

Technical Working Party on Automation and Computer Programs TWC/35/2

Thirty-Fifth Session Original: English

Buenos Aires, Argentina, November 14 to 17, 2017 Date: November 15, 2017

REPORT ON DEVELOPMENTS WITHIN UPOV

prepared by the Office of the Union

Disclaimer: this document does not represent UPOV policies or guidance

The Annex to this document contains a copy of the presentation to the "Report on Developments within UPOV" to be made by the Office of the Union at the thiry-fifth session of the Technical Working Party on Automation and Computer Programs (TWC).

[Annex follows]

ANNEX

Technical Working Party on Automation and Computer Programs
(BMT)
Thirty Fifth Session

Report on developments in UPOV

Buenos Aires, November 14, 2017



International Union for the Protection of New Varieties of Plants

Preview

Developments in UPOV:

- General
 - membership & statistics
 - people & publications
 - PBR application tool (EAF)
 - impact of UPOV membership
 - UN SDGs & plant genetic resources
- Biochemical and molecular techniques

MEMBERSHIP OF UPOV

75 members

New member

Bosnia and Herzegovina October 10, 2017*

-

SITUATION in UPOV

Examination of Laws

Counci	<u>l session</u>	<u> </u>	<u> Advice</u>

Brunei Darussalam October 26, 2017 Positive

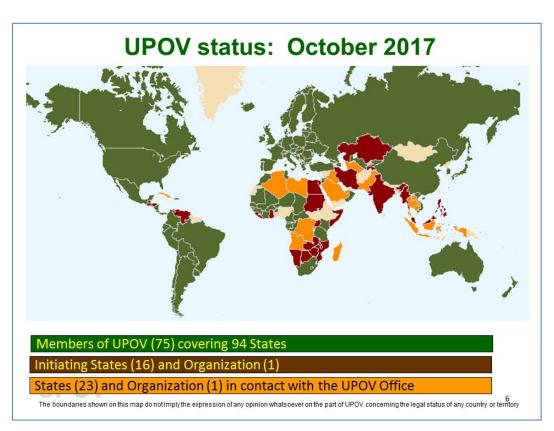
Guatemala October 26, 2017 Positive

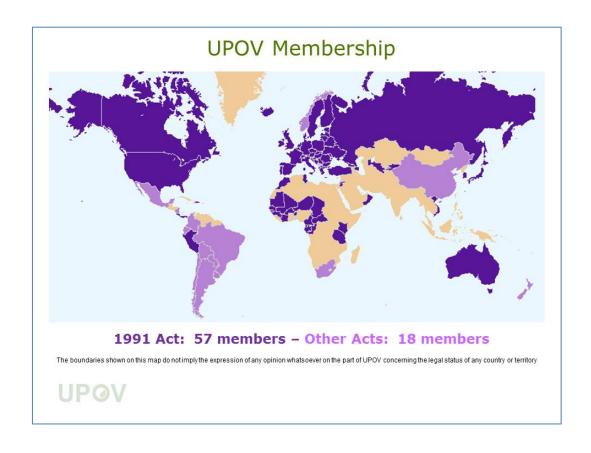
Myanmar October 26, 2017 Positive

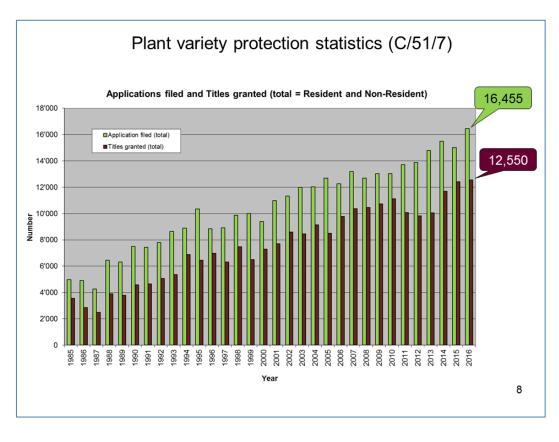
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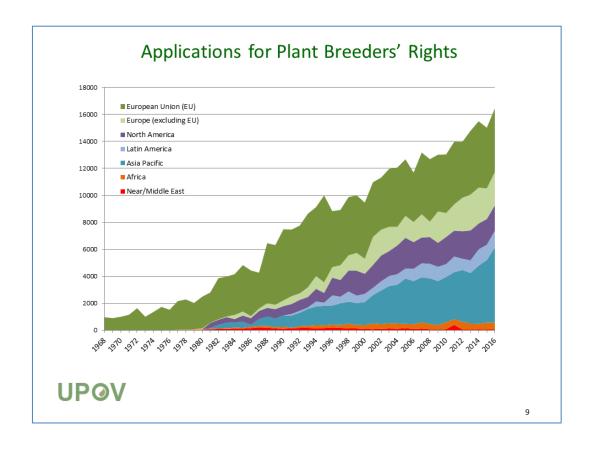
^{*} Bosnia and Herzegovina will become bound to the 1991 Act on November 10, 2017.











Top 10: UPOV members by number of applications								
	2007			2015		2016		
Rank	Member	Number of applications	Memb	er	Number of applications	Member	Number of applications	
1	European Union	2'968	European Uni	on	3'111	European Union	3'299	
2	United States of America	1'485	China	↑ 3	2'342	China	2'923	
3	Japan	1'406	United States America	of ↓1	1'634	United States of America	1'604	
4	Russian Federation	885	Ukraine	↑ 3	1'075	Ukraine	1'274	
5	China	877	Japan	↓2	914	Japan	977	
6	Netherlands	846	Netherlands		799	Republic of Korea	966	
7	Ukraine	560	Republic of Korea	†1	757	Netherlands ↓1	804	
8	Republic of Korea	527	Russian Federation	↓ 4	743	Russian Federation	772	
9	Canada	430	Australia	↑1	359	Australia	387	
10	Australia	336	Brazil	↑ 3	355	Brazil	326	
							10	

Top 20:	Country	of residence	of applicants	(2016)
100 20.	Country	oi i colaciloc	or applicants	120101

		Applications f	iled as:				
Rank	Country of		Resident				
residence of breeder		Resident	CPVO (EU member States)		Non-resident	t Total	
1	Netherlands	674	1'281	1'955	1'174	3'129	
2	China	2'686	0	2'686	34	2'720	
3	United States of America	812	0	812	1'223	2'035	
4	France	67	467	534	516	1'050	
5	Germany	50	395	445	489	934	
6	Republic of Korea	860	0	860	26	886	
7	Japan	609	0	609	152	761	
8	Russian Federation	613	0	613	1	614	
9	Ukraine	364	0	364	0	364	
10	Australia	140	0	140	176	316	
11	Switzerland	5	0	5	307	312	
12	Brazil	200	0	200	71	271	
13	Denmark	2	101	103	151	254	
14	Argentina	171	0	171	62	233	
15	United Kingdom	17	95	112	108	220	
16	Spain	29	70	99	100	199	
17	Italy	11	79	90	109	199	
18	Israel	45	0	45	141	186	
19	Czech Republic	60	15	75	89	164	
20	Viet Nam	162	0	162	0	162	
	Sum of top 20	7'577	2'503	10'080	4'929	15'009	
	Others	749	118	867	579	1'446	
	Total	8'326	2'621	10'947	5'508	16'455	

Top 20: Country of residence of applicants

2007		2015			2016				
Kank	Residence	App.#	Residence		App.#	Residence		App.#	
1	Netherlands	2'600	Netherlands		2'720	Netherlands		3'129	
2	United States of America	1'764	China	∱ 3	2'100	China		2'720	
3	Germany	1'336	United States of America	↓1	2'027	United States of America		2'035	
4	Japan	1'283	France	↑ 3	1'038	France		1'050	
5	China	818	Germany	↓ 2	942	Germany		934	
6	Russian Federation	777	Japan	↓2	766	Republic of Korea	†1	886	
7	France	621	Republic of Korea	1	647	Japan	↓1	761	
8	Republic of Korea	388	Russian Federation	↓ 2	640	Russian Federation		614	
9	Ukraine	318	Ukraine		412	Ukraine		364	
10	Australia	314	Australia		368	Australia		316	
11	United Kingdom	253	Switzerland	个5	358	Switzerland		312	
12	Argentina	243	Spain	个3	306	Brazil	↑2	271	
13	Denmark	225	Argentina	↓1	248	Denmark	↑ 3	254	
14	Italy	193	Brazil	个6	223	Argentina	↓1	233	
15	Spain	181	United Kingdom	↓ 4	219	United Kingdom		220	
16	Switzerland	178	Denmark	↓ 3	191	Spain	↓ 4	199	
17	New Zealand	176	Israel	个1	180	Italy	†1	199	
18	Israel	174	Italy	↓ 4	148	Israel	↓1	186	
19	South Africa	144	New Zealand	↓2	135	Czech Republic	个5	164	
20	Brazil	121	Canada	个4	119	Viet Nam	↑2	162	

Developments in UPOV:

- General
 - membership & statistics
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 - impact of UPOV membership
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- Biochemical and molecular techniques

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Chair, Administrative and Legal Committee (CAJ) / Président, Comité administratif et juridique (CAJ) / Vorsitzender, Verwaltungs- und Rechtsausschuß (CAJ) / Presidente, Comité Administrativo y Jurídico (CAJ)

Mr. Anthony Parker, Commissioner, Plant Breeders' Rights Office, Canadian Food Inspection Agency (CFIA), Ottawa, Canada (tel.: +1 613 7737188 e-mail: anthony.parker@inspection.gc.ca)



Vice-Chair, Administrative and Legal Committee (CAJ) / Vice-président, Comité administratif et juridique (CAJ) / Stellvertretender Vorsitzender, Verwaltungs- und Rechtsausschuß (CAJ) / Vicepresidente, Comité Administrativo y Jurídico (CAJ)

Mr. Patrick Ngwediagi, Registrar, Plant Breeders' Rights Office, Ministry of Agriculture, Food Security and Cooperatives, Dar es Salaam, United Republic of Tanzania (tel.: +255 22 2861404 e-mail: ngwedi@yahoo.com)

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Chair, Technical Committee / Président, Comité technique / Vorsitzender, Technischer Ausschuß / Presidente, Comité Técnico

Mr. Kees van Ettekoven, Senior PVP Policy Advisor, Naktuinbouw NL, Sotaweg 22, Postbus 40, NL-2370 AA Roelofarendsveen, Netherlands (tel.: +31 71 332 6128 e-mail: c.v.ettekoven@naktuinbouw.nl)



Vice-Chair, Technical Committee / Vice-président, Comité technique / Stellvertretender Vorsitzender, Technischer Ausschuß / Vicepresidente, Comité Técnico

Mr. Nik Hulse, Chief of Plant Breeders' Rights, Plant Breeder's Rights Office, IP Australia, Woden, Australia (tel.: +61 2 6283 7982 e-mail: nik.hulse@ipaustralia.gov.au)

Elections - for a term of three years ending in 2020

BMT Mr. Nik Hulse (Australia)

TWA Ms. Cheryl Turnbull (United Kingdom)

TWC Mr. Christophe Chevalier (France)

TWF Mr. Jean Maison (European Union)

TWO Mr. Henk de Greef (Netherlands)

TWV Ms. Romana Bravi (Italy)

UPOV

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UPOV Office by region Jun Koide The boundaries shown on this map do not imply expression of any opinion whatsoever on the part of UPOV concerning the legal status of any country or territory

Developments in UPOV:

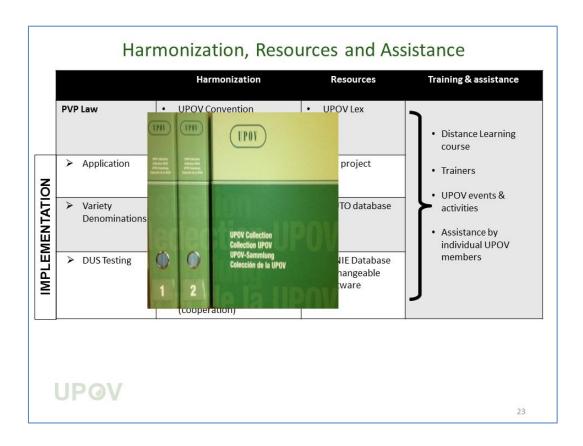
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	Selected EXN/INF deve	elopments
Reference	Explanatory Notes on:	Timetable
UPOV/EXN/EDV/2 (revision)	Essentially Derived Varieties	Adopted by the Council in April 2017
UPOV/EXN/PPM/1	Propagating Material	Adopted by the Council in April 2017
UPOV/INF/13/2	Guidance on how to become a member of UPOV (adoption by correspondence)	Adopted by the Council in April 2017
UPOV/INF/5 (revision)	UPOV model plant breeders' rights gazette	possible revision to be considered by CAJ in October 2018
UPOV/INF/12 (revision)	Variety Denominations	WG-DEN
		24

ESSENTIALLY DERIVED VARIETY?











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Preview

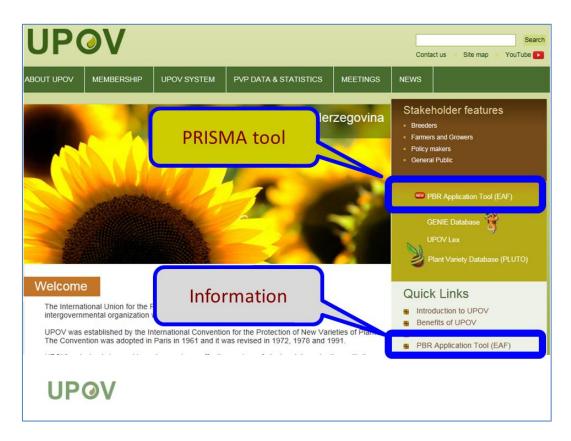
Developments in UPOV:

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UPOV

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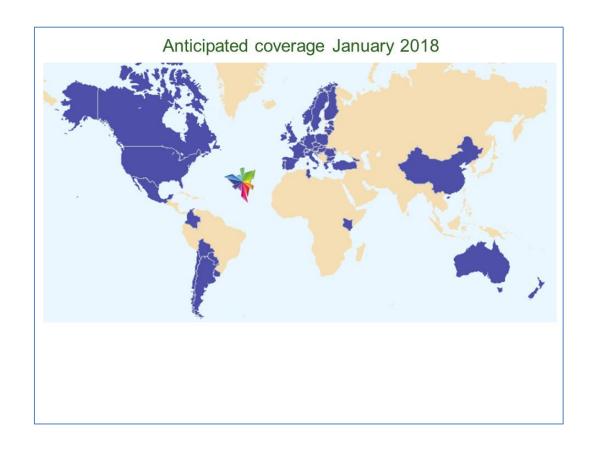


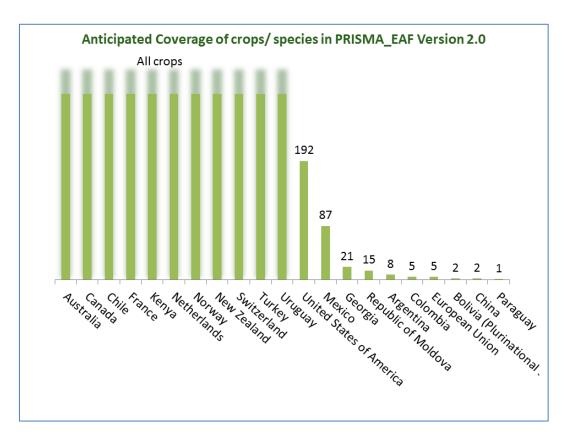


UPOV members (16) and crops (5) currently covered by PBR Application Tool (EAF)

AUTHORITY	APPLE FRUIT VARIETIES	LETTUCE	РОТАТО	ROSE	SOYABEAN
Argentina	√		√	√	√
Australia	√	√	1	√	√
Chile	√	√	1	√	√
China		√		√	
Colombia				√	
France	√	√	1	√	√
Kenya	√	√	1	√	√
Netherlands	√	√	1	√	√
New Zealand	√	√	1	√	
Norway	√	√	1	√	√
Republic of Moldova	√	√	1	√	√
Switzerland	√	√	1	√	√
Tunisia	√	√	1	√	√
Turkey	√	√	1	√	√
United States of America		√	1		√
Uruguay	√		1		1









Developments in UPOV:

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TWC/35/2 Annex, page 18



STUDY in VIET NAM*

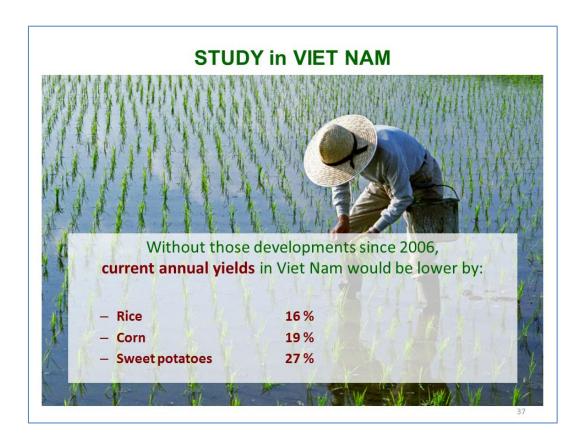
Annual land productivity developments since Viet Nam joined UPOV in 2006 in

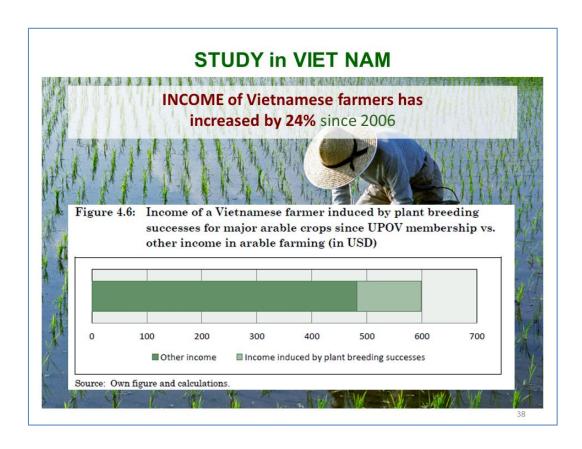
Rice, Corn (maize) and Sweet Potatoes

- **1995-2005**: increase in yield mainly through increased level of inputs no detectable increase due to plant breeding
- **2006-2016**: <u>annual</u> land productivity increase due to plant breeding

Rice 1.7 %
 Corn 2.1 %
 Sweet potatoes 3.1 %

st "The socio-economic benefits of UPOV membership in Viet Nam; An expost assessment on plant breeding and agricultural productivity after 10 years" (Corresponding author: Steffen Noleppa) by HFFA Research GmbH





Developments in UPOV:

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Interrelations with ITPGRFA

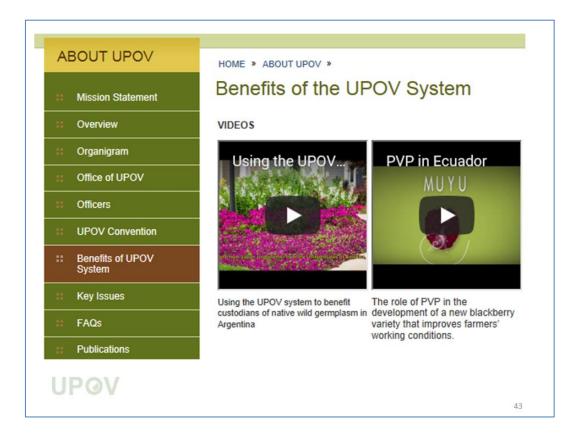
October 2017

The Council agreed the following concerning interrelations with the International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRFA):

- (i) to **review the FAQ** on the interrelations between the UPOV Convention and the ITPGRFA; and
- (ii) exchange of experience and information on the implementation of the UPOV Convention and the ITPGRFA, with the involvement of stakeholders.

As a next step, the Consultative Committee would consider the need for a revision of the current guidance in the "Explanatory Notes on Exceptions to the Breeder's Right under the 1991 Act of the UPOV Convention" (document UPOV/EXN/EXC/1).





Using the UPOV System to benefit custodians of native wild germplasm in Argentina



"The royalties derived from commercialization of the variety are shared between the breeder and the provinces that contributed the native plant genetic resource."

Using the UPOV System to benefit custodians of native wild germplasm in Argentina



"A large part of the profits, basically, 50 per cent, that are generated from the commercialization return to those provinces."

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Using the UPOV System to benefit custodians of native wild germplasm in Argentina



"the erosion/loss from their natural habitat is reduced and this is therefore our own contribution, working together with INTA, for the conservation of our native natural resources."

Developments in UPOV:

- General
 - Membership of UPOV
 - PVP statistics
 - UPOV officers
 - UPOV PBR application tools (EAF)
 - Revision of EXN/ INF documents
 - Other topics
- Concerning Biochemical and Molecular Techniques

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Question: Is it possible to obtain protection of a variety on the basis of its DNA-profile?

For a variety to be protected, it needs to be clearly distinguishable from all existing varieties on the basis of characteristics that are physically expressed, e.g. plant height, time of flowering, fruit color, disease resistance etc. The DNA-profile is not the basis for obtaining the protection of a variety, although this information may be used as supporting information.

A more detailed explanation is provided in the FAQ 'Does UPOV allow molecular techniques (DNA profiles) in the examination of Distinctness, Uniformity and Stability ("DUS")?

See also:

"What are the requirements for protecting a new plant variety?"

STATUS OF UPOV DOCUMENTS CONCERNING MOLECULAR TECHNIQUES

Document reference	Title
UPOV/INF/17/1	Guidelines for DNA Profiling: Molecular Marker Selection and Database Construction ("BMT Guidelines") (2010)

Document reference	Title
TGP/15	Guidance on the Use of Biochemical and Molecular Markers in the Examination of Distinctness, Uniformity and Stability (DUS)
UPOV/INF/18/1	Possible Use of Molecular Markers in the Examination of Distinctness, Uniformity and Stability (2011)

UPOV/INF/17/1 (INFormation document)

"Guidelines for DNA Profiling: Molecular Marker Selection and Database Construction ("BMT Guidelines")"

The purpose of this document (BMT Guidelines) is to provide guidance for developing harmonized methodologies with the aim of generating high quality molecular data for a range of applications. The BMT Guidelines are also intended to address the construction of databases containing molecular profiles of plant varieties [...]

UPOV/INF/18/1 (INFormation document)

"Possible Use of Molecular Markers in the Examination of Distinctness, Uniformity and Stability"

The purpose of this document is to provide guidance on the possible use of biochemical and molecular markers in the examination of Distinctness,
Uniformity and Stability (DUS). [...]

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UPOV/INF/18 POSSIBLE APPLICATION MODELS

MODELS WITH A POSITIVE ASSESSMENT

- Characteristic-specific molecular markers
- es in the
- Combining phenotypic and molecular distances in the management of variety collections
- Calibrated molecular distances in the management of variety collections

MODELS WITHOUT A POSITIVE ASSESSMENT

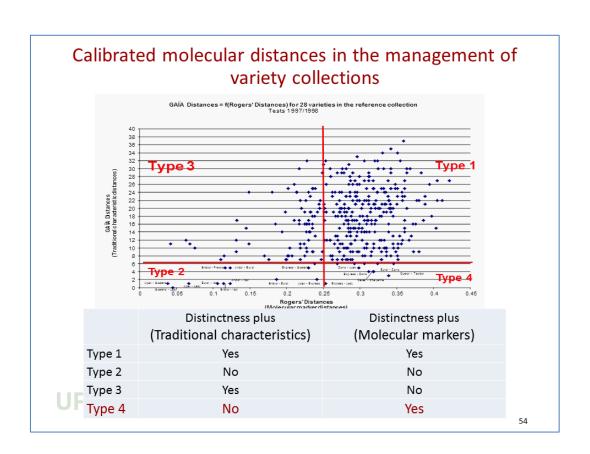
Use of molecular marker characteristics

TGP/15/1 (Technical Guidelines Protocol)

"Guidance on the Use of Biochemical and Molecular Markers in the Examination of Distinctness, Uniformity and Stability (DUS)"

The purpose of this document is to provide guidance on the use of biochemical and molecular markers in the examination of Distinctness, Uniformity and Stability (DUS) on the basis of the models in document UPOV/INF/18 that have received a positive assessment and for which accepted examples have been provided.

→ Adopted by the Council of UPOV in October, 2013.



Model 1: Characteristic-specific molecular markers

Example: gene specific marker for herbicide tolerance introduced by genetic modification

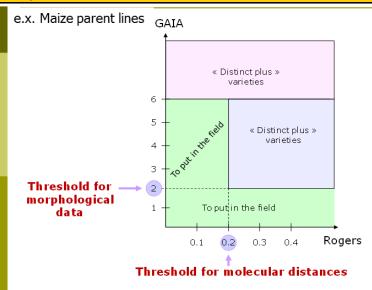
On the basis that:

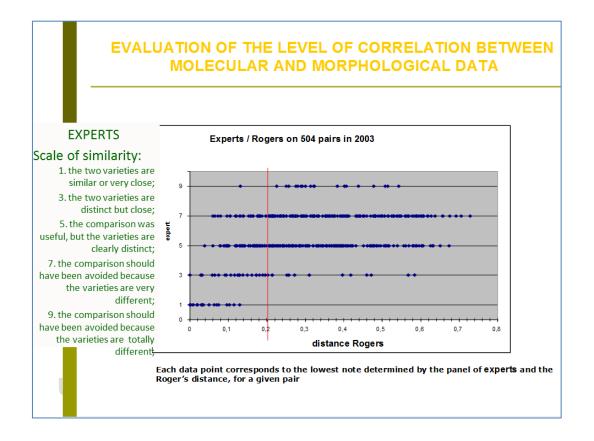
[...]

- there is $\underline{\text{verification of the reliability}}$ of the link between the marker and the characteristic;
- <u>different markers for the same characteristic</u> are different methods for examining the same characteristic;



Model 2: Combining phenotypic and molecular distances in the management of variety collections







Other Developments to be Reported

- Joint OECD/UPOV/ISTA/AOSA Workshop
- Practical Workshops on "DNA Techniques and Variety Identification"
- Variety Description Databases containing molecular data

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International Union for the Protection of New Varieties of Plants

Working Group on Biochemical and Molecular Techniques and DNA-Profiling in Particular

Sixteenth Session La Rochelle, France, November 7 to 10, 2017 BMT/16/29 Prov.

Original: English

Date: November 10, 2017

DREFT REPORT

UPOV

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The use of molecular markers (SNP) for maize DUS testing in France (2013 to 2016)

The BMT agreed that France should propose a revision to document TGP/15 "Guidance on the Use of Biochemical and Molecular Markers in the Examination of Distinctness, Uniformity and Stability (DUS)", Annex II, "Example: Parent Lines in Maize", to reflect the refinements that had been made in France on the basis of its experience in the application of the Model "Combining Phenotypic and Molecular Distances in the Management of Variety Collections", for consideration by the Technical Committee at its fifty-fourth session.

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Genetic selection of similar varieties for the first growing cycle: example French bean

The BMT agreed that the approach presented in document BMT/16/19 and BMT/16/19 Add. was a suitable use of molecular techniques in the examination of DUS and should be proposed for inclusion in document TGP/15. On that basis, it was agreed that the Netherlands should prepare an explanation of the method as a basis for a revision of document TGP/15 to be considered by the Technical Committee at its fifty-fourth session.

International guidelines on molecular methodologies including cooperation between the OECD, UPOV, ISTA and ISO

The BMT agreed that the initiatives above, and consideration of possible harmonization of terms and methodologies used for different crops and the possible development of standards, might be advanced through a **further international practical workshop**, to be jointly coordinated by OECD, UPOV and ISTA and supported by Naktuinbouw and/or another partner with the relevant facilities.

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Development on Use of Molecular Technique for PVP in Republic of Korea

The BMT noted the proposal made in the presentation to organize an international consortium to work on molecular techniques and agreed to discuss that matter during the cooperation session.

Review of document UPOV/INF/17 "Guidelines for DNA-Profiling: Molecular Marker Selection and Database Construction ('BMT Guidelines')"

The BMT agreed to invite members and observers **to provide comments** on document UPOV/INF/17 "Guidelines for DNA-profiling: Molecular Maker Selection and Database Construction ('BMT Guidelines')". The comments would be compiled by the Office of the Union in a document that would form the basis of a review of document UPOV/INF/17 by the BMT at its seventeenth session. The BMT further agreed to propose to introduce a new chapter concerning **cooperation in the exchange of data and construction of databases** in document UPOV/INF/17 on the basis of document BMT/16/5.

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