, Soya Bean, Wheat	
Viet Nam	Anthurium, Carnation, Chrysanthemum, Cucumber, Dahlia, Dragon Fruit, Japanese Bunching Onion, Kohlrabi, Maize, Mango, Oranges (Citrus L Group 2), Peanut, Poinsettia, Potato, Ricc, Rose, Soya Bean, Sunflower, Tomato, Welsh Onion, White-Red and Savoy Cabbage	

UPOV PRISMA Fee - 2020

From February 24, 2020

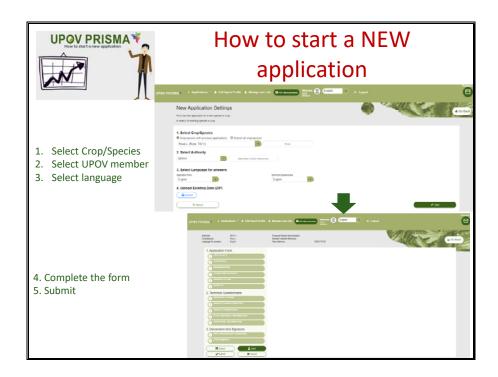


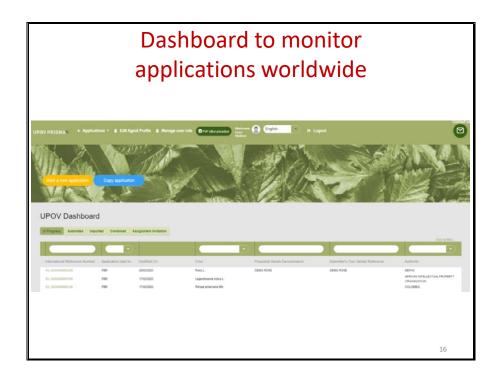
PVP application fee(s) for the UPOV member concerned (according to the

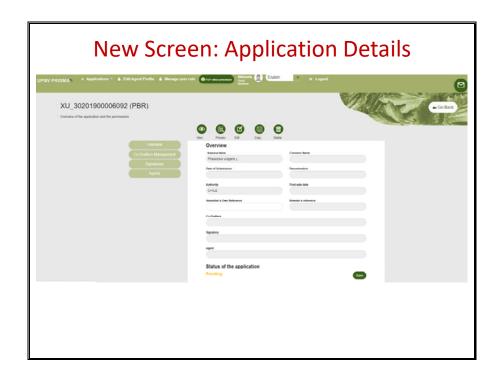
Payment of the UPOV PRISMA fee: is made via the e-pay system (by credit card or bank transfer) at the time of making a submission to a PVP Office

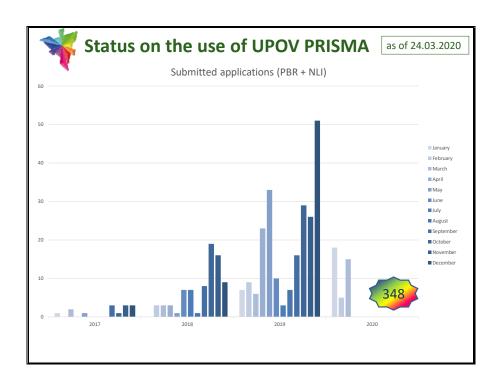
 ${\bf Note} : {\sf For the \ United \ Kingdom}, \ {\sf the \ UPOV \ PRISMA \ fee \ will \ be \ paid \ by \ the \ United \ Kingdom \ PVP \ Office$

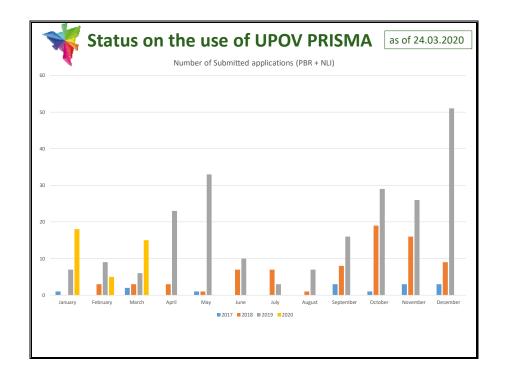
National Listing: Where it is possible in a UPOV member to use UPOV PRISMA also for applications for National Listing, only one UPOV PRISMA fee will be charged (90 Swiss francs) for the same variety (even if the two applications are made at different times).

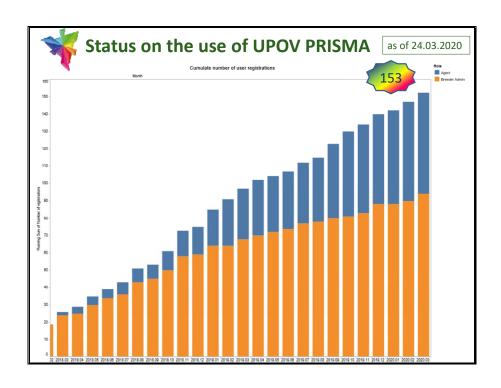


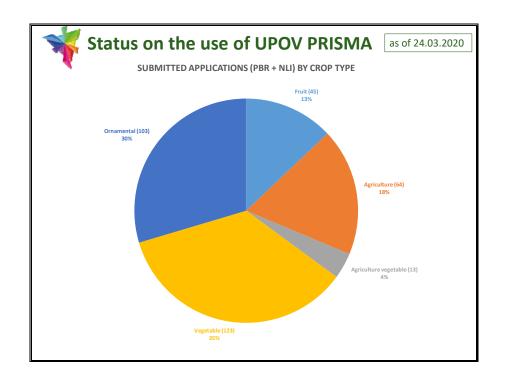


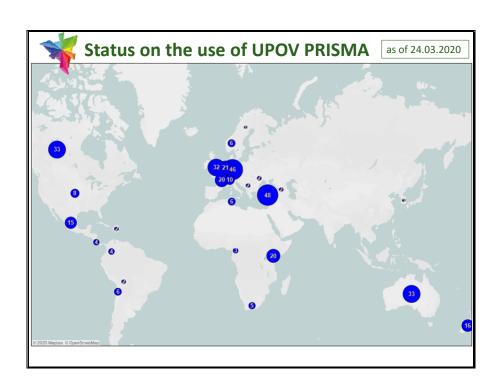


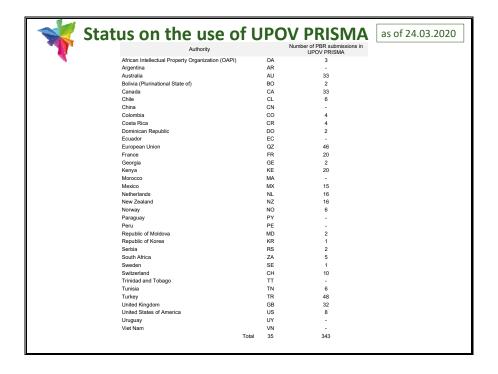












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New Coverage UPOV PRISMA **Version 2.5**



- Japan:
 - Phase 1:
 - Pilot crops: Rose, Lettuce and Strawberry
 - Phase 2 (subject to available resources):
 - 175 crops

Machine to Machine communication

ST.96 WIPO standard: Request to update Name component to cover Japan needs

New Coverage UPOV PRISMA **Version 2.5**



• Singapore : All crops and species

Anticipated crop coverage expansion UPOV PRISMA **Version 2.5**

Authority	Crop Coverage Expansion		
European Union	Maize		
China	Selected Crops		

Coverage Expansion UPOV PRISMA Version 2.5



- European Union:
 - Maize: customized approach
 - Will be used as a proof of concept (POC) for Bulk Upload functionality

Coverage Expansion UPOV PRISMA Version 2.5

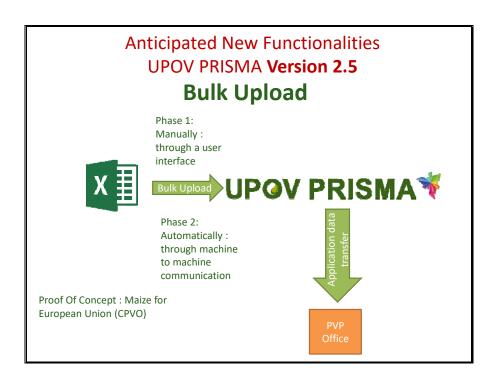


- China:
 - Compare MARA's Technical Questionnaire with UPOV TQs.
 - Group 1: Same or similar, 54 crops;
- + Soya Bean
- Group 2: Completely different TQs, 57 crops;
- Group 3: No National TQ for 21 crops for which there is a UPOV TQ;
- Group 4: National TQ for 46 crops for which there is no UPOV TQ;

New Functionalities UPOV PRISMA Version 2.5



- National Listing for United Kingdom:
 - Application Form and TQ questions for PBR and NLI applications are differents
 - Add the possibility to apply for :
 - PBR only
 - NLI only
 - Both
 - Same approach as Netherlands for UPOV PRISMA fees.
 - One fee for PBR and NLI



New **Functionalities UPOV PRISMA** Version 2.5

 Customization of OAPI layout:

Organisation Africaine de la Propriété Intellectuelle



DEMANDE DE CERTIFICAT D'OBTENTION VEGETALE

(Remplir électroniquement ce formulaire, sans rature ni surcharge)

Cadre réservé à l'Administratio	n Nationale	Cadre réserv	ré à l'OAPI	
PV n°:				
		Date de réception :		
Du:		Date de dépôt :		
Fait à :		Nº de dépôt:		
Pays:			VISA	
VISA				
I. Espèce (nom commun) :				
Laitue				
II. Taxon botanique: nom du genr	re, de l'espèce	ou sous-espèce	auquel appartient la variété:	
Lactuca sat.				
III. Dénomination				
Dénomination proposée : SFWE (Dénominatio 	n provisoire :		
✓ Réf. obtenteur : WEFG				
IV. Déposant 1	✓ Personne(s	s) physique(s)	Personne(s) morale(s)	
Dénomination(s) sociale(s) / Nom(s) et prénom(s)				
	Aurelien	KENNE		
Adresse(s) RonghuaNanlu A18		Nationalité (Nom du Pays)		
Pays Chine			Argentine	
N° Téléphone : 01059198194	Nº Fax :01	0-59198194	c-mail:wudifeixue0@163.com	
IV. Déposant 2	✓ Personne(s	s) physique(s)	Personne(s) morale(s)	



New Functionalities UPOV PRISMA Version 2.5



- New version of payment interface (Epay V2) to facilitate payment by PayPal, China Union Pay and Bulk payment;
- Addition of national characteristics for the Netherlands;
- Synchronization between UPOV PRISMA and CPVO for TQ changes;
- Machine-to-machine communication with Kenya and Uruguay.

Tentative Timetable UPOV PRISMA Version 2.5

- ➤ Launch UPOV PRISMA Version 2.5: **November 2020**
- ➤ Intermediate version is planned if necessary : End of June 2020
 - Epay V2
 - OAPI Layout
 - National characteristics for Netherlands
 - Japan (Phase 1: 3 pilot crops)



PREVIEW

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Future developments (2021 and +)

- Expansion
 - Members
 - (Bosnia and Herzegovina, Nicaragua, United Republic of Tanzania and Uzbekistan have previously expressed interest to join future releases)
 - Crops/species
- New functionalities
 - Information on DUS cooperation (DART)
 - More PVP offices with machine-to-machine links/ communication to UPOV PRISMA
 - Machine translation
 - More countries with National Listing
 - Crop-specific TQs beyond Test Guidelines
 - PVP Office management Interface





Future developments



PVP Office management Interface:

(see document EAF/15/2, paragraph 31)

31. In a future Version and in order to assist members of the Union to receive, process and manage application data from UPOV PRISMA, it is proposed to develop and introduce a "PVP Office management interface". A report on progress will be made at a next EAF meeting.

Variation in forms:

(see document EAF/15/2, paragraphs 32 & 33)

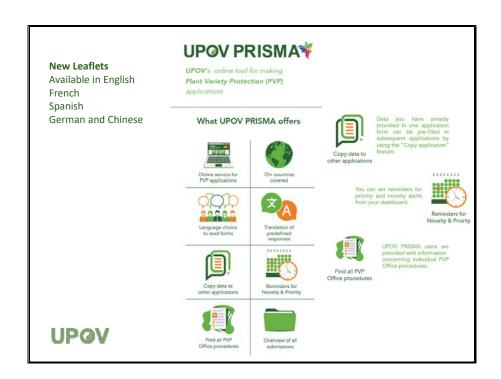
- 32. The EAF/14 meeting noted that a report on variation between the Technical Questionnaire of participating authorities in UPOV PRISMA between UPOV members and the UPOV model application form, would be provided at the EAF/15 meeting, according to available resources.
- 33. Since the EAF/14 meeting, there have not been sufficient resources to produce the report; therefore it is proposed to present the report at the next EAF meeting.

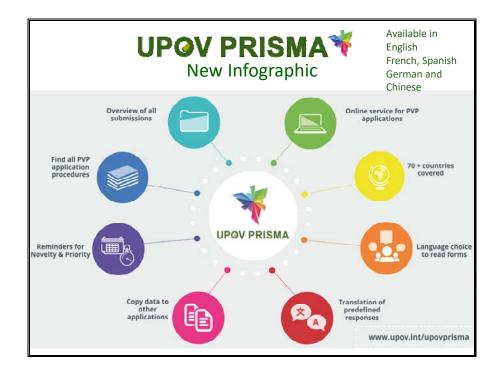
PREVIEW

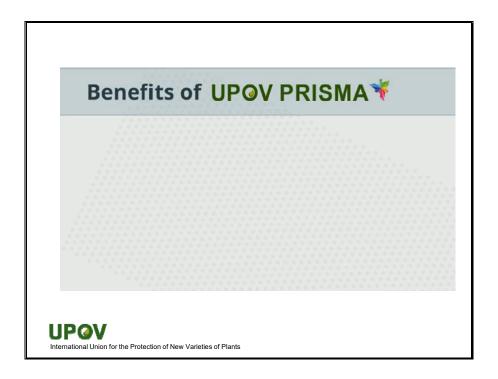
- UPOV PRISMA Terms of Use
- UPOV PRISMA Version 2.4
- UPOV PRISMA Version 2.5
- Future developments
- Communication & Promotion

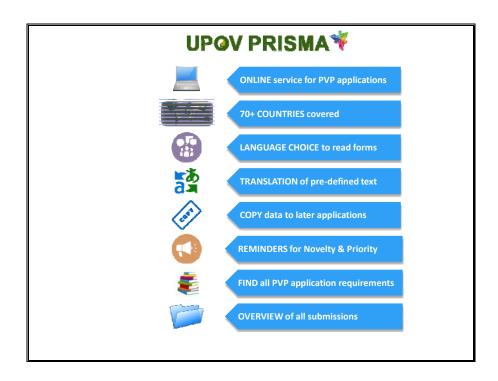


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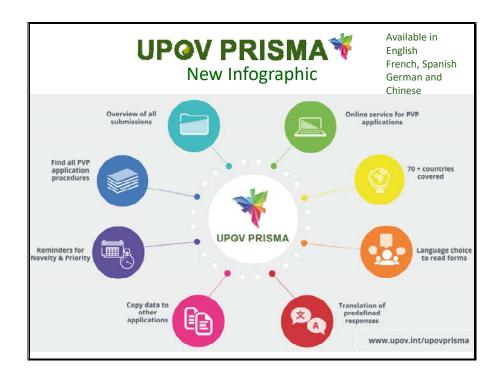


UPOV PRISMA PROMOTION in 2020



- Priority given on individual contacts (One to one) with individual users/ companies/ organizations
- Organization of Webinars, on specific subject raised by users (examples below):
 - 1. How to personalize alerts and notifications for Novelty and Priority
 - 2. How to select an agent in UPOV PRISMA
 - 3. How to link "my UPOV PRISMA" account with "my CPVO" account (in collaboration with CPVO)
 - 4. How to start a new application and copy data from an existing application data to a subsequent one





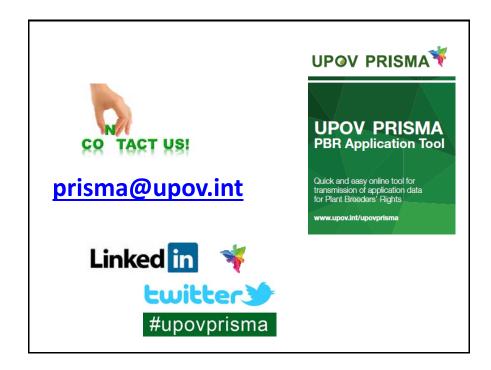
Next EAF/16 Meeting:

→ Monday October, 26, 2020 (evening)

> Tuesday October, 27, 2020 (evening)

UP@V





[End of Annex II and of document]