



TWO/42/12

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**INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS**  
GENEVA

**TECHNICAL WORKING PARTY FOR ORNAMENTAL PLANTS  
AND FOREST TREES**

**Forty-Second Session**  
**Angers, France, September 14 to 18, 2009**

**ASSESSING UNIFORMITY BY OFF-TYPES  
ON THE BASIS OF MORE THAN ONE SAMPLE OR SUB-SAMPLES**

*Document prepared by the Office of the Union*

1. At its twenty-second session, held in Tsukuba, Japan, from June 14 to 17, 2004, the Technical Working Party on Automation and Computer Programs (TWC) agreed to produce a questionnaire to seek information on population standards used in the assessment of uniformity by off-types, in particular when tests from more than one year were used.
2. At the twenty-fifth session of the TWC, held in Romania, from September 3 to 6, 2007, the TWC discussed the draft questionnaire on off-types contained in document TWC/25/18. The TWC noted that the questionnaire was intended to address only situations where uniformity by off-types was assessed on the basis of more than one sample, or on a sub-sample of a single sample and agreed that the title of the questionnaire should be amended accordingly. The TWC agreed that the results of the questionnaire should be reviewed with the view to incorporating guidance in TGP/8, Part II, "I. The Method of Uniformity Assessment on the Basis of Off-Types".
3. At its twenty-sixth session, held in Jeju, Republic of Korea, from September 2 to 5, 2008, the TWC considered document TWC/26/8 "Population standards used for assessing uniformity by off-types on the basis of more than one sample", prepared by experts from Germany, the United Kingdom and the Office of the Union.

4. The TWC agreed that a questionnaire could be issued on the basis of the Annex to document TWC/26/8, with certain minor amendments. However, the TWC noted that the example provided in the Annex to document TWC/26/8 indicated that it would be useful for the TWC to discuss the use of such an approach. A copy of the questionnaire, with the amendments agreed by the TWC is reproduced in the Annex to this document.

5. The Technical Committee (TC), at its forty-fifth session, held in Geneva from March 30 to April 1, 2009, considered the draft questionnaire "Population standards used for assessing uniformity by off-types on the basis of more than one sample", as presented in the Annex to this document.

6. The TC agreed that the draft questionnaire, as presented in the Annex to this document, should be circulated for consideration by the Technical Working Parties (TWPs) at their sessions in 2009 and requested the Office of the Union to provide a new draft of the questionnaire, based on the TWP comments, for approval at the forty-sixth session of the TC in 2010. The TC agreed that the approved questionnaire should be issued by the Office of the Union to the TC representatives of the members of the Union and the replies presented for consideration by the TC at its forty-seventh session. On the basis of the replies, the TC would consider whether that matter should be included in a future revision of document TGP/8 "Trial Design and Techniques Used in the Examination of Distinctness, Uniformity and Stability".

*Comments of the Technical Working Party for Vegetables*

7. At its forty-third session, held in Beijing, China, from April 20 to 24, 2009, the Technical Working Party for Vegetables (TWV) considered the draft questionnaire presented in the Annex to document TWV/43/14 "Assessing Uniformity by Off-Types on the Basis of More Than One Sample or Sub-Samples" (reproduced as the Annex to this document). The TWV agreed that the questionnaire should provide a further example to illustrate options where uniformity was assessed in a plant sample of 40 plants in each of two independent growing cycles, in two separate plantings. In the first option, the uniformity would be assessed in 80 plants over the two growing cycles. In the second option, the uniformity would be assessed in 40 plants in each of the two years, with a decision rule that failure in one year would lead to a third year of examination, with the final decision being based on two years out of three.

*Comments of the Technical Working Party on Automation and Computer Programs*

8. At its twenty-seventh session, held in Alexandria, Virginia, United States of America, from June 16 to 19, 2009, the TWC considered the draft questionnaire presented in the Annex to document TWC/27/13 "Assessing Uniformity by Off-Types on the Basis of More Than One Sample or Sub-Samples" (reproduced as the Annex to this document). With regard to the draft questionnaire in the annex to that document, it was agreed that paragraph 1.4 should read as follows "Please complete the attached form with information on how uniformity is assessed by off types for cases where more than one sample or sub-sample are used, as explained in paragraph 1.3."

[Annex follows]

## ANNEX

## DRAFT QUESTIONNAIRE

*developed by the Technical Working Party on Automation and Computer Programs (TWC)*

Population standards used for assessing uniformity by off-types on the basis of more than one sample

1.1 Please complete the following tables and return to UPOV by e-mail to *upov.mail@upov.int*:

Country/Organization:	
Person completing the form	
Name:	
E-mail:	
Tel. No.:	
Fax No.:	

1.2 The acceptable number of off-types tolerated in samples of various sizes is often based on a fixed “population standard” and “acceptance probability”. The “population standard” is the maximum percentage of off-types to be accepted if all individuals of the variety could be examined. The “acceptance probability” is the minimum probability of accepting a variety with the population standard of off-types.

1.3 The UPOV Test Guidelines recommend the population standard and acceptance probability and provide the maximum acceptable number of off-types for an appropriate sample size. In some cases, the proportion of off-types in a variety may be assessed in more than one sample (e.g. one growing cycle with more than one sample per growing cycle, one sample per growing cycle with two growing cycles, etc.). Some of the possible situations are described in document TGP/10 draft 7 Examining Uniformity, Section 6. Furthermore, in some cases, to examine uniformity in an efficient manner, a strategy of sequential sampling may be used. In cases where uniformity is assessed on the basis of more than one sample, clear decision rules need to be defined for the varieties concerned.

1.4 This survey is intended to collect information on how uniformity is assessed by off-types for such cases.

An example for barley is given in the following table:

<u>Country:</u> XXXX	<u>Species:</u> Barley ( <i>Hordeum vulgare</i> L. sensu lato)  Test Guidelines: TG/19/10.
<i>I - For the assessment of uniformity of characteristics observed on a sample size of 2000 plants or part of plants.</i>  <u>Sample size:</u> 2000 plants  <u>Population standard:</u> 0.1 %  <u>Acceptance probability:</u> 95 %  <u>Uniformity standard:</u> the number of off-type plants or parts of plants should not exceed 5 in 2000.	
<i>II – Example of 2-step test for the assessment of uniformity of characteristics observed on a sample size of 100 plants or parts of plants</i>  <u>Sample size:</u> 100 plants or parts of plants  <u>Population standard:</u> 0.1 %  <u>Acceptance probability:</u> 95 %  <u>Uniformity standard:</u>  First step, 20 plants or parts of plants are observed. <ul style="list-style-type: none"><li>- No off-type plants in 20 plants = the variety is declared uniform.</li><li>- More than 3 off-type plants = the variety is declared non uniform.</li><li>- 1 to 3 off-type plants = go to second step</li></ul> Second step: further 80 plants or parts of plants are observed <ul style="list-style-type: none"><li>- 3 or less off-type plants in 100 (20 of step 1 + 80 of step 2) plants = the variety is declared uniform.</li><li>- More than 3 off-type plants in 100 (20 of step 1 + 80 of step 2) plants = the variety is declared non uniform.</li></ul>	
<u>Decision rule:</u> A variety is accepted if, in 2 out of 3 years, the uniformity standard is met in all samples.	

[End of Annex and of document]