

## Meeting on the development of an electronic application form

UPOV/EAF/12/3

Twelfth Meeting  
Geneva, October 29, 2018

Original: English  
Date: March 25, 2019

## REPORT

*adopted by the Meeting on the development of an electronic application form*

*Disclaimer: this document does not represent UPOV policies or guidance*

### WELCOME AND OPENING

1. The twelfth meeting on the development of an electronic form (EAF/12 meeting) was opened and chaired by Mr. Peter Button, Vice Secretary-General of UPOV, who welcomed the participants in Geneva and those who participated in the meeting by means of electronic conference.
2. The list of participants is reproduced in Annex I to this report.

### APPROVAL OF THE AGENDA

3. The meeting adopted the draft agenda as proposed in document UPOV/EAF/12/1.

### DEVELOPMENTS CONCERNING UPOV PRISMA

4. The meeting considered document UPOV/EAF/12/2 “Developments concerning UPOV PRISMA” and received a presentation by the Office of the Union on the latest developments in relation to the electronic application form, a copy of which is reproduced in Annex II to this document.

#### Launch of EAF Version 2.1

5. The participants noted that Version 2.1 of UPOV PRISMA, had been released on September 17, 2018.

#### *Participating UPOV members and crops/species covered*

6. The participants noted that the following participating PVP Offices and crops were supported in UPOV PRISMA Version 2.1:

Authority		participating in Version 2.0	currently participating in Version 2.1	Crop coverage in Version 2.1 (highlighted in grey are changes from Version 2.0)
African Intellectual Property Organization (OAPI)	OA	-	✓	All genera and species
Argentina	AR	✓	✓	18 selected crops
Australia	AU	✓	✓	All genera & species
Bolivia (Plurinational State of)	BO	✓	✓	All genera & species
Canada	CA	✓	✓	All genera & species excluding algae, bacteria and fungi
Chile	CL	✓	✓	All genera & species
China	CN	✓	✓	Lettuce, <del>Rose</del>

Authority		participating in Version 2.0	currently participating in Version 2.1	Crop coverage in Version 2.1 (highlighted in grey are changes from Version 2.0)
Colombia	CO	✓	✓	All genera & species
Costa Rica	CR	-	✓	All genera and species
European Union	QZ	✓	✓	Ornamentals, Fruits and Vegetables, Soyabean and Potato
France	FR	✓	✓	All genera & species
Georgia	GE	✓	✓	Maize, Wheat, Field Bean, French Bean, Apple (fruit varieties), Pear, Barley, Oats, Potato, Cherry (Sweet Cherry), Raspberry, Tomato, Peach, Hazelnut, Blackberry, Soya Bean, Sunflower, Walnut, Blueberry, Chick-Pea, Lentil
Kenya	KE	✓	✓	All genera & species
Mexico	MX	✓	✓	All genera & species
Netherlands	NL	✓	✓	All genera & species
New Zealand	NZ	✓	✓	All genera & species
Norway	NO	✓	✓	All genera & species
Paraguay	PY	✓	✓	Soyabean
Republic of Moldova	MD	✓	✓	Maize, Wheat, Pea, Barley, European Plum, Tomato, Grapevine, Sweet Pepper, Hot Pepper, Paprika, Chili, Sunflower, Walnut, Apple Fruit Varieties, Lettuce, Potato, Rose, Soyabean
Republic of Korea	KR	-	✓	Apple Fruit Varieties, Lettuce, Potato, Soyabean, Rose
Serbia	RS	-	✓	Apple Fruit Varieties and Rose
South Africa	ZA	-	✓	All genera and species
Sweden	SE	-	✓	All genera and species
Switzerland	CH	✓	✓	All genera & species
Tunisia	TN	✓	✓	All genera & species
Turkey	TR	✓	✓	All genera & species
United Kingdom	GB	-	✓	All genera and species
United States of America	US	✓	✓	192 crops including Lettuce, Potato, Soyabean and Wheat
Uruguay	UY	✓	✓	All genera & species
Viet Nam	VN	-	✓	Rice, Maize, Soyabean, Groundnut, Tomato, Potato, Cucumber, Rose, Chrysanthemum, Anthurium, Orange, Mango, Dragon fruit
Total		22	30	

### Languages

7. The participants noted that additional navigation and output languages had been introduced in Version 2.1, as follows (highlighted):

Navigation languages	Output languages
<ul style="list-style-type: none"> <li>• Chinese</li> <li>• English</li> <li>• French</li> <li>• German</li> <li>• Japanese</li> <li>• Korean (new)</li> <li>• Spanish</li> <li>• Turkish (new)</li> <li>• Vietnamese (new)</li> </ul>	<ul style="list-style-type: none"> <li>• Georgian</li> <li>• Norwegian</li> <li>• Romanian</li> <li>• Serbian (new)</li> <li>• Swedish (new)</li> </ul>

### New Functionalities

8. The participants received a presentation on the new functionalities introduced in Version 2.1:

- Novelty/ priority alert;
- Information on PVP application procedure for participating members;
- Enhancement of agent role ;
- View application data in the final output format before submission;
- Revise application data after submission upon PVP office request.

Use of UPOV PRISMA

9. The participants noted the following information in relation to the use of UPOV PRISMA (as of October 11, 2018):

Time	Number of participating UPOV members	Number of countries covered	Crops covered by participating UPOV members		Number of registered entities (roles)	Number of applications
			Limited list	All crops/ species		
Jan-June 2017	12	12	12 (1-5 crops)	-		4
July-Dec 2017	16	16	16 (1-5 crops)	-	7 (25)	10
Jan-August 2018	22	46	11 (1-100 crops)	12	44 (39 Breeder admins + 5 agents) (69)	26
Since September 2018	30	69	8 (1-100 crops)	20	55 (47 Breeder admins + 8 agents) (114)	14
TOTAL						54

Proposals for the developments of UPOV PRISMA Version 2.2*Coverage of Test Guidelines*

10. The participants noted that on June 28, 2018, the Office of the Union had issued Circular E-18/081, to inform participating members in UPOV PRISMA that CPVO would extend the coverage of some TGs to additional UPOV codes compared to those specified in the relevant UPOV TGs and had invited participating members in UPOV PRISMA Version 2.1 to indicate if they agreed to extend the scope of the specified TG to the indicated UPOV codes as proposed by CPVO.

11. The participants received a presentation from the Office of the Union, as reproduced in the Annex II of this document, and noted that, for UPOV members following the UPOV Test Guidelines, where there were no UPOV Test Guidelines for a particular crop/species, a generic TQ was available. Alternatively, UPOV members could link these crop/species to a suitable UPOV TG. It was explained that it would not be appropriate to use national TGs for such crops/species because of the high level of maintenance that would result, the translation burden and lack of harmonization. However, it would be possible for UPOV members participating in UPOV PRISMA to agree a common TQ and thereby to retain harmonization and minimize translation work. The participants agreed that this was a matter that should be reported to the TC.

*Participating UPOV members and crop/species*

12. The participants noted that the following UPOV members had expressed their intention to participate in Version 2.2 of UPOV PRISMA:

Authority		currently participating in Version 2.1	intention to participate in Version 2.2	Anticipated crop coverage in Version 2.2 (highlighted in grey are changes from Version 2.1)
African Intellectual Property Organization (OAPI)	OA	✓		All genera and species
Argentina	AR	✓		18 selected crops plus Egg Plant, Witloof Chicory, Broad Bean, Fig, Papaya, Salvia, Green onion, Indian mustard, Sugarcane, Cotton
Australia	AU	✓		All genera and species
Bolivia (Plurinational State of)	BO	✓		All genera and species
Canada	CA	✓		All genera & species excluding algae, bacteria and fungi
Chile	CL	✓		All genera and species
China	CN	✓		Lettuce
Colombia	CO	✓		All genera and species
Costa Rica	CR	✓		All genera and species
Dominican Republic	DO		✓	All genera and species
Ecuador	EC		✓	All genera and species
European Union	QZ	✓		All genera & species excluding agricultural crops, apart from Bent, cocksfoot, meadow fescue, fescue, ryegrass, timothy
France	FR	✓		All genera & species

Authority		currently participating in Version 2.1	intention to participate in Version 2.2	Anticipated crop coverage in Version 2.2 (highlighted in grey are changes from Version 2.1)
Georgia	GE	✓		Maize, Wheat, Field Bean, French Bean, Apple (fruit varieties), Pear, Barley, Oats, Potato, Cherry (Sweet Cherry), Raspberry, Tomato, Peach, Hazelnut, Blackberry, Soya Bean, Sunflower, Walnut, Blueberry, Chick-Pea, Lentil
Kenya	KE	✓		All genera and species
Mexico	MX	✓		All genera and species
Morocco	MA		✓	Tomato, Melon, Potato, lettuce, Onion
Netherlands	NL	✓		All genera and species
New Zealand	NZ	✓		All genera and species
Nicaragua	NI		✓	To be confirmed
Norway	NO	✓		All genera and species
Paraguay	PY	✓		Soyabean
Peru	PE		✓	All genera and species
Republic of Moldova	MD	✓		Maize, Wheat, Pea, Barley, European Plum, Tomato, Grapevine, Sweet Pepper, Hot Pepper, Paprika, Chili, Sunflower, Walnut, Apple Fruit Varieties, Lettuce, Potato, Rose, Soyabean, Oats, Rye, Strawberry, Blackberry
Republic of Korea	KR	✓		Apple Fruit Varieties, Lettuce, Potato, Soyabean, Rose
Serbia	RS	✓		Apple Fruit Varieties and Rose, raspberry and blueberry
South Africa	ZA	✓		All genera and species
Sweden	SE	✓		All genera and species
Switzerland	CH	✓		All genera and species
Trinidad and Tobago	TT		✓	To be confirmed
Tunisia	TN	✓		All genera and species
Turkey	TR	✓		All genera and species
United Kingdom	GB	✓		All genera and species
United States of America	US	✓		192 crops including Lettuce, Potato, Soyabean and Wheat
Uruguay	UY	✓		All genera & species
Uzbekistan	UZ		✓	To be confirmed
Viet Nam	VN	✓		15 selected crops
Total		30	37	

### Languages

13. The participants noted that additional navigation and output languages could be introduced according to available resources subject to the necessary information being provided.

### Timetable for release

14. The participants noted that participating members in the development of Version 2.2 of UPOV PRISMA would be invited to test the tool during a test campaign, in May 2019. Subject to their validation, Version 2.2 would be released around June 2019.

### Communication

15. The participants in the EAF/12 meeting noted that the following elements were planned in 2019:

- targeted communication to potential users of UPOV PRISMA through e-mail campaign or social media;
- regular updating of the UPOV PRISMA LinkedIn page (<https://www.linkedin.com/showcase/24973258/>), and of the UPOV PRISMA Twitter account (<https://twitter.com/upovprisma>);
- training sessions (*in situ* and online);
- press articles;
- update of the UPOV PRISMA webpage.

Financing of UPOV PRISMA

16. The participants noted that proposals concerning the financing of UPOV PRISMA would be considered by the Consultative Committee at its ninety-fifth session, to be held in Geneva, on November 1, 2018, and, if appropriate, by the Council at its fifty-second ordinary session, to be held in Geneva on November 2, 2018. It further noted that any decision taken would be reported at the thirteenth EAF meeting.

DATE OF NEXT MEETING

17. The next meeting of the EAF (EAF/13 meeting) was agreed to be held in Geneva on the morning of March 28, 2019.

[Annexes follow]

## LISTE DES PARTICIPANTS / LIST OF PARTICIPANTS / LISTA DE PARTICIPANTES

(dans l'ordre alphabétique des noms français des membres /  
in the alphabetical order of the French names of the Members /  
por orden alfabético de los nombres en francés de los miembros)

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[L'annexe II suit/  
Annex II follows/  
Sigue el Anexo II]

# Meeting on the development of an Electronic Application Form

Document EAF/12/2  
“Developments concerning  
UPOV PRISMA”

Geneva  
October 29, 2018



International Union for the Protection of New Varieties of Plants

## PREVIEW

- Background
- EAF Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Communication
- Next steps
- Financing of the EAF

# UPOV PRISMA



Version 2.1 released in September 2018

## UPOV PRISMA *Online PBR Application Tool*



The boundaries shown on this map do not imply the expression of any opinion whatsoever on the part of UPOV concerning the legal status of any country or territory.

Online application tool to submit application data to participating PVP Offices in required format

Easy access to PVP application forms, which can be displayed in a range of languages

Much of the information provided in the Technical Questionnaire will be automatically translated

Relevant data can be re-used in subsequent applications

Different user roles can be specified (e.g. drafter, signatory, translator, agent)

Controlled access, secure and confidential

## UPOV PRISMA

### Overview - what is it and how does it work?



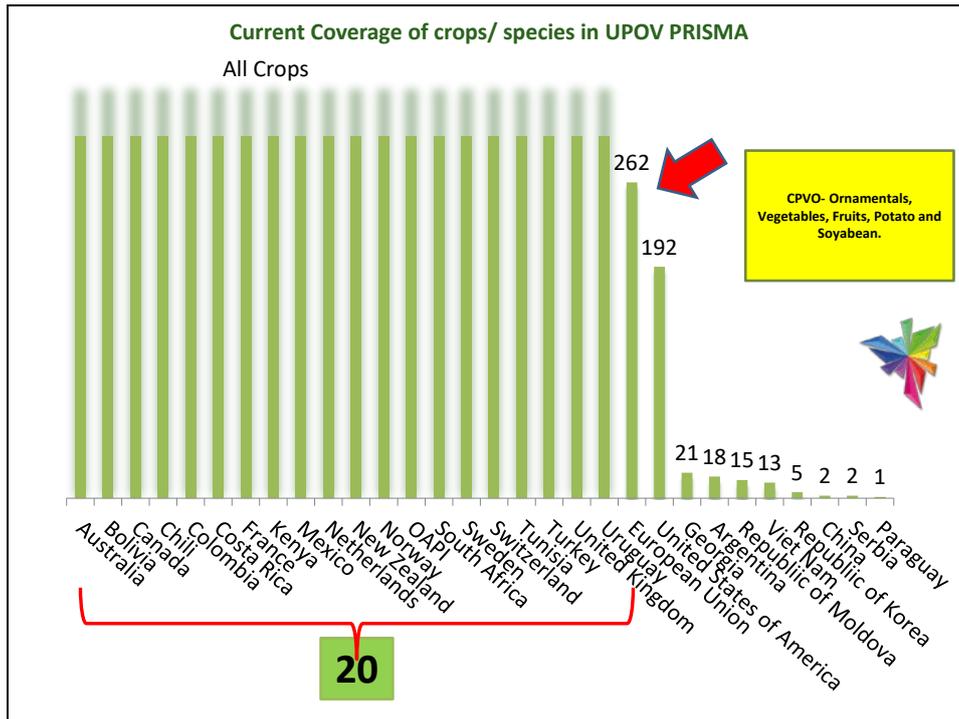
UPOV

### PREVIEW

- Background
- EAF Version 2.1 - Status
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  - Version 2.2
  - 2020 and +
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## Navigation languages

The screenshot shows the UPOV PRISMA web application interface. At the top, there are navigation links: "Start a new application", "Copy application", "User Role Management", "Edit user profile", and "Information for users". Below this is a table titled "Your Applications" with columns: International Reference Number, Created On, Modified On, Date of Submission, Crop, Proposed Variety Denomination, Breeder's Variety, and Submitter's Own Variety. The table contains two rows of data for Lactuca sativa L. To the right of the table is a language selection menu with buttons for English, Français, Deutsch, Español, Türkçe, and Tiếng Việt.

International Reference Number	Created On	Modified On	Date of Submission	Crop	Proposed Variety Denomination	Breeder's Variety	Submitter's Own Variety
NA	12/10/2018	11/16/2019	NA	Lactuca sativa L.			
NA	08/19/2018	06/16/2019	NA	Lactuca sativa L.			



## New functionalities **UPOV PRISMA Version 2.1**

- Information for Applicants;
- Novelty/ priority alert;
- Enhancement of agent role ;
- View application data in the final output format before submission;
- Revise application data after submission upon PVP office request;
- Structured TQ4, TQ7 and TQ9
- Confidential data in Technical Questionnaire chapter 4
- Link to UPOV PRISMA dedicated web site for users

UPOV



## New functionalities **UPOV PRISMA Version 2.1**

### Information for Applicants

- For each authority, the received content was reformatted into the new common format.
  - 20/30 replies

UPOV



## New functionalities UPOV PRISMA Version 2.1 Information for Applicants Common format

ABOUT UPOV	MEMBERSHIP	UPOV SYSTEM	PVP DATA & STATISTICS	MEETINGS	NEWS						
<p>HOME » UPOV PRISMA PBR APPLICATION TOOL » UPOV PRISMA »</p> <h3 style="text-align: center;">Information for Applicants</h3> <p style="text-align: center;"> <a href="#">[Search by UPOV member]</a> <a href="#">[Search by Topic]</a> </p> <p>Search by UPOV member:</p> <p> <input type="text" value="African Intellectual Property Organization (OAPI)"/> <input type="button" value="Search"/> </p>											
<p><b>UPOV PRISMA</b></p> <ul style="list-style-type: none"> <li>Go to UPOV PRISMA</li> <li><b>Information for Applicants</b></li> <li>Quick guides</li> <li>Tutorials</li> <li>User Guides</li> <li>Payment of fees</li> <li>Terms of Use</li> <li>Contact Us</li> </ul>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; vertical-align: top;"> <p>PVP Office Contact Information</p> </td> <td style="width: 50%; vertical-align: top;"> <p>African Intellectual Property Organization (OAPI) Place de la Préfecture B.P. 887 Yaoundé Cameroun</p> <p>Tel. (237) 222 20 57 00 / (237) 222 20 39 11 Fax. (237) 222 20 57 27 Website: <a href="http://www.oapi.int">http://www.oapi.int</a> E-mail: <a href="mailto:oapi@oapi.int">oapi@oapi.int</a></p> </td> </tr> <tr> <td style="vertical-align: top;"> <p>Specific requirements in relation to UPOV</p> </td> <td style="vertical-align: top;"> <p><b>Are there any specific requirements in relation to UPOV PRISMA?</b></p> <p>Not yet available.</p> </td> </tr> <tr> <td style="vertical-align: top;"> <p>Application data</p> </td> <td style="vertical-align: top;"> <p><b>Will I receive confirmation that my application data has been received successfully?</b></p> <p>Not yet available.</p> </td> </tr> </table>					<p>PVP Office Contact Information</p>	<p>African Intellectual Property Organization (OAPI) Place de la Préfecture B.P. 887 Yaoundé Cameroun</p> <p>Tel. (237) 222 20 57 00 / (237) 222 20 39 11 Fax. (237) 222 20 57 27 Website: <a href="http://www.oapi.int">http://www.oapi.int</a> E-mail: <a href="mailto:oapi@oapi.int">oapi@oapi.int</a></p>	<p>Specific requirements in relation to UPOV</p>	<p><b>Are there any specific requirements in relation to UPOV PRISMA?</b></p> <p>Not yet available.</p>	<p>Application data</p>	<p><b>Will I receive confirmation that my application data has been received successfully?</b></p> <p>Not yet available.</p>
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<p>Application data</p>	<p><b>Will I receive confirmation that my application data has been received successfully?</b></p> <p>Not yet available.</p>										

## New functionalities UPOV PRISMA Version 2.1 Information for Applicants Common format

<p>Plant Material</p>	<p><b>When applicable, where should I send the plant material?</b></p> <p>Not yet available.</p> <p><b>Will I receive confirmation that my application data has been received successfully?</b></p> <p>Not yet available.</p>
<p>Payment</p>	<p><b>How and when do I pay the PVP application fee?</b></p> <p>Not yet available.</p> <p><b>Will I receive confirmation that the payment has been received?</b></p> <p>Not yet available.</p>
<p>Agent / Local Representative</p>	<p><b>Do I need an agent or local representative in the country, if I am not a resident?</b></p> <p>Not yet available.</p>
<p>Filing Date</p>	<p><b>What is considered to be the filing date?</b></p> <p>Not yet available.</p>
<p>Deadlines</p>	<p><b>Are there deadlines for the receipt for my application?</b></p>

New functionalities  
**UPOV PRISMA Version 2.1**  
Information for Applicants

Next steps

- Face to face meetings during the sessions and/or Webex meetings

Tuesday lunchtime – Bilger Room (13.30 to 14.30)

- Target publication date (in English only):  
December 2018

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New functionalities  
**UPOV PRISMA Version 2.1**

Implementation in UPOV PRISMA V2.1

- A link to the published dedicated web page is included in the notification email.
- The notification is sent:
  - when starting a new application
  - after submitting an application



Wed 10/17/2016 9:14 AM

Noreply Upov

[UPOV PRISMA] You have started a new application

To: Madhour, Henda

Madam,  
Sir,

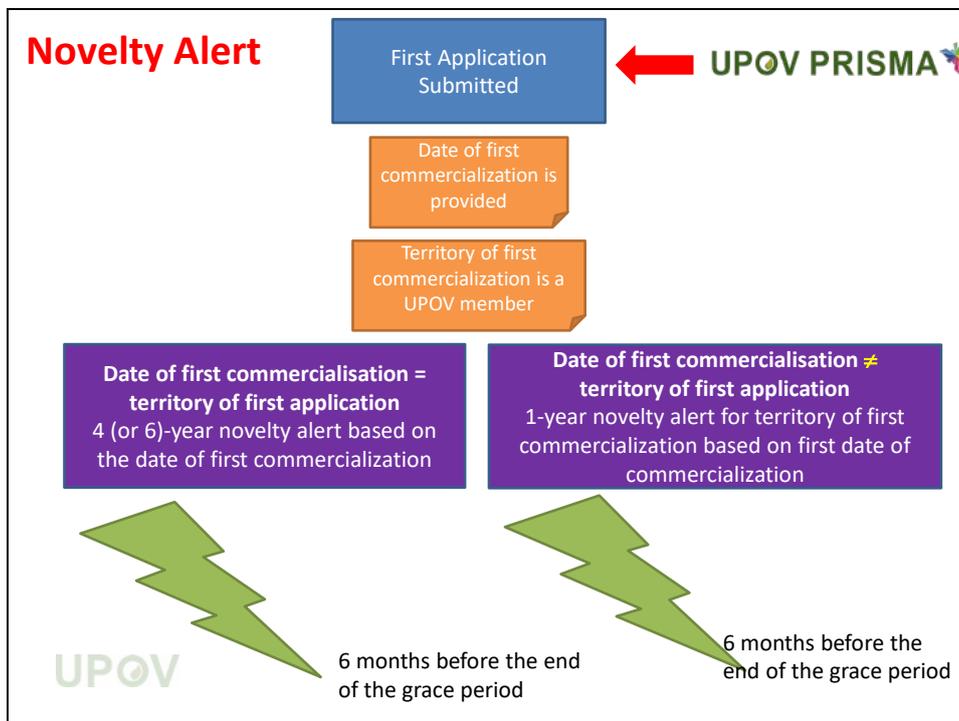
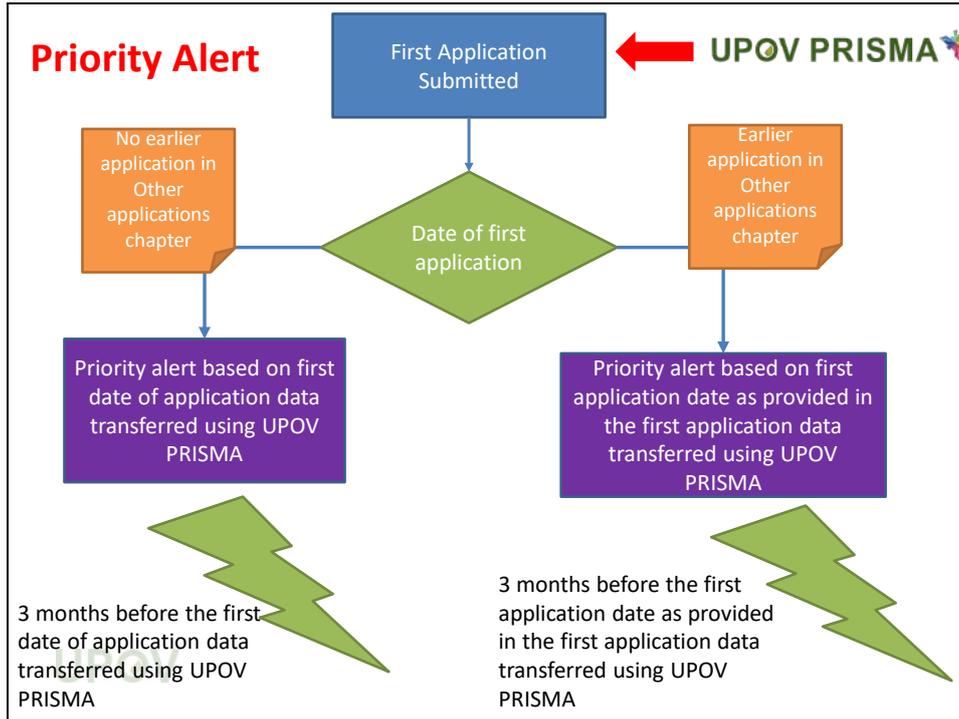
You have started a new application for **Lettuce** to **UNITED KINGDOM**.

More information about the application process in the Authority you have selected including steps to be taken after the data has been submitted is provided at:  
[www.upov.int/upov/prismausers/en/informationapplicants.html](http://www.upov.int/upov/prismausers/en/informationapplicants.html)

Best Regards,

UPOV PRISMA Team





## New functionalities UPOV PRISMA Version 2.1

### Priority/Novelty Alert

#### Default values

- A survey was sent to UPOV PRISMA users.
- Priority: « 3 months» period
- Novelty:
  - in the territory of first commercialization: « 3 months» period
  - outside the territory of first commercialization: «6 months» period

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## New functionalities UPOV PRISMA Version 2.1

### Priority/Novelty Alert

#### Personalized notifications

- It is possible for the breeders to specify when he would like to receive this notification in the user profile page.

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#### Priority/Novelty notifications management

##### Priority

Existing reminders

✘	10	month(s)	before deadline to claim priority
+	<input type="text"/>	<input type="text"/>	before deadline to claim priority

##### Novelty in the territory of first commercialization

Existing reminders

✘	3	month(s)	before expiry of 1 year grace period for novelty
+	<input type="text"/>	<input type="text"/>	before expiry of 1 year grace period for novelty

##### Novelty outside the territory of first commercialization

Existing reminders

✘	3	month(s)	before expiry of 4/6 years grace period for novelty
+	<input type="text"/>	<input type="text"/>	before expiry of 4/6 years grace period for novelty

## New functionalities UPOV PRISMA Version 2.1

### Priority alert - Example

 Noreply Upov | Madhour, HEND | Sun 1:00 AM  
[UPOV PRISMA TEST] Priority Alert

Madam,  
Sir,

Based on the information provided in UPOV PRISMA, you may only have 10 months left to submit subsequent applications to other UPOV members in order to claim and enjoy the right of priority for the following variety:

International Reference Number	XU_30201800000211
Breeder's reference (if applicable)	
First date of application data transferred to African Intellectual Property Organization (OAPI) using UPOV PRISMA	13/08/2018

Best Regards,  
UPOV PRISMA Team

-----  
*International Union for the Protection of New Varieties of Plants*  
*Disclaimer*  
*This alert is based on the date of the application data transferred using UPOV PRISMA and not on the filing date in the UPOV member concerned.*  
*This priority alert is intended to provide assistance to the user in relation to priority matters exclusively based on the information provided by the user in UPOV PRISMA.*  
*The user is responsible for the accuracy of the data provided and on meeting the requirements for a filing date in the UPOV member concerned.*

## New functionalities UPOV PRISMA Version 2.1

### Enhancement of Agent role

- An agent can:

- Start new application
- Copy application
- Assign Co-agent, drafter and signatory roles

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## New functionalities UPOV PRISMA Version 2.1 Enhancement of Agent role

- Can be appointed by different breeders
- On an application-by- application basis, Breeders can choose to delegate to the agent:
  - Draft application data
  - Sign, Submit and Pay



## New functionalities UPOV PRISMA Version 2.1 View application data in the final output format before submission

The screenshot shows the 'Application Form' interface. On the left, there is a navigation menu with sections like 'APPLICANTS', 'PROCEDURE', 'TECHNICAL QUESTIONNAIRE', and 'DECLARATION AND SIGNATURE'. The main area is titled 'Review your application data' and contains a form with various fields. The form includes a 'Name' field, an 'Address' field, and a 'Review' section with a 'Submit' button. There are also checkboxes for 'I am not a resident of the country of origin' and 'I am not a resident of the country of origin'. The form is displayed in a window with a 'Next' and 'Cancel' button at the bottom.

## New functionalities UPOV PRISMA Version 2.1

### Revise application data after submission upon PVP office request

- In case of missing information, the PVP office can request the breeder to update his application data and resubmit without additional charges.

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Variety Reference	Breeder Name	Applicant Payment Status	Action
egshsg	R		<a href="#">View</a>
breeder's name	N		<a href="#">Ask for revision</a>

## New functionalities UPOV PRISMA Version 2.1 Structured TQ7 and TQ9

Application Form

- APPLICANTS
- REPRESENTATIVE(S)/AGENT(S)
- BREEDER(S)
- CORRESPONDENCE ADDRESS
- EXHIBITION
- OTHER APPLICATIONS
- PROSODY CLAIM
- NOVELTY
- TECHNICAL EXAMINATION

Technical Questionnaire

- BREEDING SCHEME
- VARIETY CHARACTERISTICS
- VARIETY COMPOSITION
- PLANT MATERIAL INFORMATION
- ADDITIONAL INFORMATION

Declaration And Signature

- DECLARATION AND SIGNATURE
- ATTACHMENTS

Export Save

Submit Cancel

All mandatory fields in this chapter have been answered

All mandatory fields in this chapter have not been answered

Mandatory

Specific rules. Please click on the corresponding link for details

Please check that all the questions are answered in the relevant language

The text in grey next to each question denotes the corresponding standard UPOV reference as defined in

TOPS/Section 2: UPOV Model Form for the Application for Plant Breeder's Rights

Additional information which may help in the examination of the variety

In addition to the information provided in sections 5 and 6, are there any additional characteristics which may help to distinguish the variety?  Yes  No

Are there any special conditions for growing the variety or conducting the examination?  Yes  No

Other information (1)

Authorization for release

Does the variety require prior authorization for release under legislation concerning the protection of the environment, human and animal health?  Yes  No

Sensitivity to silvering \*

not tested  
 absent  
 present

Resistance to *Fusarium oxysporum f. sp. radicum-lycoopersici* \*

not tested  
 absent  
 present

Resistance to *Fulvia fulva* Race 0 \*

not tested  
 absent  
 present

Resistance to *Fulvia fulva* Group A \*

not tested  
 absent  
 present

Resistance to *Fulvia fulva* Group B \*

## New functionalities UPOV PRISMA Version 2.1 Structured TQ4

The screenshot displays the 'Information on the breeding scheme and propagation of the variety' section of the application form. The left sidebar lists various form sections, including 'APPLICANTS', 'REPRESENTATIVE(S)/AGENT(S)', 'BREEDER(S)', 'CORRESPONDENCE ADDRESS', 'DENOMINATION', 'OTHER APPLICATIONS', 'PRIORITY CLAIM', 'TECHNICAL EXAMINATION', 'BREEDING SCHEME', 'VARIETY CHARACTERISTICS', 'VARIETY COMPOSITION', 'PLANT MATERIAL INFORMATION', 'ADDITIONAL INFORMATION', and 'DECLARATION AND SIGNATURE'. The main content area includes a header, a confidentiality notice, a dropdown for 'Variety resulting from crossing', a text input field for parent varieties, a list of propagation methods (partially known cross, unknown cross, mutation, discovery and development, other), a dropdown for 'Method of propagating the variety', a list of propagation methods (self-pollination, cross-pollination, population, synthetic variety, hybrid, other), a section for vegetatively propagated varieties, and a 'Pollinator' section with a list of varieties.

## New functionalities UPOV PRISMA Version 2.1 Support of confidential data in TQ4

The three screenshots illustrate the 'Support of confidential data in TQ4' functionality. Each screenshot shows the 'Information on the breeding scheme and propagation of the variety' section. The first screenshot shows the standard state where information is not treated as confidential. The second screenshot shows a question: 'Would you like to request to treat the information provided in this section as confidential information?' with 'Yes' and 'No' radio buttons. The third screenshot shows the state where the information is automatically treated as confidential.

## New functionalities UPOV PRISMA Version 2.1

Link to UPOV PRISMA dedicated web  
site for users



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## Present UPOV website/ Information for users (new layout) Public Website

UPOV PRISMA (FREE UNTIL DECEMBER 2018)

Search bar and navigation: Deutsch, Español, Français, Home, Contact us, Site map, YouTube

Navigation menu: ABOUT UPOV, MEMBERSHIP, UPOV SYSTEM, PVP DATA & STATISTICS, MEETINGS, NEWS

UPOV PRISMA PBR Application Tool

THE ON-LINE TOOL WHICH HELPS YOU MAKE APPLICATIONS WITH ALL PARTICIPATING PVP OFFICES VIA THE UPOV WEBSITE

Main features:

- Online application tool to submit application data to participating PVP Offices in required format
- Easy access to PVP application forms, which can be displayed in a range of languages (Input languages: Chinese, English, French, German, Japanese, Korean, Spanish, Turkish, Vietnamese) (Output languages: Georgian, Norwegian, Romanian, Serbian, Swedish)
- Much of the information provided in the Technical Questionnaire will be automatically translated
- Relevant data can be re-used in subsequent applications
- Different user roles can be specified (e.g. drafter, signatory, translator, agent)
- Controlled access, secure and confidential
- Track your applications

UPOV PRISMA Overview - what is it and how does it work?

UPOV members and crops/species currently covered by UPOV PRISMA

[Global\_Overview] [Search by Crop/Species] [Search by UPOV member]

## Present UPOV website/ Information for users (new layout)

### UPOV PRISMA users site



The screenshot displays the UPOV PRISMA website interface. At the top, there is a green header with the UPOV PRISMA logo and a navigation menu with links for ABOUT UPOV, MEMBERSHIP, UPOV SYSTEM, PVP DATA & STATISTICS, MEETINGS, and NEWS. Below the header, a sidebar on the left lists various resources like 'Go to UPOV PRISMA', 'Information for Applicants', 'Quick guides', 'Tutorials', 'User Guides', 'Payment of fees', 'Terms of Use', and 'Contact Us'. The main content area features a 'What's New?' section with a link to 'sign up to our newsletter' and an 'Archive' link. Below this is a large graphic with the text 'Did you know that ...?' and a network diagram of icons representing users and connections. The UPOV PRISMA logo is prominently displayed in the center.

## Status on the use of UPOV PRISMA as of October 29, 2018

- **59** entities registered in UPOV PRISMA
  - 47 breeder administrators
  - 12 agents
- **63** applications submitted:
  - by 9 entities
  - by 1 agent
  - For 10 crops: Apple Fruit Varieties, barley, egg plant, lettuce, tomato, tomato rootstocks, potato, rose, sweet pepper, welsh onion
  - in 14 authorities: Australia, Canada, Chile, European Union, Kenya, Mexico, Netherlands, New Zealand, Norway, Switzerland, Tunisia, Turkey, United states, United Kingdom

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## Status on the use of UPOV PRISMA as of October 29, 2018

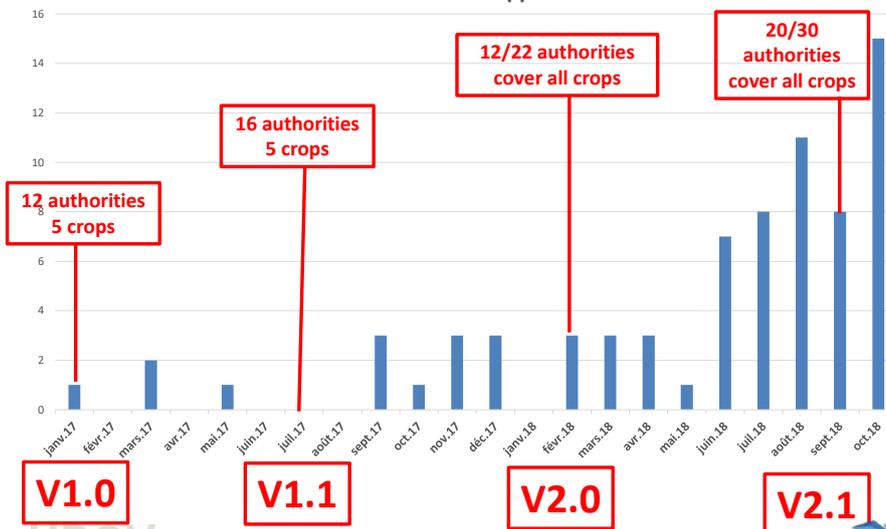
Year	Number of applications	Number of countries	Number of different applicants	Number of crops
2017	14	6 (AU, CH, CL, NO, NL, NZ)	4	3 (Apple, lettuce, potato)
2018	49	13 (AU, CA, CH, CL, GB, KE, MX, NL, NZ, QZ, TN, TR, US)	10	9 (barley, egg plant, lettuce, tomato, tomato rootstocks, potato, rose, sweet pepper, welsh onion)

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## Status on the use of UPOV PRISMA as of October 29, 2018

Number of submitted application data



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## Status on the use of UPOV PRISMA as of October 29, 2018

### Number of received application data per authority

Authority	2017	2018
NZ (7)	5	2
CL (3)	3	0
AU (8)	2	6
CH (3)	2	1
NL (7)	1	6
NO (1)	1	0
KE (8)	0	8
MX (6)	0	6
QZ (5)	0	5
TR (5)	0	5
US (3)	0	3
CA (2)	0	2
GB (2)	0	2
TN (1)	0	1
ZA (1)	0	1

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## Status on the use of UPOV PRISMA as of October 29, 2018

### Number of received application data per crop

Crop	2017	2018
Lettuce (20)	5	15
Apple Fruit Varieties (5)	5	0
Potato (7)	4	3
Rose (12)	0	12
Tomato (6)	0	6
Barley (5)	0	5
Sweet pepper (4)	0	4
Egg Plant (1)	0	1
Solanum lycopersicum L. var. lycopersicum x Solanum habrochaites S.		
Knapp & D.M. Spooner (1)	0	1
Welsh Onion (1)	0	1

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## Status on the use of UPOV PRISMA as of October 29, 2018

### UPOV PRISMA Received application data

Authority	2017		2018	
AU (8)	2	1: Lettuce 1: Apple Fruit Varieties	6	2: Lettuce 3: Rose 1: Potato
KE (8)	0	NA	8	7: Rose 1: Barley
NL (7)	1	1: Lettuce	6	1: Lettuce 2: Tomato 3: Sweet Pepper
NZ (7)	5	3: Lettuce 1: Potato 1: Apple Fruit Varieties	2	1: Lettuce 1: Potato
MX (6)	0	NA	6	2: Lettuce 3: Tomato 1: Welsh Onion
OZ (5)	0	NA	5	3: Lettuce 2: Rose
TR (5)	0	NA	5	1: Sweet pepper 1: Barley 1: Lettuce 1: Egg Plant 1: Solanum lycopersicum L. var. lycopersicum x Solanum habrochaites S. Knapp & D.M. Spooner



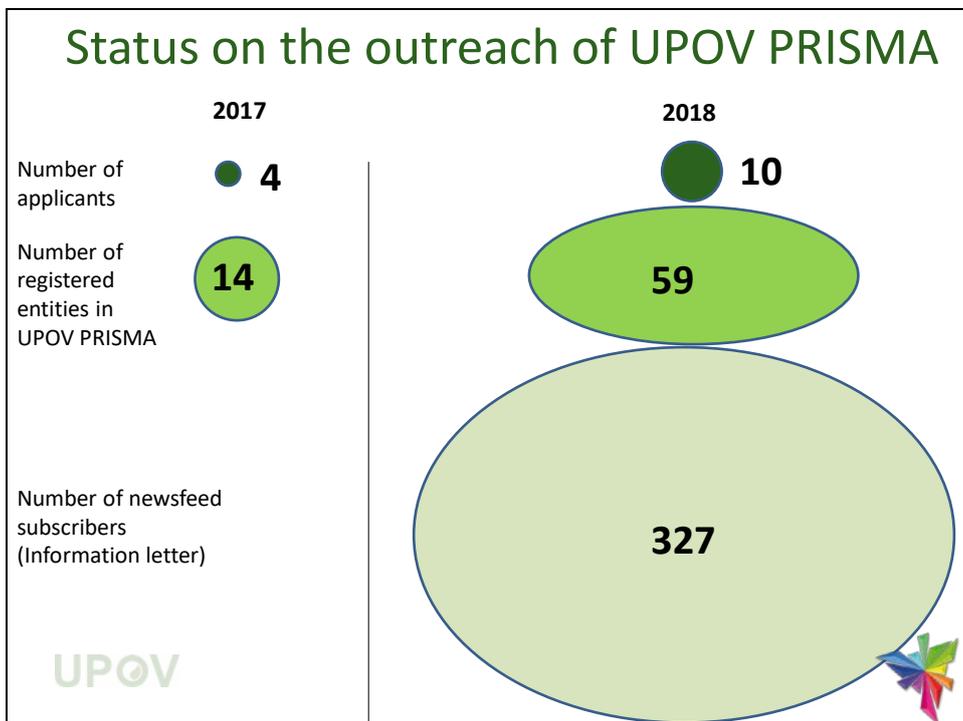
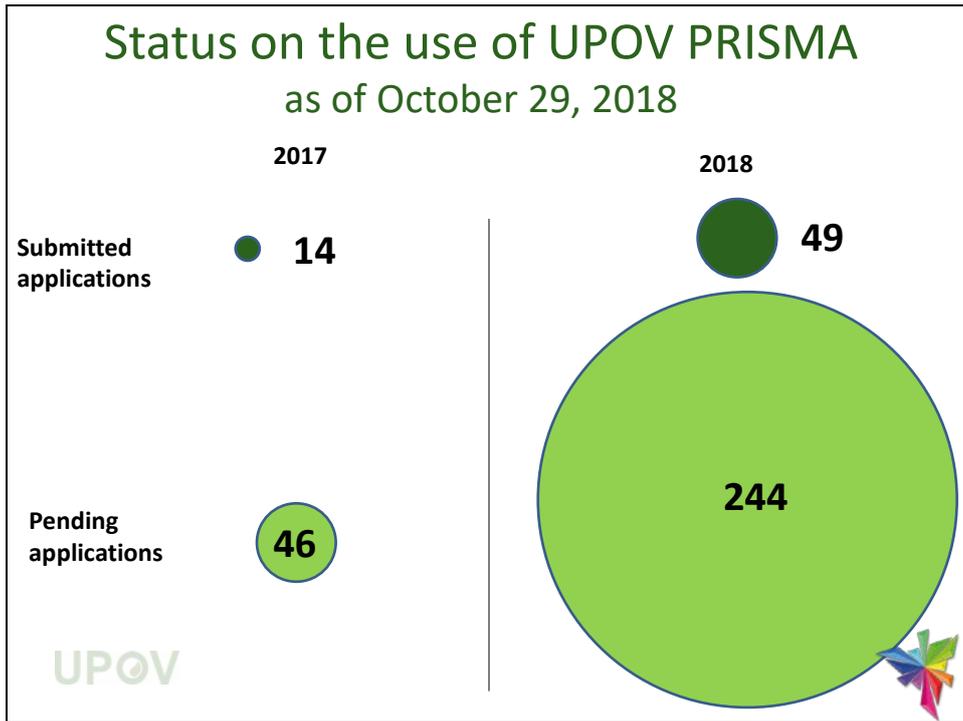
## Status on the use of UPOV PRISMA as of October 29, 2018

### UPOV PRISMA Received application data

Authority	2017		2018	
CH (3)	2	2: Apple Fruit Varieties	1	1: Potato
CL (3)	3	3: Potato	0	NA
US (3)	0	NA	3	3: Lettuce
CA (2)	0	NA	2	1: Barley 1: Tomato
GB (2)	0	NA	2	2: Lettuce
NO (1)	1	1: Apple Fruit Varieties	0	NA
TN (1)	0	NA	1	1: Barley
ZA (1)	0	NA	1	1: Barley

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## PREVIEW

- Background
- EAF Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- Communication
- Next steps
- Financing of the EAF

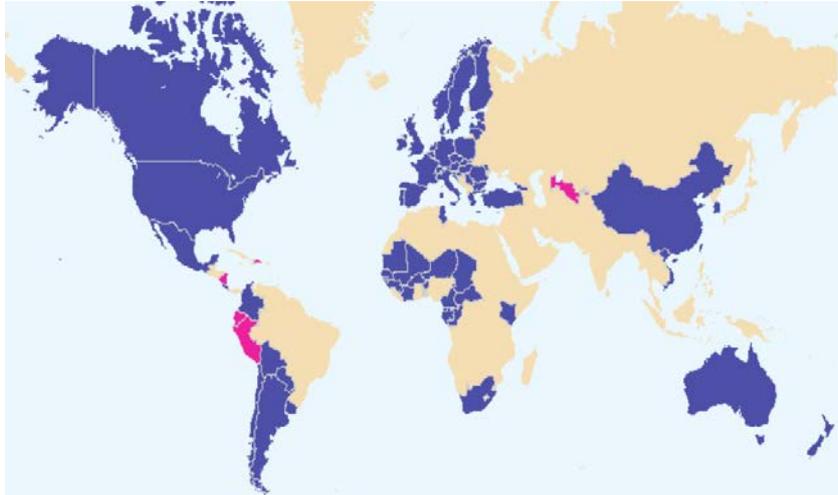
## Anticipated new participating authorities in Version 2.2 : Scope

- New authorities which could be included in UPOV PRISMA Version :
  - Ecuador
  - Dominican Republic
  - Nicaragua
  - Peru
  - Uzbekistan
  - Trinidad and Tobago

Anticipated total number  
- of authorities : 36  
- of countries covered: 75



## Anticipated new UPOV Members in UPOV PRISMA V2.2



*The boundaries shown on this map do not imply the expression of any opinion whatsoever on the part of UPOV concerning the legal status of any country or territory.*



## Anticipated new Features/ functionalities in Version 2.2

- Navigation language
  - Russian
- Customized Interface : Canada
- Machine to Machine communication

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## Anticipated new Features/ functionalities in V2.2

### Russian as Navigation Language

UPOV PRISMA Это тестовая среда. Реальная система доступна по адресу <https://www.wipo.int/ipr/ru/>

Начать оформление новой заявки | Скопировать заявку | Массовая загрузка | Управление функциями пользователя

Международный справочный номер	Дата создания	Дата изменения	Дата подачи	Культура	Предлагаемое наименование сорта	Спра прис
XU_3020180000024 5	22/10/2018	22/10/2018	NA	Rosa L.	huih	
XU_3020180000024 2	16/10/2018	16/10/2018	NA	Lactuca sativa L.	a	a

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## Anticipated new Features/ functionalities in V2.2

### Customized Interface

Canadian Food Inspection Agency This is a test environment. The real system is available at <https://www3.wipo.int/ipr/prisma> | Welcome User | Home | My Profile | English

#### New Application Settings

Drop/Species \*

Select Authority \*

Submitter's Own Reference \*

Upload Existing Data (ZIP)

Select Language for answers

Application Form \*

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## Anticipated new Features/ functionalities in V2.2

### Machine to machine communication

- Breeders:
  - Bulk upload feature
- PVP offices
  - GE; JP; BR; US; CN; AU

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## Anticipated new Features/ functionalities in V2.2

### Adoption of Test Guidelines and Update of UPOV TQ forms in UPOV PRISMA

- Partial Revision
- Full Revision
- New TG (new TG Code)

XML Schemas  
should be updated



New deployment is required

Each new release will take into account all the adopted TGs since the previous release.

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Anticipated new Features/ functionalities in V2.2

## Crop-specific TQs beyond Test Guidelines

- Only authorities using UPOV TQs and/or UPOV Characteristics
  - Option 1: use generic TQ ( Up to version 2.1)
  - Option 2: link to a similiar UPOV TG (to be added in Version 2.2)
  - Option 3: ?

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Anticipated new Features/ functionalities in V2.2

## Crop-specific TQs beyond Test Guidelines – Option 2

- European: Fruits, Vegetables and Ornamentals: Crops not in UPOV PRISMA (with no corresponding UPOV TG)
- Other countries: upon request

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Anticipated new Features/ functionalities in V2.2

## Crop-specific TQs beyond Test Guidelines – Option 2

- For each authority using the UPOV TG, UPOV PRISMA will display the UPOV TQ questions and/or TG characteristics based on the coverage specified in the provided replies.
- The authority is responsible for notifying UPOV PRISMA team in case of any extension in any TG coverage.

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Anticipated new Features/ functionalities in V2.2

## Crop-specific TQs beyond Test Guidelines – Option 3



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<b>Tentative Timetable for UPOV PRISMA Version 2.2</b>		
<b>Authority</b>	<b>From/To</b>	<b>Milestone Release (MR) Contents/ Functionalities</b>
UZ, QZ	24/09/18 to 12/10/18	Add new authority: UZ Add crops for QZ Add Russian as navigation language
NI, PE, DM	15/10/18 to 14/12/18	Add NI, PE, DM Update partially revised UPOV TQs
EC, TT	17/12/18 to 08/02/19	Add EC, TT Update fully revised UPOV TQs
CA	11/02/19 to 08/03/19	Add 2 crops for CA
All	11/03/19 to 05/04/19	Add new adopted TGs
CN	08/04/19 to 03/05/19	Add more crops
All	06/05/19 to 31/05/19	User acceptance tests

**Launch UPOV PRISMA  
Version 2.2: 03/06/19**

## PREVIEW

- Background
- EAF Version 2.1 - Status
- **Future developments**
  - Version 2.2
  - 2020 and +
- Communication
- Next steps
- Financing of the EAF

## FUTURE STEPS (2020 and +)

- Expansion
  - **Members**
  - **Crops/species**
- New functionalities
  - **Access to a list of local accredited agents/ procedural representatives**
  - **Information on DUS cooperation**
  - **More PVP offices with machine-to-machine links/ communication to UPOV PRISMA**
  - **Machine translation**

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## PREVIEW

- Background
- EAF Version 2.1 - Status
- Future developments
  - Version 2.2
  - 2020 and +
- **Communication**
- Next steps
- Financing of the EAF

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## UPOV PRISMA COMMUNICATION

- **What's been done since EAF/11**
- What's planned for later in 2018/2019
- How you can continue to help promote UPOV PRISMA

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## UPOV PRISMA Communication Strategy

Purpose:

➤ To increase

- **visibility** of the Tool
- the **number of registered entities** in the system
- the **number of customers/applicants** (users who have submitted application data)

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**WEBSITE & SOCIAL MEDIA**

## UPOV PRISMA

### Communication Strategy

**WHAT**

- Promotional activities
- Press Articles
- Videos
- Information on the tool

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# UPOV PRISMA

PROMOTIONAL  
ACTIVITIES

## On-site / On-line Promotion

Done:



- CIOPORA Academy
- ISF Congress
- ESA Annual Meeting
- Vegepolys



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WEBSITE &  
SOCIAL MEDIA

# UPOV PRISMA

## Press Articles

- European Seed (May 2018)
  - *“Platform helps breeders make their varieties widely available”*
- Cultivar Seed (May 2018)
  - *“Know more about the plant breeders’ right application tool”*
- Seed World (June 2018)
  - *“Helping breeders make their varieties more widely available”*
- European IPR Helpdesk (October 2018)
  - *“UPOV PRISMA: Quick and easy online tool for transmission of application data for plant breeders’ rights”*



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WEBSITE & SOCIAL MEDIA

# UPOV PRISMA

PROMOTIONAL MATERIALS

## Communication Strategy

### Videos

- New testimonials (from PVP Offices)

I think this is a very important aspect of the whole - the way it links the two systems.

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YouTube

Experience of a PVP Office – Australia

The application tool links successfully into the national system.

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YouTube

Experience of a PVP Office – New Zealand

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WEBSITE & SOCIAL MEDIA

# UPOV PRISMA

PROMOTIONAL MATERIALS

## Communication Strategy

### Videos

We understand how difficult and time-consuming it is to protect your plant varieties in all your target markets.

UPOV PRISMA Overview - what is it and how does it work?

Twitter LinkedIn

How does this work?

UPOV PRISMA - How to get started

Twitter LinkedIn

UPOV PRISMA  
How to start a new application

UPOV PRISMA - How to start a new application

Twitter LinkedIn

UPOV PRISMA  
Who's Who - getting the roles right in UPOV PRISMA

UPOV PRISMA - Who's who - getting the roles right in UPOV PRISMA

Twitter LinkedIn

WEBSITE &  
SOCIAL MEDIA

## UPOV PRISMA

### Information

#### Sent

- Participating authorities
- Crop/species coverage
- Languages
- Enhanced agent role

#### Scheduled

- *Novelty & Priority alerts*
- *Number of Users*
- *Number of applications submitted*
- *Applications (where and for which crop)*
- *Information for applicants (PVP Office procedures)*

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WEBSITE &  
SOCIAL MEDIA

## UPOV PRISMA

### Communication Strategy

#### HOW

- Social Media – 3 different platforms



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WEBSITE & SOCIAL MEDIA



# UPOV PRISMA

## MailChimp Campaigns 10 & 11

BECOME a better IP STRATEGIST with...

Washington D.C. Workshop on IP for Plants - Sept 5-6

UPOV PRISMA PR Application Tool: The Basics



Latest opportunity to find out more about UPOV PRISMA

The CIOFORA Academy Washington D.C. Workshop on IP for Plants September 5-6, 2018

Deadline for registration: August 24, 2018 (English only)

KEEP ME POSTED

FIND OUT MORE

Videos  
UPOV PRISMA - How to get started

UPOV PRISMA HOW TO GET STARTED



KEEP ME POSTED

FIND OUT MORE

13 August 2018



22 August 2018

WEBSITE & SOCIAL MEDIA



# UPOV PRISMA

## MailChimp Campaign 12

### Launch Version 2.1

17 September 2018

UPOV PRISMA

How to use the online management application to the benefit of you

EXPANSION OF UPOV PRISMA

What's New?

NEW PARTICIPATING AUTHORITIES



- African Intellectual Property Organization (AIP)
- Arab League
- Authority of Rome
- Bolivia
- South Africa
- United Kingdom
- Viet Nam

Total of 28 participating authorities

CROCODILES CROSSING STRAITS



28 Authorities now support full, Greek and Spanish (previously 12)

LANGUAGES  
UPOV PRISMA now supports 14 languages



Navigation languages	Output languages
<ul style="list-style-type: none"> <li>• Chinese</li> <li>• English</li> <li>• French</li> <li>• German</li> <li>• Italian</li> <li>• Japanese (kanji)</li> <li>• Spanish</li> <li>• Turkish (kanji)</li> <li>• Vietnamese (kanji)</li> </ul>	<ul style="list-style-type: none"> <li>• Georgian</li> <li>• Norwegian</li> <li>• Portuguese</li> <li>• Serbian (kanji)</li> <li>• Swedish (kanji)</li> </ul>

NOVELTY AND PRIORITY ALERTS



UPOV PRISMA enables brokers to set up priority and novelty alerts in their profile to assist them in the management of their PRIS portfolios.

NOVELTY AND PRIORITY ALERTS



UPOV PRISMA enables brokers to set up priority and novelty alerts in their profile to assist them in the management of their PRIS portfolios.

ENHANCED AGENT ROLE



UPOV PRISMA allows brokers to assign an agent and allocate the rights to changes to their OWN, Sign, Pay and Submit in relation to each PRIS application.

Agents can also create user accounts to work visibility with potential clients. The list of agents with accounts in UPOV PRISMA is visible to applicants who are looking for agents.

KEEP ME POSTED

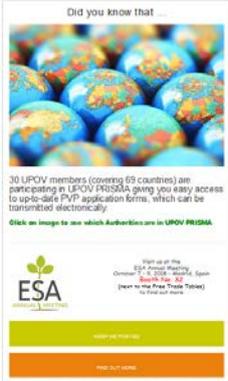
FIND OUT MORE

WEBSITE &  
SOCIAL MEDIA

# UPOV PRISMA

## MailChimp Campaigns 13 - 15

### New strategy – regular short news updates



24 September 2018



10 October 2018

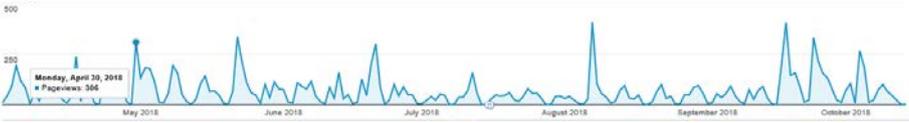


22 October 2018

WEBSITE &  
SOCIAL MEDIA

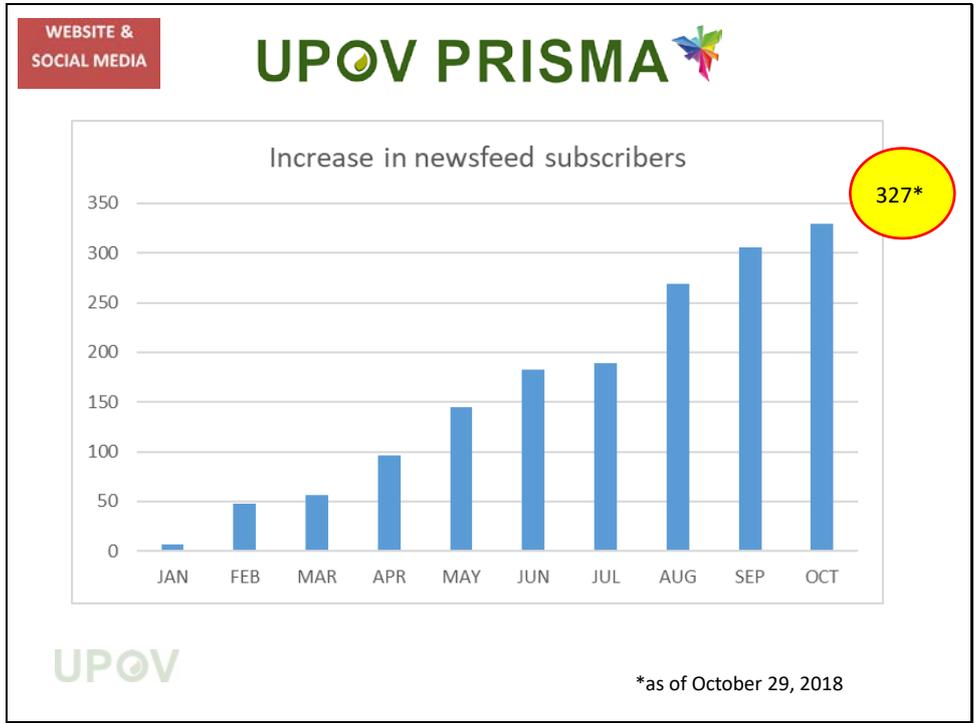
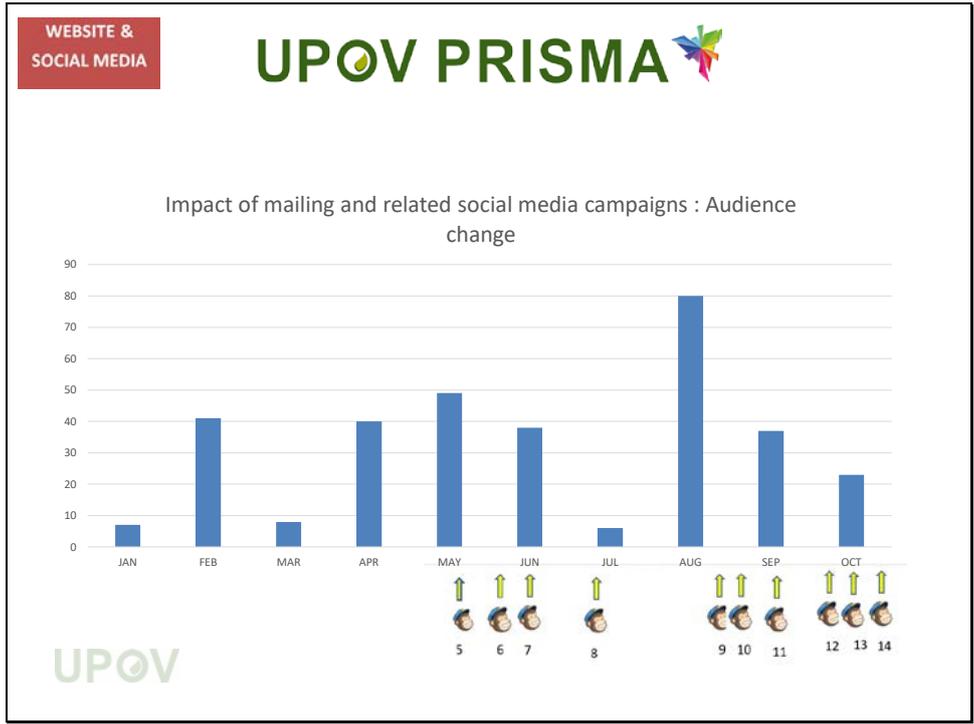
# UPOV PRISMA

## #Views on the UPOV PRISMA Website



Day	Views (Approximate)
5	1
6	2
7	2
8	1
9	2
10	2
11	1
12	3
13	3
14	3

➤ Impact of mailing and related social media campaigns



## UPOV PRISMA Communication Strategy

- Status:
  - To increase visibility of the Tool 
  - To increase the number of registered entities in the system 
    - [20 entities in February 2018]
    - [58 entities in October 2018]
  - To increase the number of customers (users who have submitted application data) 
    - [14 applications in 2017 (4 users/ applicants)]
    - [63 applications as of October 2018 (4 users/ applicants)]

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## UPOV PRISMA COMMUNICATION

- What's been done since EAF/11
- **What's planned later in 2018-2019**
- How you can continue to help promote UPOV PRISMA

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WEBSITE &  
SOCIAL MEDIA

PROMOTIONAL  
MATERIALS

# UPOV PRISMA

## Communication Strategy

### Videos

- UPOV PRISMA – Agents  
  

- New Testimonial videos

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WEBSITE &  
SOCIAL MEDIA

PROMOTIONAL  
MATERIALS

# UPOV PRISMA

## Communication Strategy

### Press Articles (planned)

- WIPO Magazine (December 2018)
- APSA Magazine (December 2018)

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WEBSITE & SOCIAL MEDIA	<b>UPOV PRISMA</b>  Communication Strategy	PROMOTIONAL MATERIALS
	<b>Social media campaigns</b>	  
	<b>Information newsfeeds</b>	
	<ul style="list-style-type: none"><li>➤ “How to” Videos</li><li>➤ Series of “Did you know that ....?” newsfeeds</li><li>➤ Notification of applications submitted via UPOV PRISMA (<i>subject to agreement by PVP Office concerned</i>)</li><li>➤ Notifications of crop/species application deadlines in PBR authorities</li></ul>	
	<b>UPOV</b>	

[End of Annex II and of document]