

**Meeting on the development of an electronic application form**

**UPOV/EAF/13/3**

**Thirteenth Meeting  
Geneva, March 28, 2019**

**Original:** English  
**Date:** July 26, 2019

**REPORT**

*adopted by the Meeting on the development of an electronic application form*

*Disclaimer: this document does not represent UPOV policies or guidance*

**WELCOME AND OPENING**

1. The thirteenth meeting on the development of an electronic form (EAF/13 meeting) was opened and chaired by Mr. Peter Button, Vice Secretary-General of UPOV, who welcomed the participants in Geneva and those who participated in the meeting by means of electronic conference.
2. The list of participants is reproduced in Annex I to this report.

**APPROVAL OF THE AGENDA**

3. The meeting adopted the draft agenda as proposed in document UPOV/EAF/13/1.

**DEVELOPMENTS CONCERNING UPOV PRISMA**

4. The meeting considered document UPOV/EAF/13/2 “Developments concerning UPOV PRISMA” and received a presentation by the Office of the Union on the latest developments in relation to the electronic application form, a copy of which is reproduced in Annex II to this document.

Use of UPOV PRISMA

5. The participants noted the following information in relation to the use of UPOV PRISMA (as of March 5, 2019):

Time	Number of participating UPOV members	Number of countries covered	Crops covered by participating UPOV members		Number of registered entities (roles)	Number of applications
			Limited list	All crops/ species		
Jan-June 2017	12	12	12 (1-5 crops)	-		4
July-Dec 2017	16	16	16 (1-5 crops)	-	7 (25)	10
Jan-August 2018	22	46	11 (1-100 crops)	12	44 (39 Breeder admins + 5 agents) (69)	26
September 2018 – February 2019	30	69	8 (1-100 crops)	20	93 (65 Breeder admins + 28 agents) (136)	68
<b>Total</b>						<b>108</b>

UPOV PRISMA Version 2.2*Participating UPOV members and crops/species covered*

6. The participants noted that the following participating PVP Offices had confirmed their intention to be part of Version 2.2:

Authority		intention to participate in Version 2.2	Anticipated crop coverage in Version 2.2
Dominican Republic	DO	✓	All genera and species
Ecuador	EC	✓	All genera and species
Morocco	MA	✓	Tomato, Melon, Potato, lettuce, Onion
Peru	PE	✓	All genera and species
Trinidad and Tobago	TT	✓	Anthuriums , Bromeliaceae, Heliconiaceae, Orchidaceae, Sterculiaceae, Cajanus cajans, Vigna sp., Theobroma cacao L.
<b>Total</b>		<b>5</b>	

7. In relation to coverage of crop and species in UPOV PRISMA Version 2.2, the participants noted the intended changes to Version 2.1 for authorities participating in Version 2.1, as reproduced in the following table:

Authority		Anticipated Crop coverage in Version 2.2 (highlighted in grey are changes from Version 2.1)
Argentina	AR	AppleFruitVarieties, Barley, Black Radish, Oriental Radish, Brussels Sprouts, Cauliflower, Chinese Cabbage, Grapevine, Maize, Melon, Potato, Rose, Shallot, Grey Shallot, SoyaBean, Spinach, Sweet Pepper, Hot Pepper, Paprika, Chili, Tomato Rootstocks, Watermelon, Welsh Onion, Japanese Bunching Onion,Wheat, Egg Plant, Witloof Chicory, Broad Bean, Fig, Papaya, Salvia, Green onion, Indian mustard, Sugarcane, Cotton
European Union	QZ	All genera & species excluding agricultural crops, apart from Bent, cocksfoot, meadow fescue, fescue, ryegrass, timothy, Potato, Soyabean and Pea
Republic of Moldova	MD	Maize, Wheat, Pea, Barley, European Plum, Tomato, Grapevine, Sweet Pepper, Hot Pepper, Paprika, Chili, Sunflower, Walnut, Apple Fruit Varieties, Lettuce, Potato, Rose, Soyabean, Oats, Rye, Strawberry, Blackberry
Serbia	RS	Apple Fruit Varieties and Rose, raspberry and blueberry

*Languages*

8. The participants noted that additional navigation languages were planned to be introduced in Version 2.2, as follows (highlighted):

Navigation languages	Output languages
<ul style="list-style-type: none"> <li>• Chinese</li> <li>• English</li> <li>• French</li> <li>• German</li> <li>• Japanese</li> <li>• Korean</li> <li>• <b>Russian (new)</b></li> <li>• Spanish</li> <li>• Turkish</li> <li>• Vietnamese</li> </ul>	<ul style="list-style-type: none"> <li>• Chinese</li> <li>• English</li> <li>• French</li> <li>• German</li> <li>• Georgian</li> <li>• Korean</li> <li>• Norwegian</li> <li>• Romanian</li> <li>• Spanish</li> <li>• Serbian</li> <li>• Swedish</li> <li>• Turkish</li> <li>• Vietnamese</li> </ul>

*New Functionalities*National listing for Netherlands

9. The participants noted that it was planned for Version 2.2 to allow the possibility to submit data for national listing (NLI) in the Netherlands, for vegetables and agricultural crops. The participants were informed that breeders would have the possibility to apply for: NLI and PBR simultaneously; NLI first and then copy data in a subsequent PBR application; or vice-versa.

10. The participants noted that when applications were submitted simultaneously, two notifications would be sent. In relation to the UPOV PRISMA fee, a proposal would be presented to the Consultative Committee at its session in October 2019 (see “Financing of UPOV PRISMA”).

Procedure for introducing new and revised Test Guidelines in UPOV PRISMA

11. The participants noted that new and revised Test Guidelines would be introduced in the subsequent release of UPOV PRISMA. The participants were informed that UPOV PRISMA would display the most recent version published on the UPOV website.

12. The participants noted that, in cases where there was a need to edit a pending application data based on a previous version of a Test Guidelines, some previously inserted answers would not be imported correctly particularly for questions that were deleted in the subsequent version of the Test Guidelines. It further noted that it would not be possible for a PVP Office to ask for revision of a submitted application via UPOV PRISMA based on a previous version of a Test Guidelines, in cases where the Test Guidelines had been updated in the meantime.

Enhancement of agent role (“handshake” functionality)

13. The participants noted that UPOV PRISMA allowed breeders the possibility to select an agent from a list of registered agents in each participating authority, if relevant. The participants were informed that it would be possible in Version 2.2 for an agent to accept or decline an invitation sent by the breeders through UPOV PRISMA.

14. The participants were informed that breeders could monitor the status of the invitations sent to a designated agent in UPOV PRISMA, and allocate roles and permissions once the invitation was accepted.

15. The participants noted that the relevant User Guides would reflect this change accordingly.

Improved copy functionality

16. The participants noted that, in Version 2.2, it would be possible to choose from a list of crops for which the applicant had previously submitted an application, instead of having the full list of genera and species displayed.

Full scale for states of expression / notes in variety characteristics and variety comparisons.

17. The participants noted that the Technical Questionnaire in UPOV PRISMA would display all states of expression, including intermediate states.

18. The participants noted that for certain cases it was possible according to document TGP/7 “Development of Test Guidelines” to have an abbreviated scales in the Test Guidelines. It further noted the proposal to revise existing guidance in TGP/7 to present the full scale for states of expression was currently under discussion at the Technical Working Parties and at the Technical Committee. Developments would be reported at the next EAF meeting.

Proposals for the developments of UPOV PRISMA Version 2.2*Coverage of Test Guidelines*

19. The participants noted that, on June 28, 2018, the Office of the Union had issued Circular E-18/081, to inform participating members in UPOV PRISMA that the CPVO had extended the coverage of some TGs to additional UPOV codes compared to those specified in the relevant UPOV TGs. The Circular invited

participating members in UPOV PRISMA Version 2.1 to indicate if they would agree to extend the scope of the specified TG to the indicated UPOV codes as applied by CPVO.

20. The participants received a presentation from the Office of the Union, as reproduced in the Annex II of document UPOV/EAF/12/3 "Report", and noted that, for UPOV members following the UPOV Test Guidelines, where there were no UPOV Test Guidelines for a particular crop/species, a generic TQ was available. Alternatively, UPOV members could link these crop/species to a suitable UPOV TG. It was explained that it would not be appropriate to use national TGs for such crops/species because of the high level of maintenance, the translation burden and lack of harmonization. However, it would be possible for UPOV members participating in UPOV PRISMA to agree a common TQ and thereby to retain harmonization and minimize translation work.

21. The participants noted that, in cases where a participating authority used a national Technical Questionnaire for the Table of Characteristics, for a specific crop, where there was no UPOV Test Guidelines (TGs) and where the generic TQ was not appropriate, there would be a possibility to develop a specific UPOV PRISMA TQ for this crop, subject to a procedure for consultation with other UPOV participating members in UPOV PRISMA and under the condition to follow UPOV TGs TQ and UPOV characteristics.

22. The participants noted the process of consultation for authorities who do not follow the general approach but follow UPOV TGs TQ and UPOV characteristics, as follows:

1. Request from Country A for a specific crop (Country A TQ)
2. Inform other participating authorities in UPOV PRISMA
3. Circulate the Country A TQ to see if there are any objections to use as UPOV PRISMA TQ
4. If no objections: Country A TQ becomes UPOV PRISMA TQ (subject to resources available)
5. If objections: discussion among interested authorities to explore possibilities to develop a harmonized TQ (and then back to 3.)

Any new request would be reported at the subsequent EAF meeting.

#### *Harmonization*

23. The participants noted that the matter of harmonization of application forms between UPOV members had been reported to the TC at its fifty-fourth session, held in Geneva on October 29 and 30, 2018. The TC had agreed that it would be helpful for the Office of the Union, upon request, to generate a report for participating authorities on the extent to which their forms were harmonized with other UPOV members and the UPOV model application form. The TC noted that it was a matter that should be reported to the Administrative and Legal Committee (CAJ) (see document TC/54/31 Corr., paragraphs 306 and 307). The participants received a presentation from the Office of the Union, as reproduced in Annex II of this report, on a "report on harmonization" to assess the harmonization between a national application form compared to the UPOV model form. The participants noted the request made by the representative from Australia to follow a similar approach for the Technical Questionnaire of Australia. Developments would be reported at the next EAF meeting.

#### *Novelty (trees and vines)*

24. The participants received a report on the discussions at fifty-first session of the Technical Working Party for Ornamental Plants and Forest Trees (TWO) on the different interpretations among UPOV members of the notion of trees and vines for the purposes of the provisions of novelty and the duration of protection.

25. The participants noted that participating authorities would be invited to provide their list of genera and species that were considered to be trees or vines. It further noted that the default in UPOV PRISMA was for crop and species not to be considered as trees or vines.

#### *Timetable for release of Version 2.2*

26. The participants noted that participating members in the development of the Version 2.2 of UPOV PRISMA would be invited to test the Version during a test campaign in May 2019. Subject to their validation, Version 2.2 would be released around June 2019.

## Proposals for future developments of UPOV PRISMA

### *Coverage*

27. The participants noted that the following members had expressed their interest to join UPOV PRISMA at a future date: Bosnia and Herzegovina, Japan, Nicaragua, Singapore and Uzbekistan.

### *New Functionalities or further developments*

28. The participants noted that the following elements would be considered for a future version of UPOV PRISMA:

- DUS examination arrangement tool
- Additional PVP offices or breeders with machine-to-machine links/ communication to UPOV PRISMA
- Machine translation
- Additional countries with National Listing
- Crop-specific TQs beyond Test Guidelines
- PVP Office Application Administrative tool

### Communication

29. The EAF/13 meeting noted that participating authorities in UPOV PRISMA had been invited to participate in a survey on initiatives taken to raise awareness on UPOV PRISMA (Circular E019/026, sent on March 1, 2019). The participants received a presentation from the Office of the Union on the results from the survey, as reproduced in Annex II of this report.

30. The participants noted that the most effective routes for communication were: PVP Office websites; direct communication by PVP Offices with applicants; and participation at meetings and conferences organized at national level with the support of national seed/ breeder committee.

31. The participants noted the following elements planned for the communication of UPOV PRISMA:

- Communication to potential users of the UPOV PRISMA via PVP Offices;
- Update content and formation of information leaflet (Pdf leaflet, e-leaflet) and distribution to PVP Offices;
- Creation of a new PowToon for agents and promotion of existing ones on the website;
- Contact with breeder association/ national seed committee (via PVP Offices);
- Contact with agents or authenticated/qualified PBR experts;
- UPOV PRISMA NewsFeed.

### Financing of UPOV PRISMA

32. The participants noted that options for financing of the UPOV PRISMA would be considered by the Consultative Committee at its ninety-sixth session, to be held in Geneva, on October 31, 2019 and by the Council at its fifty-third ordinary session, to be held in Geneva on November 1, 2019.

33. The participants noted that a survey on the suitability of financing options would be conducted with registered entities in UPOV PRISMA and to a wider group of potential users and interested experts in UPOV. The survey would help to assess the value of the service offered by UPOV PRISMA and help to determine a suitable fee for UPOV PRISMA. The results of the survey, together with proposals for the financing of UPOV PRISMA would be presented to the Consultative Committee at its ninety-sixth session. It further noted that the outcomes would be reported at the subsequent EAF meeting.

### DATE OF NEXT MEETING

34. The next meeting of the EAF (EAF/14 meeting) was agreed to be held in Geneva on the evening of October 28, 2019.

[Annexes follow]

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Ben RIVOIRE (Mr.), Technical/Regional Officer (Africa, Arab countries)

Leontino TAVEIRA (Mr.), Technical/Regional Officer (Latin America, Caribbean countries)

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Caroline ROVERE (Ms.), Administrative Assistant

Ariane BESSE (Ms.), Administrative Assistant

Ruixi HAN (Mr.), Fellow

[L'annexe II suit/  
Annex II follows/  
Sigue el Anexo II]



## Meeting on the development of an Electronic Application Form

Document EAF/13/2  
“Developments concerning  
UPOV PRISMA”

Geneva  
March 28, 2019

**UPOV**

International Union for the Protection of New Varieties of Plants


### PREVIEW

- UPOV PRISMA Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Financing of UPOV PRISMA
- Communication

**UPOV**

## UPOV PRISMA

**Current coverage- 30 Members  
(including CPVO & OAPI)**



The boundaries shown on this map do not imply the expression of any opinion whatsoever on the part of UPOV concerning the legal status of any country or territory.

**African Intellectual Property Organization (OAPI)**

- Argentina
- Australia
- Bolivia (Plurinational State of)
- Canada
- Chile
- China
- Colombia
- Costa Rica
- European Union
- France
- Georgia
- Kenya
- Mexico
- Netherlands
- New Zealand
- Norway
- Paraguay
- Republic of Moldova
- Republic of Korea
- Serbia
- South Africa
- Sweden
- Switzerland
- Tunisia
- Turkey
- United Kingdom
- United States of America
- Uruguay
- Viet Nam

**30 members**

**69 countries**

## UPOV PRISMA

**Search functionality (by crop & country)**

**UPOV PRISMA**

**PBR Application Tool**

THE ONLINE TOOL WHICH HELPS YOU MAKE APPLICATIONS WITH ALL PARTICIPATING IPO OFFICES VIA THE UPOV WEBSITE


**How to use:**

- Select application type and applicant category (and a participating IPO Office if required)
- Select country (IPO application forms will be displayed for range of countries)
- Select crop (Apple, Banana, Beans, Berries, Cereals, Citrus, Coffee, Cotton, Grapes, Oilseeds, Potatoes, Rice, Soybeans, Sugar, Tobacco, Vegetables)
- Select the appropriate category of the National Application (if you are not a trademark licensee)
- Select date and use for seed or propagation applications
- Choose an office with jurisdiction (e.g. Apple requires member agent)
- Choose an office with your address
- Fill in your application


UPOV members and participating countries covered by UPOV PRISMA

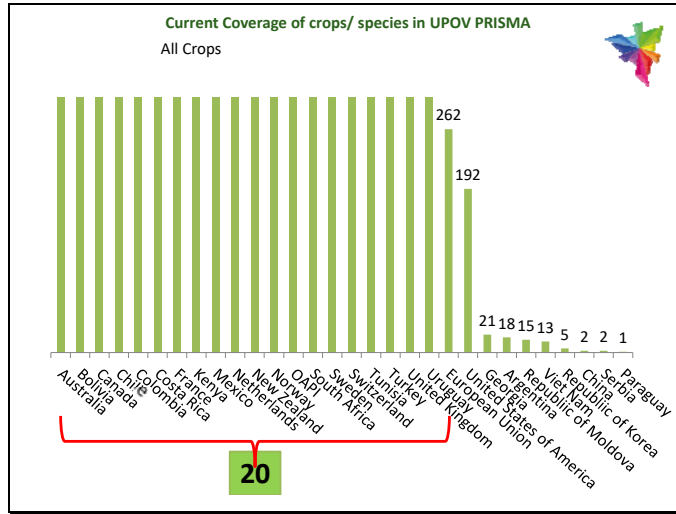
[UPOV members and participating countries covered by UPOV PRISMA](#)

UPOV Member	Participating Country
Argentina	Argentina
Australia	Australia
Bolivia	Bolivia
Canada	Canada
Chile	Chile
China	China
Colombia	Colombia
Costa Rica	Costa Rica
European Union	European Union
France	France
Georgia	Georgia
Kenya	Kenya
Mexico	Mexico
Netherlands	Netherlands
New Zealand	New Zealand
Norway	Norway
Paraguay	Paraguay
Republic of Moldova	Republic of Moldova
Republic of Korea	Republic of Korea
Serbia	Serbia
South Africa	South Africa
Sweden	Sweden
Switzerland	Switzerland
Tunisia	Tunisia
Turkey	Turkey
United Kingdom	United Kingdom
United States of America	United States of America
Uruguay	Uruguay
Viet Nam	Viet Nam



The boundaries shown on this map do not imply the expression of any opinion whatsoever on the part of UPOV concerning the legal status of any country or territory.





### Navigation & Output languages

Navigation languages	Output languages
English	English
French	French
German	German
Spanish	Spanish
Chinese	Georgian
Japanese	Norwegian
Korean (New in V2.1)	Romanian
Turkish (New in V2.1)	Serbian (New in V2.1)
Vietnamese (New in V2.1)	Swedish (New in V2.1)
	Turkish (New in V2.1)

## New functionalities UPOV PRISMA Version 2.1

- **Information** for Applicants;
- Novelty/ priority **alert**;
- Enhancement of **agent role** ;
- View application data in the **final output format** before submission;
- **Revise application** data after submission upon PVP office request;
- **Structured** TQ4, TQ7 and TQ9
- **Confidential data** in Technical Questionnaire chapter 4
- Link to UPOV PRISMA **dedicated web site** for users



## New functionalities UPOV PRISMA Version 2.1 Information for Applicants

The screenshot shows the UPOV PRISMA website interface. At the top, there is a navigation bar with the following menu items: ABOUT UPOV, MEMBERSHIP, UPOV SYSTEM, PVP DATA & STATISTICS, MEETINGS, and NEWS. Below the navigation bar, there is a search bar with the text "Search by UPOV member:" and a dropdown menu showing "Canada". To the right of the search bar, there is a "Search" button. Below the search bar, there is a section titled "Information for Applicants" with two links: "[Search by UPOV member]" and "[Search by Topic]". At the bottom of the page, there is contact information for the PVP Office and the Plant Breeders' Rights Office.

**UPOV PRISMA**  
GET STARTED NOW AND USE UPOV!

HOME → UPOV PRISMA PVP APPLICATION TOOL → UPOV PRISMA →

Information for Applicants

[Search by UPOV member] [Search by Topic]

Search by UPOV member:

Canada Search

PVP Office Contact Information: Plant Breeders' Rights Office, 59 Camelot Drive, Ottawa, Ontario K1A 0Y9, Canada. Tel: (1-613) 773-7188, Fax: (1-613) 773-7115, Website: <http://www.inspection.gc.ca/english/plaveq/pbroov/pbroovs.html>, E-mail: [csa.pbr-pov.acia@canada.ca](mailto:csa.pbr-pov.acia@canada.ca)




## New functionalities

### UPOV PRISMA Version 2.1

#### Information for Applicants

Currently available in English only

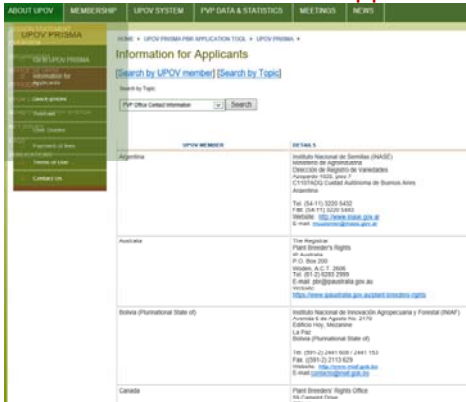
Specific requirements in relation to UPOV	<p><b>Are there any specific requirements in relation to UPOV PRISMA?</b></p> <p>No requirements have been notified by the PVP Office.</p>		
Application date	<p><b>Will I receive confirmation that my application date has been received?</b></p> <p><b>APPLICATION IS ACCEPTED FOR FILING</b> means that the required documents have been received and the applicant will shortly receive an acceptance letter by mail from an examiner. The applicant must submit original documentation, and the next steps outlined to fulfill examination requirements.</p> <p><b>APPLICATION IS NOT ACCEPTED FOR FILING</b> means that the applicant has not submitted the required documents, or to provide the reason that the application is not accepted for filing.</p>	Filing Date	<p><b>What is considered to be the filing date?</b></p> <p>"filing date" means in respect of an application, the date on which the applicant has submitted the information, fees, documents and any other material required for the application to be accepted for filing.</p> <p><b>Filing date of application</b></p> <p>10 (1) Subject to subsection 11(1), the filing date of an application is the date on which the applicant has submitted the information, fees, documents and any other material required for the application to be accepted for filing.</p> <p><b>Notice of filing date</b></p> <p>10 (2) The Commissioner shall send to the applicant a notice of the filing date of the application.</p> <p><b>UPOV PRISMA Disclaimer:</b> The authority entrusted with the filing date of applications in accordance with the law of the country of origin.</p> <p><b>For testing or plant material purposes, are there any additional requirements?</b></p> <p>Not applicable to Canada (breeder run testing system)</p>
Plant Material	<p><b>When applicable, where should I send the plant material?</b></p> <p>In the case of seed propagated varieties, a seed sample must meet the minimum weight requirements. In the case of vegetatively reproduced varieties, the applicant must submit a sample of the plant material.</p>	Dates for submission	<p><b>Are there any additional requirements?</b></p> <p>The agent or applicant will receive a letter from an examiner if additional requirements are required, i.e. original documents.</p>
Payment	<p><b>How and when do I pay the PVP application fee?</b></p> <p>Application fees are sent directly by the applicant.</p> <p><b>Will I receive confirmation that the payment has been received?</b></p> <p>In order for an application to be accepted for filing, the applicant must submit a payment receipt and that serves as confirmation that the payment has been received.</p>	Additional requirements	
Agent / Local Representative	<p><b>Do I need an agent or local representative in my country of origin?</b></p> <p>If the applicant is a foreign applicant (residing outside the country of origin) and is required for all foreign countries.</p>		




## New functionalities

### UPOV PRISMA Version 2.1

#### Information for Applicants



Country	PVP Office Contact Information
Algeria	<p><b>INSTITUT NATIONAL DE BREVETS (INAB)</b> Ministère de l'Agriculture Direction de Régulation de Variétés "Château" 100, avenue C11017ACQ Casbah Algérie de Boumou Algérie</p> <p>Tel: (24) 11 3200 5432 Fax: (24) 11 3200 4480 INAB@INAB.DZ E-mail: info@inab.dz</p>
Australia	<p>The Registrar Plant Breeder's Rights IP Australia P.O. Box 200 Melbourne, VIC 3000 Tel: (61) 3 9352 2999 E-mail: ip@ipaustralia.gov.au Website: <a href="http://www.ipaustralia.gov.au/australia/breeder-rights">http://www.ipaustralia.gov.au/australia/breeder-rights</a></p>
Bolivia (Plurinational State of)	<p>Instituto Nacional de Innovación Agropecuaria y Forestal (INIAF) Avenida de los Capatzen 2129 Edificio 101, Miércoles La Paz</p> <p>Bolivia (Plurinational State of) Tel: (591) 2 244 6000 / 2441 1111 Fax: (591) 2 2113 6251 Website: <a href="http://www.iniaf.gub.bo">http://www.iniaf.gub.bo</a> E-mail: <a href="mailto:info@iniaf.gub.bo">info@iniaf.gub.bo</a></p>
Canada	<p>Plant Breeder's Rights Office de l'Amérique du Nord Ottawa</p>



**Status on the use of UPOV PRISMA (as of March 27, 2019)**

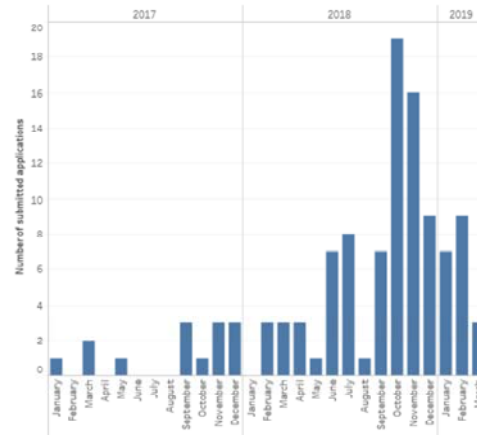
Total Number of submitted applications = 110

	2017	2018	2019
January	1	0	7
February	0	3	9
March	2	3	(3)
April	0	3	
May	1	1	
June	0	7	
July	0	8	
August	0	1	
September	3	7	
October	1	19	
November	3	16	
December	3	9	



**Status on the use of UPOV PRISMA (as of March 27, 2019)**

Submitted applications



**Status on the use of UPOV PRISMA (as of March 27, 2019)**

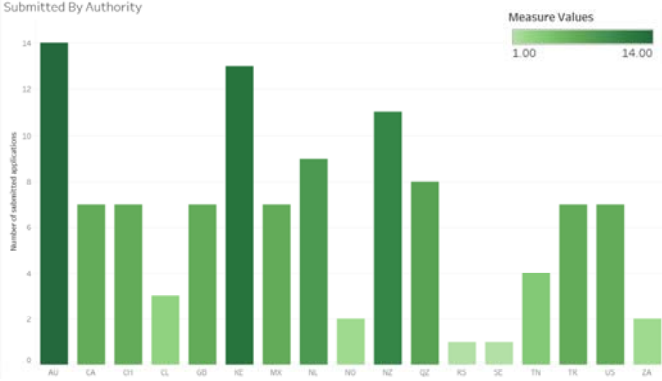
Number of drafted applications : 415

	2017	2018	2019
January	2	1	34
February	0	18	55
March	5	16	( 31)
April	1	13	
May	4	28	
June	19	54	
July	0	28	
August	0	30	
September	16	35	
October	6	78	
November	2	80	
December	5	35	



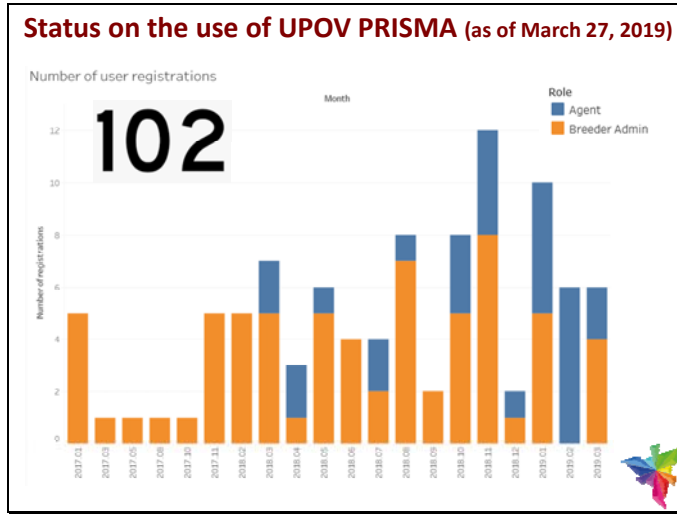
**Status on the use of UPOV PRISMA (as of March 27, 2019)**

Submitted By Authority



**17/30**





### PREVIEW

- EAF Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Financing of UPOV PRISMA
- Communication



## Anticipated new participating authorities in Version 2.2 : Scope

- New authorities which could be included in UPOV PRISMA Version :

- Ecuador
- Dominican Republic
- Morocco
- Peru
- Trinidad and Tobago

35  
members

74  
countries



## Anticipated crop coverage expansion in Version 2.2 for new participating authorities



Authority	Crop Coverage Expansion
Dominican Republic	All genera and species
Ecuador	All genera and species
Morocco	Tomato, Melon, Potato, lettuce, Onion
Peru	All genera and species
Trinidad and Tobago	Anthuriums , Bromeliaceae, Heliconiaceae, Orchidaceae, Sterculiaceae, Cajanus cajans, Vigna sp., Theobroma cacao L.

## Anticipated crop coverage expansion in Version 2.2 for existing participating authorities



Authority	Anticipated Crop coverage in Version 2.2 <i>(highlighted in blue are changes from Version 2.1)</i>
Argentina	AppleFruitVarieties, Barley, Black Radish, Oriental Radish, Brussels Sprouts, Cauliflower, Chinese Cabbage, Grapevine, Maize, Melon, Potato, Rose, Shallot, Grey Shallot, SoyaBean, Spinach, Sweet Pepper, Hot Pepper, Paprika, Chili, Tomato Rootstocks, Watermelon, Welsh Onion, Japanese Bunching Onion, Wheat, <b>Egg Plant, Witloof Chicory, Broad Bean, Fig, Papaya, Salvia, Green onion, Indian mustard, Sugarcane, Cotton</b>
European Union	All genera & species excluding agricultural crops, apart from <b>Bent, cocksfoot, meadow fescue, fescue, ryegrass, timothy</b>
Republic of Moldova	Maize, Wheat, Pea, Barley, European Plum, Tomato, Grapevine, Sweet Pepper, Hot Pepper, Paprika, Chili, Sunflower, Walnut, Apple Fruit Varieties, Lettuce, Potato, Rose, Soyabean, <b>Oats, Rye, Strawberry, Blackberry</b>
Serbia	Apple Fruit Varieties and Rose, <b>raspberry and blueberry</b>

## Anticipated new Features/ functionalities in V2.2

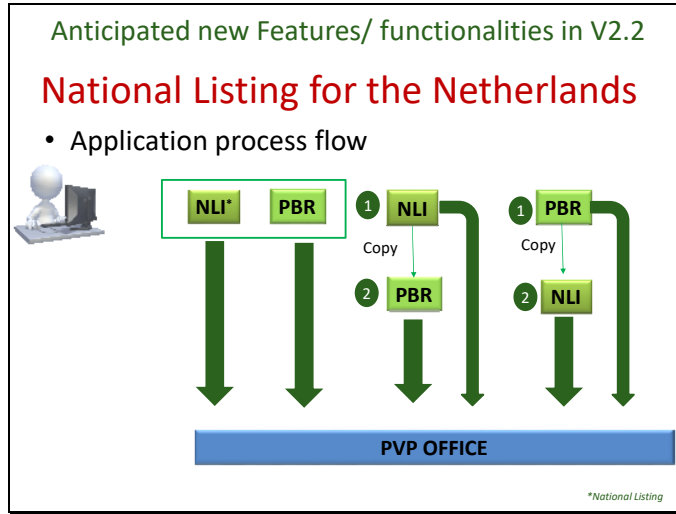
### Russian as Navigation Language

UPOV PRISMA Это тестовая среда. Реальная система доступна по адресу <https://uip>

+ Начать оформление новой заявки    Скопировать заявку    Массовая загрузка    Управление функциями пользователя

Международный справочный номер	Дата создания	Дата завершения	Дата подачи	Культура	Предлагаемое наименование сорта	Страна
XU_3020180000024 6	22/10/2018	22/10/2018	NA	Rosa L.	fruit	
XU_3020180000024 2	16/10/2018	16/10/2018	NA	Lactuca sativa L.	sa	sa





Anticipated new Features/ functionalities in V2.2

## National Listing for the Netherlands

UPOV PRISMA This is a test environment. The real system

**New Application Settings**

Crop/Species \*

Select Authority \*

Submitter's Own Reference

Application for:  NLI  PBR

Upload Existing Data (ZIP)

Select Language for answers

Application Form \*

Technical Questionnaire \*

Only for Vegetables and Agricultural crops

Anticipated new Features/ functionalities in V2.2

## National Listing for the Netherlands

- If the user selects PBR and NLI, they will be able to edit the application data simultaneously for both.
- When the application is submitted, two applications are submitted (including two notifications)
- Fee proposal to be prepared for this situation

Anticipated new Features/ functionalities in V2.2

## National Listing for the Netherlands

- If the user selects NLI, NLI questions will be displayed in the form

The screenshot shows a web application interface for 'National Listing'. On the left is a navigation menu with sections: 'Application Form' (with sub-items: APPLICATION, COMPROMISES, CONSTITUTIONAL MATTERS, COUNTERFEITING, FINDER APPLICATIONS, FORTUIT, LEGAL REPRESENTATION, NATIONAL LISTING), 'Technical Questionnaire', and 'Declaration And Signature' (with sub-items: DECLARATION AND SIGNATURE, ATTACHMENTS). At the bottom of the menu are 'PS Export' and 'Save' buttons. The main content area is titled 'National Listing' and contains several questions with 'Yes' and 'No' radio buttons: 'address is more to be entered in the list listing', 'Place location of the trade (which country)', 'Request for 3 D (3D) mark in 1 year', and 'Requests for authorization for the marketing as not yet listed varieties according to 2016/1042 EC'. The 'Place location of the trade (which country)' dropdown menu is open, showing 'Canada' as the selected option.

Anticipated new Features/ functionalities in V2.2

### National Listing for the Netherlands

- It is possible to copy application and keep the same IRN for the same country if you apply for different application type

Anticipated new Features/ functionalities in V2.2

### Procedure for introducing new and revised Test Guidelines in UPOV PRISMA;

- UPOV PRISMA displays the TQ forms as adopted in the last supported version
- Compatibility issues:
  - Edit an existing pending application data drafted before the release
    - In case of removed questions, the answers will be ignored
    - In case of added questions, the answers should be provided
  - Edit a revised submitted application
    - This action will not be allowed



Anticipated new Features/ functionalities in V2.2

### Procedure for introducing new and revised Test Guidelines in UPOV PRISMA;

- A PVP office would like to «Ask for revision» a submitted application.
  - If the application is related to a crop for which the TG was revised or added, the action will be disabled.
  - The revision query should be done outside UPOV PRISMA

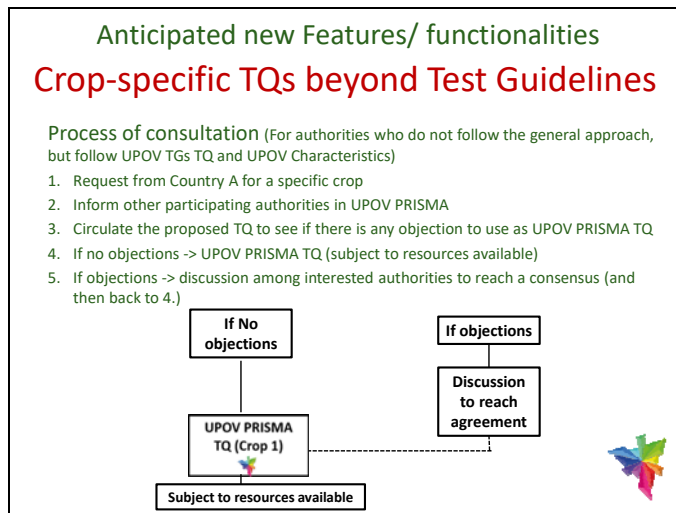
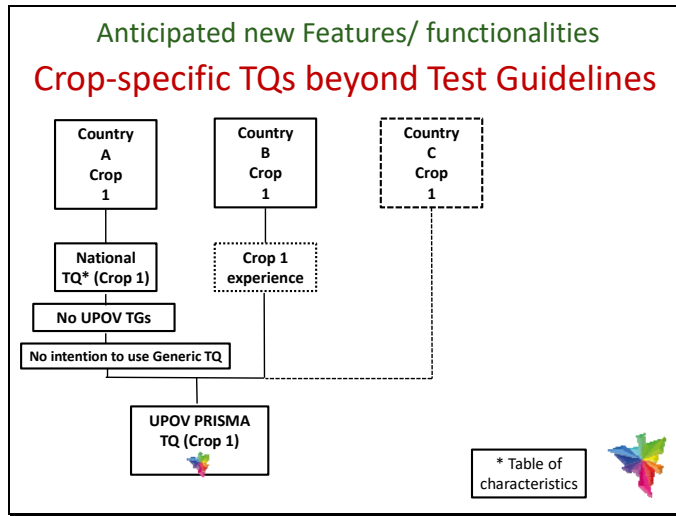


Anticipated new Features/ functionalities in V2.2

### Procedure for introducing new and revised Test Guidelines in UPOV PRISMA;

- A PVP office has already asked for revision a submitted application
  - If the application is related to a crop for which the TG was revised or added, a notification email will be sent to the breeder to ask them to revise their application before the new release.
  - After the new release, the status of the application will be updated automatically to «Submitted». It won't be possible to edit the application.





## Anticipated new Features/ functionalities in V2.2

### Enhancement of agent role ("handshake" functionality)

- When the user clicks on «Assign Agent» link in the dashboard

Name	Company	E-mail address
BREEDER TESTER2	LPOV	BREEDER TESTER2@gmail.com
Caroline ROVERE	WIPO	BREEDER TESTING3@GMAIL.COM

Enter the Agent's email address

In case the agent is not in UPOV PRISMA



## Anticipated new Features/ functionalities in V2.2

### Enhancement of agent role ("handshake" functionality)

- An email is sent to the agent's email address



Breeder's contact details

Invitation to login into UPOV PRISMA to Accept or Decline the invitation

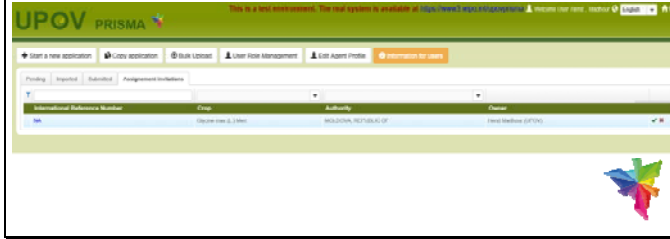




Anticipated new Features/ functionalities in V2.2

## Enhancement of agent role ("handshake" functionality)

- Once the user has the «agent» role in UPOV PRISMA, they can access the dashboard and Accept/Decline the invitation.



Anticipated new Features/ functionalities in V2.2

## Enhancement of agent role ("handshake" functionality)

- If the agent accepts/declines, a notification email is sent to the breeder.



Dear Madhou, Sir,

Please be informed that the following agent:

- Customer ID: W-MADHOUR
- Name: Hend Madhou
- Address: switzerland
- E-mail: [hend.madhour@gmail.com](mailto:hend.madhour@gmail.com)

Has accepted your invitation to act as agent for the following application data:

- ERS: NA (Soya Bean, Soybean, MOLDOVA)

Best Regards,  
UPOV PRISMA TEAM



Anticipated new Features/ functionalities in V2.2

## Enhancement of agent role ("handshake" functionality)

- The breeder can check the status of their invitations in UPOV PRISMA.
- They can give the permissions when an invitation is accepted.

Assign Agent Management				
Invited On	Date of Application	Crop	Proposed Variety	Breeder's Variety
Pending Invitations				
Name	Company	E-mail address		
Accepted Invitations				
Agent	Email	Sign In and Submit		
X Handshake				



Anticipated new Features/ functionalities in V2.2

## Improved copy functionality

- Copy Application settings
  - User can choose among a set of crops to which the applicant has already submitted an application.

UPOV PRISMA

Copy Application Settings

Crop/Species

Search for crop/species

Agrostis desiccata (A. Chen) C. H. Liang & A. H. Ferguson

Agrostis L.

Allium sativum L.

Avena L.

Anemone L.

Anemone coronaria (L.) Mer.

Arabis sativa L.

Barba L.

Beta vulgaris L. var. vulgaris var. alba DC.

Brassica napus L. (Brassic)

Brassica napus (var. napus) (var. napus)

Capsicum album L.

Carya cathartica Mill.

Callitriche ovata (L.) G. Don

Cucurbita pepo L.

Cynara scolymus (L.) Pers., Cynara L.

Cucumis sativus L.

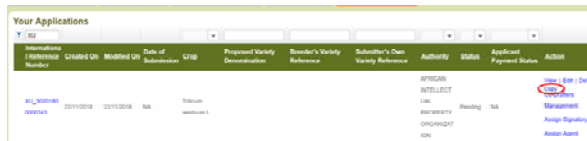
Pyramidalis hirsuta Mill.



Anticipated new Features/ functionalities in V2.2

**Improved copy functionality**

- Copy Action in the dashboard



The screenshot shows a dashboard titled 'Your Applications' with a table of application records. The table has columns for Application Number, Status, Date of Submission, Crop, Proposed Variety Description, Breeder's Variety Reference, Submitter's Own Variety Reference, Authority, Status, Applicant, and Payment Status. A red circle highlights the 'Copy' button in the 'Action' column of the first row.

Application Number	Status	Date of Submission	Crop	Proposed Variety Description	Breeder's Variety Reference	Submitter's Own Variety Reference	Authority	Status	Applicant	Payment Status	Action
101_000001	Pending	2019/01/01	SA	Tobacco			INTELLECT	Pending	SA		Copy   Edit   Delete



Anticipated new Features/ functionalities in V2.2

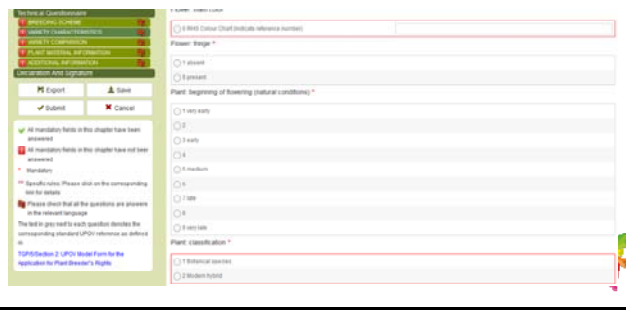
**Improved copy functionality**

- The settings screen should be prepopulated with the crops/species and languages of answers.
- The user should have the possibility to enter another crop through the search button.
- The user should be able to edit the submitter's own reference.
- A check box should be available to check if the user would like to use the associated combined application.



Anticipated new Features/ functionalities in V2.2

## Full scale for states of expression / notes in variety characteristics and variety comparisons



Anticipated new Features/ functionalities in V2.2

## Full scale for states of expression / notes in variety characteristics and variety comparisons



Current Discussion ongoing on possible revision of TGP/7 (TWP + TC)



## Novelty (trees and vines)

- All participating authorities should indicate, if appropriate, which of the supported crops are considered as vine or tree in terms of novelty.  
– 4/6 years



- Circular to be sent to all participating members in V2.2
- Deadline: End of May 2019

## Tentative Timetable for UPOV PRISMA Version 2.2

Authority	From/To	Milestone Release (MR) Contents/ Functionalities
QZ	07/01/18 to 08/02/19	Add crops for QZ Assign Agent Copy Settings
DO, EC, MA, PE,TT	11/02/19 to 08/03/19	Add DO, EC, MA, PE,TT
AR, MD, NL, RS, All	11/03/19 to 12/04/19	Add national listing- NL Add intermediate notes for UPOV TG characteristics Add crops for AR, MD and RS
All	15/04/19 to 10/05/19	Add new adopted/revised TGs Copy action in the dashboard
All	13/05/19 to 31/05/19	User acceptance tests



**Launch UPOV  
PRISMA Version 2.2:  
03/06/19**

### PREVIEW

- UPOV PRISMA Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Financing of UPOV PRISMA
- Communication



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### FUTURE STEPS (2020 and +)

- Expansion
  - **Members**
    - (Bosnia and Herzegovina, Japan, Nicaragua, Singapore and Uzbekistan have expressed interest to join future releases)
  - **Crops/species**
- New functionalities
  - **Information on DUS cooperation**
  - **More PVP offices with machine-to-machine links/communication to UPOV PRISMA**
  - **Machine translation**
  - **More countries with National Listing ?**
  - **Crop-specific TQs beyond Test Guidelines**
  - **PVP Office Application Administrative Tool**



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## EAPVP Regional Mechanism

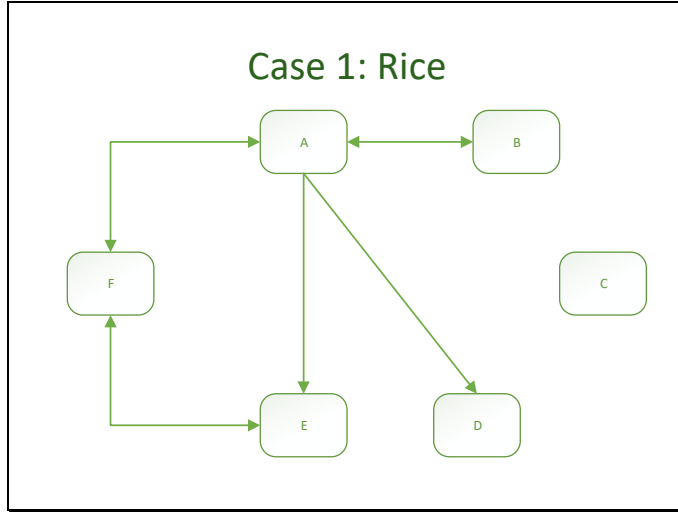
- Background
- Next steps

Anticipated new Features/ functionalities  
in future Version

## DUS examination Arrangement tool

- Minimize the number of DUS examinations for a list of selected authorities





UPOV/EAF/13/3

Case Name: **Rice**

The list of representations of this variety:

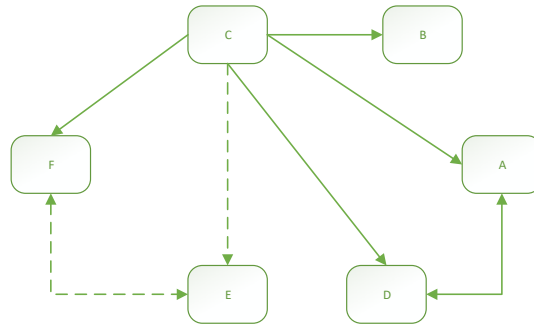
- No change in the process of being tested
- The test process itself

Show Recommendations

Number of DUS Examinations	List of countries in which and/or where the variety first and requires DUS Examination	Subsequent applications (see additional DUS Examinations)
2	A, C	B, D, E, F
3	A, C, E	B, D, F
4	A, C, D, E	B, F
4	A, C, D, F	B, E
4	A, C, E, F	B, D
4	A, C, D, E	A, F
4	A, C, D, F	A, E
4	A, C, E, F	A, D
5	A, B, C, D, E	F
5	A, B, C, D, F	E
5	A, B, C, E, F	D
5	A, C, D, E, F	B
5	B, C, D, E, F	A
6	A, B, C, D, E, F	



### Case 2: Rose – Technical examination completed in Country E



**DUS Examination Recommendations**

Copyright: Rose

The technical examination of this variety:  Not already in the process of being released

In country:

Use not yet determined

**Base Recommendations**

Number of DUS examinations	Set of varieties to which you should apply for and request DUS Examination	Subsequent applications without additional DUS examinations
1	C	A, B, D, F
2	C, F	A, B, D
2	C, D	A, B, F
3	A, C	A, B, F
2	A, C	B, D, F
3	B, C, D	A, F
3	A, B, C	D, F
3	C, D, F	A, B
4	A, B, C, F	D
4	A, B, C, D	F
4	B, C, D, F	A
5	A, B, C, D, F	

The information about DUS fees will be included subject to information provided

## PVP Office Application Administrative Tool

- Provide national storage facility
- Facilitate access to application data for examination purpose
- Export data:
  - For publication (gazette)
  - Pluto DB

## Report on harmonization

- Australian Application Form questions have been compared with the other 29 authorities' questions as well as UPOV Model form questions.

➤ [Report](#)

In UPOV Model form  
 Not in UPOV Model form but used by multiple authorities  
 Only used by Australia

QUESTIONTEXT_EN	UPOV Reference	The same question is semantically used by
1 Name and contact details of the applicant	NA	NA
2 Name of Applicant	1(a)(i)	AR AU BO CA CL CO CR FR GB GE KE KR MD
3 Contact Name	1(a)(i)	AU NL NZ PY QZ SE TN TR US VN
4 Street Address	1(a)(ii)	AU BO CA CL CO GO GC IC IR MD NO OA B
5 (a second line)	1(a)(ii)	AU US
6 Postal Code	NA	AR AU BO CA CO GB GE KE NO TN US ZA
7 State	NA	AU CA US
8 Country	NA	AU BO CA CL CO CR GB GE KE MD NO NZ D
9 Telephone (include area code)	1(a)(iii)	AR AU BO CA CL CR GB GE KE KR MD NL NG
10 Mobile (include area code)	NA	AU NL PY SE
11 Fax (include area code)	1(a)(iv)	AU BO CA CR KE MD NO OA PY TR US UY VN
12 E-mail address	1(a)(v)	AR AU CA CL CR FR GB GE NL NO OA PY SE
13 Is the correspondence address different to applicant's address or is applicant's	NA	NA
14 (Email address for name of address no)	75/3/03	

## Helpdesk matters

- Automatic email replies set by some Offices to acknowledge receipt of the application data are sent to the sender (i.e. [noreply@upov.int](mailto:noreply@upov.int) ) and not to the applicant.
- Breeder account for PVP Offices : Only possible in Test environment
- UPOV PRISMA Test account has been created.
  - It will be used for training purpose

## PREVIEW

- UPOV PRISMA Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Financing of UPOV PRISMA
- Communication

### Financing of UPOV PRISMA

The Consultative Committee at its ninety-fifth session noted that more time was needed to increase the number of UPOV PRISMA users in order to achieve a reliable **survey of the suitability of financing options** and also to ensure reliability for users. With regard to a survey of financing options, the Vice Secretary General confirmed the intention to survey users that had submitted applications via UPOV PRISMA, registered entities, newsfeed subscribers and possibly other potential users. The survey would be structured to enable the results of the different categories to be analyzed separately

The Consultative Committee requested the Office of the Union to present **options to the Consultative Committee, at its ninety sixth session, for the financing of UPOV PRISMA**, including: fee per submission; fee per variety; subscription model(s) for applicants; subscription model(s) for members of the Union and package(s) of UPOV services

(see document C/52/17 paragraphs 44 to 47)



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### UPOV PRISMA Survey

**Objective:**

- To **assess the value of the service** offered via UPOV PRISMA and to **determine a price** for UPOV PRISMA Fee

**Target:**

- UPOV PRISMA users

**Methodology:**

- Qualitative survey (one-to-one interview)
- Quantitative survey (online) (All registered users)
- Performed by an independent market research institute
- Interviews in English, French and Spanish



- A subsequent survey will be made available online to a wider group of users



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**UPOV PRISMA Survey**  
**Next steps**

- Information on the survey sent to all UPOV PRISMA Users by Email
- Survey: April- May
- Results: June
- Online survey of a wider group of potential interested users : July
- The outcome of the survey will help to draft options for UPOV PRISMA Fee
- To be presented and approved by Consultative Committee and the Council in October 2019
- Implementation of UPOV PRISMA Fee in January 2020

**UPOV**

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**PREVIEW**

- UPOV PRISMA Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Financing of UPOV PRISMA
- Communication

**UPOV**

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### Survey Raising Awareness on UPOV PRISMA

**Target:**

- Participating authorities in UPOV PRISMA (30)

**Methodology:**

- Circular E-19/026 sent on March 1<sup>st</sup> 2019
- Online survey (Opinio)



**Objective:**

- To assess what has been done by participating UPOV members to communicate/ publicize on UPOV PRISMA

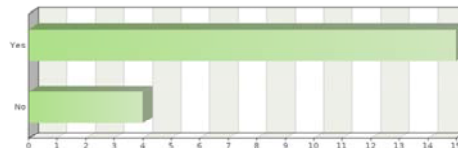
**Response Rate:**

- 19 replies out of 30 UPOV participating Members (63%)

**UPOV**

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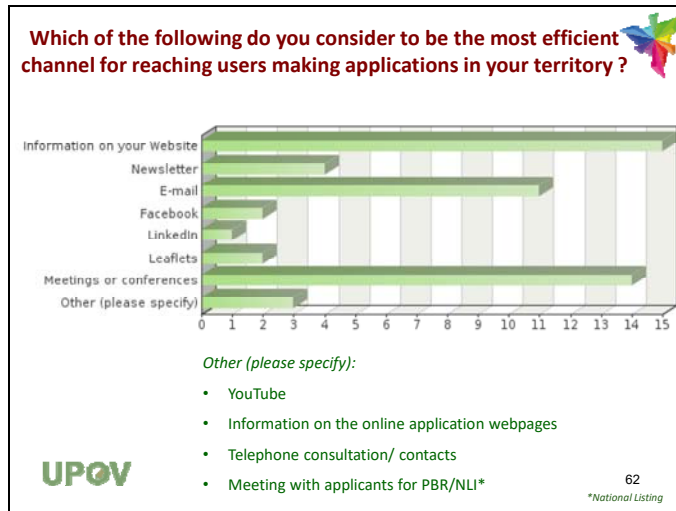
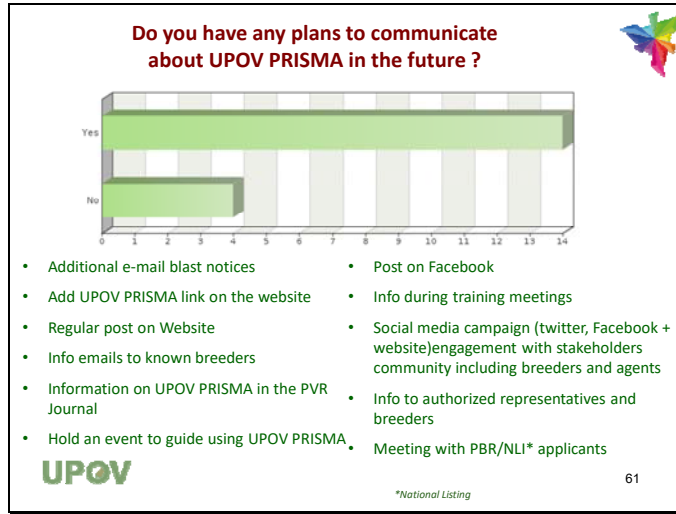
### Since joining UPOV PRISMA, have you organized a specific communication campaign on UPOV PRISMA ?

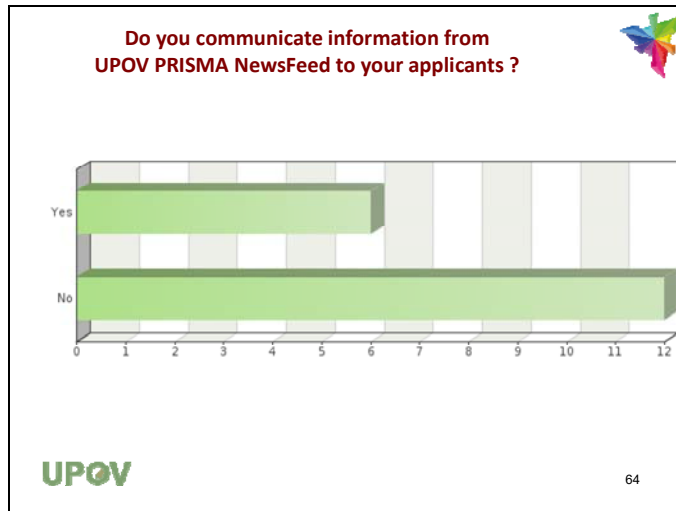
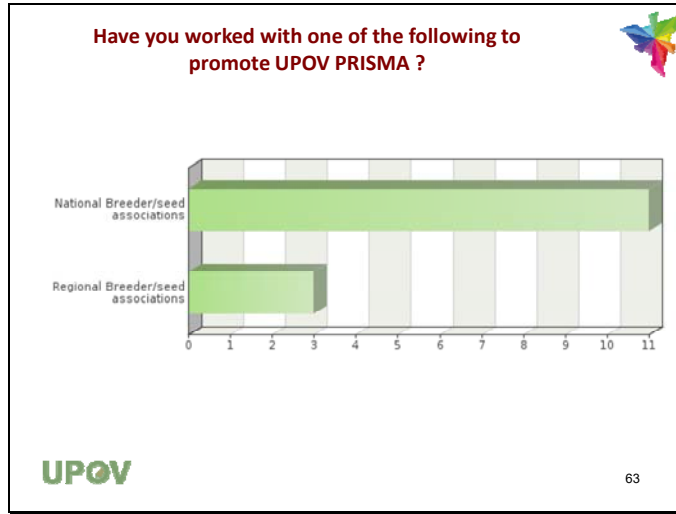


- Notice to customers
- Official Bulletin
- Website
- Info emails (to restricted diffusion list e.g. accredited PBR experts who provide guidance to applicants)
- Press release
- Distribution of leaflets
- UPOV PRISMA Link on official webpage
- Newsletter
- Participation in forums
- Presentation at seed federation, annual breeders group, seed trade association, PBR advisory committee

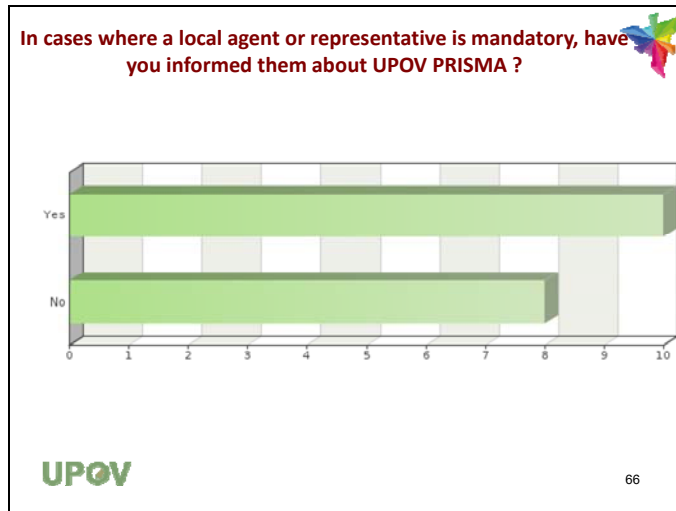
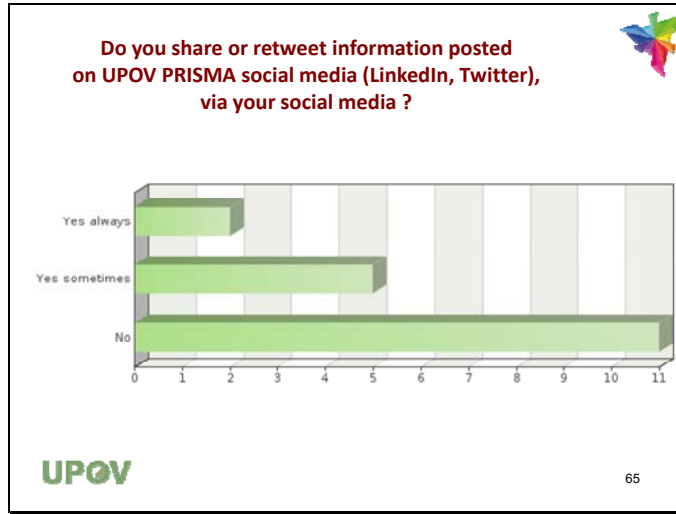
**UPOV**

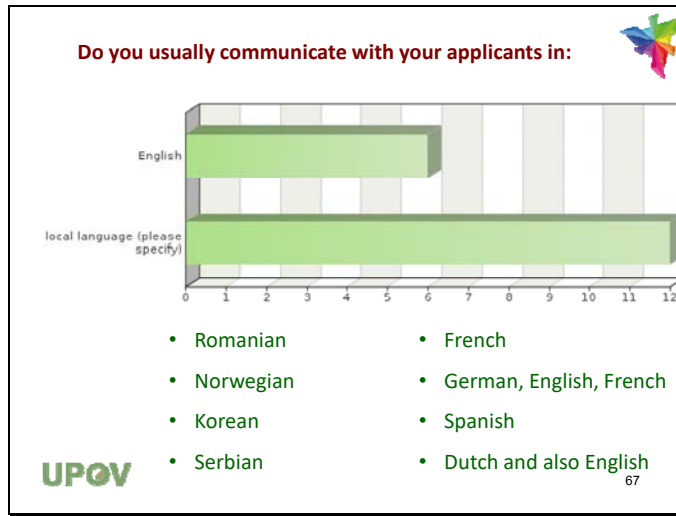
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- Is there anything the UPOV Office can do to assist you in relation to communication about UPOV PRISMA ?** 
- Provide a PDF Leaflet that can be posted or linked to the Website
  - We wish to review the information contained in UPOV PRISMA regarding use of agent. This could be better.
  - Help us raise awareness with agents and representatives in the relevance of UPOV PRISMA
  - Any digital promotional material is always useful
  - Continue to provide brochures if needed
  - Provide information not only in English
  - We have already our own online application system and do not have an immediate need to communicate about UPOV PRISMA
- UPOV** 68

**Do you have any other proposals  
to raise awareness on UPOV PRISMA ?**



- Contact with national or regional patent Attorney associations e.g. Institute of Patent Attorneys Inc. • Locate the videos about the benefits and how to use it more visibly on the UPOV main page
- Help us to set up UPOV PRISMA in our system and also to take control of the tools (training ?) • We suggest to put UPOV PRISMA as a standard subject on the agenda of UPOV seminars, courses and meetings
- UPOV Communication campaigns are very well designed and nice to read

**UPOV**

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**UPOV PRISMA PROMOTION  
in 2019**



Following the results of the survey:

- Update content and formation of information leaflet (Pdf leaflet, e-leaflet)
- Distribution by May 2019 to PVP Offices
- Creation of a new PowToon for agents
- Promote existing PowToons on the website
- Contact with breeder association/ national seed committee (via PVP Offices)
- Contact with agents or authenticated/qualified PBR experts
- Review with each PVP Offices by May 2019 on the information needed (logo, link)
- Continue UPOV PRISMA NewsFeed (same format, same frequency)

**UPOV**

## UPOV PRISMA PROMOTION in 2019

- Targeted communication to potential users of UPOV PRISMA through e-mail campaign or social media:
  - To agents (New Powtoon)
  - Via national Seed/ breeders associations
- Training sessions (in situ and online);
  - Upon request
- Press articles:
  - WIPO Magazine (February 2019)  
"UPOV: supporting food security with plant variety protection"
  - Regional magazine:  
✓ E.g. OAPI/ APSA




## PREVIEW

- UPOV PRISMA Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Financing of UPOV PRISMA
- Communication

**Next EAF/14 Meeting :**

Monday October 28, 2019 (evening)



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[End of Annex II and of document/  
Fin de l'Annexe II et du document/  
Fin del Anexo II y del documento]