

CAJ/59/3

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# INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS GENEVA

#### ADMINISTRATIVE AND LEGAL COMMITTEE

### Fifty-Ninth Session Geneva, April 2, 2009

## DEVELOPMENT OF INFORMATION MATERIALS CONCERNING THE UPOV CONVENTION

Document prepared by the Office of the Union

#### Introduction

- 1. The Administrative and Legal Committee (CAJ), at its fifty-second session, held in Geneva on October 24, 2005, agreed an approach for the preparation of information materials concerning the UPOV Convention, as explained in paragraphs 8 to 10 of document CAJ/52/4. It also agreed to the establishment of an advisory group to the CAJ ("CAJ-AG") to assist in the preparation of documents concerning such materials, as proposed in paragraphs 11 to 14 of document CAJ/52/4 (see paragraph 67 of document CAJ/52/5, Report).
- 2. The agreed approach is summarized as follows: the Office of the Union will develop certain draft materials which it considers covers aspects of a straightforward nature and will circulate these to the CAJ for comments within a specified time. In other cases, where it is considered that there are difficult issues, where discussions at a CAJ session would be important for the development of suitable information materials, and also in cases where the drafts on seemingly straightforward materials provoke unexpected concerns when circulated for comments, it was agreed that the assistance of the CAJ-AG would be sought prior to the CAJ being invited to discuss those matters at its sessions.
- 3. An overview of the development of the information materials is provided in the Annex to this document.

- 4. The purpose of this document is to:
- (a) report on the work of the CAJ-AG at its third session, held in Geneva on October 28, 2008 (see document CAJ-AG/08/3/4 "Report"):
- (b) provide background information to assist the CAJ in its consideration of the following documents at its fifty-ninth session:
  - (i) Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention (document UPOV/INF/6/1 Draft 2),
  - (ii) Explanatory Notes on the Enforcement of Breeders' Rights under the UPOV Convention (document UPOV/EXN/ENF Draft 2);
- (c) inform the CAJ of the progress and future work on the development of other information materials under the UPOV Convention, namely:
  - (i) documents for consideration by the CAJ by correspondence,
  - (ii) the work program for the fourth session of the CAJ-AG, to be held in Geneva on October 23, 2009; and
- (d) invite the CAJ to consider a proposal concerning the status of information materials.

#### A. REPORT ON THE WORK OF THE CAJ-AG AT ITS THIRD SESSION

5. The report on the work of the CAJ-AG at its third session held in Geneva on October 28, 2008, is contained in document CAJ-AG/08/3/4 "Report".

#### Matters referred to the CAJ-AG by the CAJ at its fifty-eighth session

6. The CAJ, at its fifty-eighth session, held in Geneva on October 27 and 28, 2008, referred certain matters to the CAJ-AG on essentially derived varieties, exceptions to the breeder's rights and novelty. The conclusions of the CAJ-AG on those matters are contained in the following paragraphs.

#### Essentially Derived Varieties

- 7. In relation to the proposal of the International Community of Breeders of Asexually Reproduced Ornamental and Fruit-Tree Varieties (CIOPORA) to use the term "breeder" or "holder of a breeder's right" in a consistent way, the CAJ-AG noted that the terms used in document UPOV/EXN/EDV Draft 2 reflected the terms used in the 1991 Act of the UPOV Convention and in relevant reference documents (e.g. document IOM/6/2). No recommendation was made to amend document UPOV/EXN/EDV.
- 8. The CAJ-AG concluded that, on the basis of the wording in the Convention, it would not be appropriate to accept the proposal of CIOPORA "to explain that all of the varieties belonging to one of the examples in Article 14(5)(c) of the 1991 Act (e.g. mutants) might be essentially derived varieties;".

- 9. The CAJ-AG requested the Office of the Union to prepare, for its fourth session, a document containing available information that might help to explain, as requested by CIOPORA, the relationship between Article 14(5)(b)(i) and (iii) of the 1991 Act.
- 10. No recommendation was made at the CAJ-AG session, in relation to the proposal of CIOPORA to divide paragraph 9 of document UPOV/EXN/EDV Draft 2 into three paragraphs.
- 11. In relation to the request of the International Seed Federation (ISF) to amend paragraph 11 (third sentence) of document UPOV/EXN/EDV Draft 2 and to include a variety "D" in figures 3 and 4 of document UPOV/EXN/EDV Draft 2, the CAJ-AG agreed that the Office of the Union should prepare a draft guidance document on the situation with regard to variety "D", for consideration by the CAJ-AG at its fourth session.

#### Exceptions to the Breeder's Right

- 12. In relation to the request of ISF to provide an explanation on "holding" (document CAJ/58/4, Annex II, page 1 of the English version), the Chair of the CAJ-AG referred to the explanation provided by the Chair of the CAJ at the fifty-eighth session of the CAJ that the intention of the UPOV Convention was to refer to individual farmers rather than groups of farmers, but recalled the previous discussions in the CAJ and, in particular, the difficulty in developing a common definition that would be suitable for all members of the Union (paragraph 69 of document CAJ/58/7 Prov.).
- 13. In relation to the request of CIOPORA to provide an explanation of "safeguarding of the legitimate interests of the breeder" in paragraph 17 of document UPOV/EXN/EXC Draft 3 which would not allow the application of Article 15(2) of the 1991 Act to asexually reproduced ornamental and fruit varieties", the Chair of the CAJ-AG recalled the explanation provided at the fifty-eighth session of the CAJ that paragraphs 13 to 16 of document UPOV/EXN/EXC Draft 3 provided an explanation of "common practice" in relation to the recommendation of the Diplomatic Conference of 1991 on Article 15(2) of the 1991 of the UPOV Convention and had noted that the explanatory notes could not be more restrictive than the UPOV Convention." (paragraph 67 of document CAJ/58/7 Prov.). The CAJ-AG noted that the text in paragraph 14 of document UPOV/EXN/EXC Draft 3 reflected the policy of UPOV during discussions in the relevant UPOV bodies when examining laws.
- 14. The CAJ-AG concluded that it would not be appropriate to consider a revision of UPOV/EXN/EXC for the time being.

#### Novelty

15. In relation to the request of ISF concerning varieties of recent creation under Article 6(2) of the 1991 Act in relation to the time at which the plant breeders' rights system becomes operational (see document CAJ/58/4, Annex II, page 3 of the English version), the CAJ-AG noted that that matter went beyond novelty and should be covered in a guidance document under preparation, concerning the procedure on how to become a member of UPOV and accede to the 1991 Act of the UPOV Convention (see paragraph 37(b) of document CAJ/58/6 "Report on the Conclusions"). In that regard, the CAJ-AG made reference to the obligations under Article 30(2) of the 1991 Act of the UPOV Convention "It shall be understood that, on depositing its instrument of ratification, acceptance, approval

or accession, as the case may be, each State or intergovernmental organization must be in a position, under its laws, to give effect to the provisions of this Convention".

- 16. In relation to the request of ISF for further explanations of "for purposes of exploitation" and "or otherwise disposed of" of Article 6(1) of the 1991 Act (document CAJ/58/4, Annex II, page 2 of the English version), the CAJ-AG noted that the list of acts that might be considered not to result in the loss of novelty, in paragraph 6 of document UPOV/EXN/NOV Draft 2, as amended by the CAJ (see paragraph 30 of document CAJ/58/6 "Report on the Conclusions") already provided useful guidance. However, it agreed that ISF would be able to make proposals of possible additional acts, which could be considered in due course.
- 17. The CAJ-AG concluded that it would not be appropriate, for the time being, to consider a revision of UPOV/EXN/NOV to address the requests made by ISF.
- 18. The CAJ-AG recalled that the CAJ, at its fifty-eighth session, had agreed to the development of example provision(s) for the implementation of Article 6(2) of the 1991 Act "Varieties of recent creation", based on the available explanatory notes in document UPOV/EXN/NOV Draft 2, as amended by the CAJ (see paragraphs 30 and 37 of document CAJ/58/6 "Report on the Conclusions").

#### Documents considered by the CAJ-AG at its third session

19. The conclusions of the CAJ-AG on the documents "Guidance for the Preparation of Laws Based on the 1991 Act of the UPOV Convention" (document UPOV/INF/6/1 Draft 1) and "Explanatory Notes on the Enforcement of Breeders' Rights under the UPOV Convention" (document UPOV/EXN/ENF Draft 1) are considered under item B "Documents to be considered by the CAJ at its fifty-ninth session".

Explanatory Notes on Conditions and Limitations Concerning the Breeder's Authorization in Respect of Propagating Material; and on Acts in Respect of Harvested Material under the UPOV Convention (document UPOV/EXN/HRV Draft 2)

20. The CAJ-AG requested the Office of the Union to prepare a new draft of document UPOV/EXN/HRV (draft 3), to be circulated to the CAJ for comments. On the basis of the comments received, the Office of the Union was requested to prepare a further draft (draft 4) for consideration by the CAJ-AG at its fourth session.

Explanatory Notes on the Definition of Breeder under the 1991 Act of the UPOV Convention (document UPOV/EXN/BRD Draft 1)

21. The CAJ-AG requested the preparation of a new draft of document UPOV/EXN/BRD, on the basis of reflections by the Office of the Union, for consideration by the CAJ-AG at its fourth session.

Explanatory Notes on the Definition of Variety under the 1991 Act of the UPOV Convention (document UPOV/EXN/VAR Draft 1)

22. The CAJ-AG requested the preparation of a new draft of document UPOV/EXN/VAR, on the basis of reflections by the Office of the Union, for consideration by the CAJ-AG at its fourth session.

23. The program for the fourth session of the CAJ-AG will be considered under item C "Progress and Future Work on the Development of other Information Materials under the UPOV Convention".

#### 24. The CAJ is invited to:

- (a) note the work of the CAJ-AG at its third session; and
- (b) consider the conclusions of the CAJ-AG at its third session, as set out in paragraphs 7 to 22, in relation to the work program for the fourth session of the CAJ-AG.

#### B. DOCUMENTS TO BE CONSIDERED BY THE CALATITS FIFTY-NINTH SESSION

Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention (document UPOV/INF/6/1 Draft 2)

- 25. The CAJ, at its fifty-seventh session held in Geneva on April 10, 2008, agreed that the Office of the Union should prepare a document to provide guidance for the preparation of laws using, as far as possible, the text of the relevant provisions of the 1991 Act of the UPOV Convention with reference to relevant information materials (e.g. explanatory notes) (see paragraph 60 of document CAJ/57/7 "Report"). At that session, the CAJ agreed that a draft of that guidance document should be considered by the CAJ-AG at its third session, and subsequently by the CAJ in 2009. Consequently, document UPOV/INF/6/1 Draft 1 "Guidance for the Preparation of Laws Based on the 1991 Act of the UPOV Convention" was prepared for consideration by the CAJ-AG.
- 26. The CAJ noted, at its fifty-eighth session, that the Office of the Union had the intention to bring into use a document, similar to UPOV/INF/6/1 Draft 1, to the extent that the text of the Convention and the agreed text of the explanatory notes allowed. In that regard, the CAJ noted that the explanatory notes approved at its fifty-eighth session, and those approved by correspondence, would be brought into use by the Office of the Union for that purpose. It was also explained that the Office of the Union would arrange for that guidance document to be translated into Arabic, Chinese, French, German, Russian and Spanish (see paragraphs 34 and 36 of document CAJ/58/6 "Report on the Conclusions").
- 27. The CAJ-AG considered documents CAJ-AG/08/3/3 and UPOV/INF/6/1 Draft 1 and agreed that a guidance document containing the text of the Convention and approved text from the explanatory notes would provide useful assistance to States/ intergovernmental organizations wishing to draft a law in accordance with the 1991 Act of the UPOV Convention. The CAJ-AG encouraged the distribution of the guidance document to potential members of UPOV and encouraged the Office of the Union to make it available, as soon as possible, on the UPOV website. Since the fifty-eighth session of the CAJ, that guidance document has been used to provide assistance to seven countries wishing to draft laws in accordance with the 1991 Act of the UPOV Convention. That document is available in the UPOV languages and, on the date of this document, Part I of that document "Example text for Articles for consideration in the preparation of laws based on the 1991 Act of the UPOV Convention" was also available in Arabic, Chinese and Russian. During the

preparation of the Arabic, Chinese and Russian translations some discrepancies have been identified between the existing translations of the 1991 Act of the UPOV Convention and the texts of the 1991 of the UPOV Convention in English, French, German and Spanish. In such cases, the Office of the Union informs the interested Governments and will inform the CAJ of outcomes in due course.

- 28. The CAJ-AG requested the Office of the Union to prepare a new draft of document UPOV/INF/6/1 for consideration by the CAJ at its fifty-ninth session, to be held in Geneva on April 2, 2009, with the following changes to document UPOV/INF/6/1 Draft 1:
- "Part I: Example text for Articles for consideration in the preparation of laws based on the 1991 Act of the UPOV Convention": underlining to be removed and the text in strikethrough to be deleted; and
- "Part II: Notes based on information materials concerning certain Articles of the 1991 Act of the UPOV Convention": to be updated in accordance with the agreed text of the explanatory notes (see paragraphs 21 to 34 of document CAJ/58/6 "Report on the Conclusions").
- 29. It is proposed that document UPOV/INF/6/1 Draft 2 be updated with any changes agreed by the CAJ, at its fifty-ninth session, and any additional text of explanatory notes approved by the CAJ by correspondence (see Section C below). Subject to agreement to this approach by the CAJ, a new draft of document UPOV/INF/6/1 (document UPOV/INF/6/1 Draft 3) would be put forward for adoption by the Council at its forty-third ordinary session, to be held in Geneva on October 22, 2009.
  - 30. The CAJ is invited to consider document UPOV/INF/6/1 Draft 2 as the basis for adoption of document UPOV/INF/6/1 by the Council, as set out in paragraph 29.

# Explanatory Notes on the Enforcement of Breeders' Rights under the UPOV Convention (document UPOV/EXN/ENF Draft 2)

- 31. At its second session, on October 26, 2007, the CAJ-AG agreed to propose to the CAJ that no further action be taken for the development of explanatory notes on Article 30(1)(i) of the 1991 Act of the UPOV Convention: Implementation of the Convention: (i) Provide for appropriate legal remedies for the effective enforcement of breeders' rights (Article 30(1)(a) of the 1978 Act of the UPOV Convention) (see paragraphs 25 and 32 of document CAJ-AG/07/2/8).
- 32. On January 30, 2008, at a meeting between the Office of the Union and CIOPORA, CIOPORA proposed to send a presentation on elements of effective intellectual property protection which it considered would provide useful guidance for members and future members. The presentation of CIOPORA was reproduced in the Annex to document CAJ/57/5 in English only.
- 33. At its fifty-seventh session, the CAJ agreed to invite the CAJ-AG, at its third session, to consider the development of information materials, possibly in the form of a list of enforcement measures which might be considered by members, and future members, of the Union (see paragraph 59 of document CAJ/57/7 "Report").

- 34. At its third session, the CAJ-AG considered document UPOV/EXN/ENF Draft 1.
- 35. The Delegation of the Republic of Korea requested that measures to compensate for damage to the reputation of the breeder caused by an infringement, be covered in Section II of document UPOV/EXN/ENF Draft 1.
- 36. The representative of CIOPORA requested that measures against an intermediary whose services are being used by a third party to infringe a breeder's right, be covered in Section II of document UPOV/EXN/ENF Draft 1.
- 37. The CAJ-AG agreed that consideration should be given to those requests and the amendments that would be required in Section II of document UPOV/EXN/ENF Draft 1 and requested the Office of the Union to prepare a new draft of document UPOV/EXN/ENF (Draft 2) for consideration by the CAJ, at its fifty-ninth session, to be held in Geneva on April 2, 2009.
- 38. In the preparation of document UPOV/EXN/ENF Draft 2, the Office of the Union concluded that the requests by the Republic of Korea and CIOPORA were already covered by the general presentation of possible measures in Section II of the document.
- 39. The request by the Republic of Korea to cover compensation for damage to the reputation of the breeder (see paragraph 35, above) would, if applicable, be covered by "(iii) measures to provide adequate damages to compensate the loss suffered by the holder of the breeder's right and to constitute a deterrent to further infringements;" (Section II(a)(iii) of document UPOV/EXN/ENF Draft 1). What might be considered to be "adequate damages to compensate the loss suffered" and the type of available damages and injuries might vary depending on the relevant jurisdiction. Therefore, no change has been proposed to the wording in Section II of document UPOV/EXN/ENF Draft 1.
- The request by CIOPORA concerning measures against an intermediary (see paragraph 36, above). is covered by the measures in Section document UPOV/EXN/ENF Draft 1. In that regard, in Section II (a) (i) and (ii) of document UPOV/EXN/ENF Draft 1 there is no restriction on the parties against whom those measures could be initiated and providing a specific example might imply that other parties or circumstances are not covered. Therefore, no change has been proposed to the wording in Section II of document UPOV/EXN/ENF Draft 1.
- 41. The only change to document UPOV/EXN/ENF Draft 1 is the following (underlined):
  - "(ii) administrative sanctions or fines in relation to non compliance with provisions on, or misuse of, variety denominations."
    - 42. The CAJ is invited to consider document UPOV/EXN/ENF Draft 2, on the basis of the explanations in paragraphs 38 to 41.

## C. PROGRESS AND FUTURE WORK ON THE DEVELOPMENT OF OTHER INFORMATION MATERIALS CONCERNING THE UPOV CONVENTION

#### Documents to be considered by the CAJ by correspondence

- 43. At its fifty-eighth session the CAJ noted that, during the preparation of Part II of the document UPOV/INF/6/1 Draft 1, the Office of the Union had identified the need to develop explanatory notes on the following:
  - (a) Genera and Species to be Protected under the 1991 Act of the UPOV Convention:
  - (b) National Treatment under the 1991 Act of the UPOV Convention;
  - (c) Draft addition (example provision on Article 6(2) of the 1991 Act "Varieties of recent creation") to be incorporated in the approved Explanatory Notes on Novelty under the UPOV Convention (see documents UPOV/EXN/NOV Draft 2 and CAJ/58/6);
  - (d) Restrictions on the Exercise of the Breeder's Right under the UPOV Convention:
  - (e) Draft addition (example provision(s) on Article 13 of the 1991 Act) to be incorporated in the approved Explanatory Notes on Provisional Protection under the UPOV Convention (see documents UPOV/EXN/PRP Draft 1 and CAJ/58/6).
- 44. In addition, the CAJ noted, at its fifty-eighth session, that it would be helpful to develop guidance documents, based on existing information materials, for the following:
  - (a) how to become a member of UPOV and accede to the 1991 Act of the UPOV Convention: and
  - (b) how to ratify, or accede to, the 1991 Act of the UPOV Convention (for members of UPOV only).
- 45. The CAJ, at its fifty-eighth session, agreed to the development of the above information materials for consideration by the CAJ by correspondence (see paragraphs 37 and 38 of document CAJ/58/7 "Report").
- 46. The above documents will be posted on the first restricted area of the UPOV website in May 2009 and the CAJ members and observers will be notified accordingly. In the absence of major concerns with those draft explanatory notes, the materials will be brought into use by the Office of the Union (see paragraph 29, above). A report on any comments received will be made at the sixtieth session of the CAJ on October 19 and 20, 2009.
  - 47. The CAJ is invited to note the consideration of documents by correspondence, as set out in paragraphs 43 to 46 of this document.

#### Work program for the fourth session of the CAJ-AG

- 48. At its third session, the CAJ-AG proposed the following program for its fourth session, to be held in Geneva on October 23, 2009:
  - 1. Opening of the session
  - 2 Adoption of the agenda
  - 3. Explanatory Notes on the Definition of Breeder under the 1991 Act of the UPOV Convention
  - 4. Explanatory Notes on the Definition of Variety under the 1991 Act of the UPOV Convention
  - 5. Explanatory Notes on Conditions and Limitations Concerning the Breeder's Authorization
  - 6. Explanatory Notes on Acts in Respect of Harvested Material under the UPOV Convention
  - 7. Explanatory Notes on Essentially Derived Varieties under the UPOV Convention (possible revision)
  - 8. Matters referred to the CAJ-AG by the CAJ (if appropriate)
  - 9. Date and program for the fifth session
  - 10. Closing of the session
- 49. The CAJ is invited to approve the work program for the fourth session of the CAJ-AG, as set out in paragraph 48 of this document.

#### D. ADOPTION OF INFORMATION MATERIALS BY THE COUNCIL

- 50. As explained above (see paragraph 26), the CAJ noted that the explanatory notes, approved at its fifty-eighth session or by correspondence, would be brought into use by the Office of the Union, for example in Part II of the Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention (see document UPOV/INF/6/1 Draft 2). The list of those explanatory notes is reproduced here for ease of reference:
- (a) approved by the CAJ by correspondence on October 24, 2008 (see document CAJ/58/6)
  - Explanatory Notes on the Right of Priority under the UPOV Convention (document UPOV/EXN/PRI Draft 1)
  - Explanatory Notes on Provisional Protection under the UPOV Convention (document UPOV/EXN/PRP Draft 1)

- Explanatory Notes on the Nullity of the Breeder's Right under the UPOV Convention (document UPOV/EXN/NUL Draft 1)
- Explanatory Notes on the Cancellation of the Breeder's Right under the UPOV Convention (document UPOV/EXN/CAN Draft 1)
- (b) approved by the CAJ at its fifty-eighth session on October 27 and 28, 2008 (see document CAJ/58/6) on the basis of documents
  - Explanatory Notes on Essentially Derived Varieties under the UPOV Convention (document UPOV/EXN/EDV Draft 2)
  - Explanatory Notes on Exceptions to the Breeder's Right under the UPOV Convention (document UPOV/EXN/EXC Draft 3)
  - Explanatory Notes on Novelty under the UPOV Convention (document UPOV/EXN/NOV Draft 2).
- 51. In accordance with the use of the information materials to provide guidance, it is suggested that those information materials should, as appropriate, be proposed for adoption by the Council. It is therefore, proposed to prepare relevant drafts of the explanatory notes, approved by the CAJ, for their adoption by the Council at its forty-third ordinary session, to be held in Geneva on October 22, 2009.
  - 52. The CAJ is invited to consider the proposal in paragraph 51 of this document.

[Annex follows]

### CAJ/59/3

### ANNEX

UPOV/EXN/EXC Exceptions to the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/NUL Nullity of the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/CAN Cancellation of the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/ENF Draft 2 Enforcement of Breeders' Rights under the UPOV Convention  UPOV/EXN/GEN Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention  UPOV/EXN/NAT Draft 1 National Treatment under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  Draft 3 CAJ correspondence (May 2009) and Draft 4 to be considered by CAJ-AG (October 2009)  UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)	Latest reference	Explanatory Notes on:	Status
UPOV/EXN/PRI Right of Priority under the UPOV Convention Approved by CAJ UPOV/EXN/PRP Provisional Protection under the UPOV Convention Approved by CAJ Draft addition Article 13 of the 1991 Act "Provisional Protection": example provision(s) CAJ by correspondence (May 2009) UPOV/EXN/EDV Essentially Derived Varieties under the UPOV Convention Approved by CAJ CAJ-AG (October 2009) to consider a possible revision CAJ-AG (October 2009) to considered by CA	UPOV/EXN/NOV	Novelty under the UPOV Convention	Approved by CAJ
DPOV/EXN/PRP Provisional Protection under the UPOV Convention Approved by CAJ Draft addition Article 13 of the 1991 Act "Provisional Protection": example provision(s) CAJ by correspondence (May 2009) UPOV/EXN/EDV Essentially Derived Varieties under the UPOV Convention Approved by CAJ CAJ-AG (October 2009) to consider a possible revision Approved by CAJ CAJ-AG (October 2009) to consider a possible revision Approved by CAJ UPOV/EXN/EXC Exceptions to the Breeder's Right under the UPOV Convention Approved by CAJ UPOV/EXN/NUL Nullity of the Breeder's Right under the UPOV Convention Approved by CAJ UPOV/EXN/EXAN Cancellation of the Breeder's Right under the UPOV Convention Approved by CAJ UPOV/EXN/EXP Draft 2 Enforcement of Breeder's Right under the UPOV Convention To be considered by CAJ/59 (April 2009) UPOV/EXN/GEN Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention CAJ by correspondence (May 2009) UPOV/EXN/ATD Draft 1 National Treatment under the 1991 Act of the UPOV Convention CAJ by correspondence (May 2009) UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention Draft 4 to be considered by CAJ-AG (October 2009) UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention Draft 2 to be considered by CAJ-AG (October 2009) UPOV/EXN/ARD Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention Draft 2 to be considered by CAJ-AG (October 2009) UPOV/EXN/ARD Draft 1 Conditions and Limitations Concerning the Breeder's Authorization To be considered by CAJ-AG (October 2009) UPOV/EXN/COND Draft 1 Conditions and Limitations Concerning the Breeder's Authorization To be considered by CAJ-AG (October 2009) UPOV/EXN/COND Draft 1 Explanatory Notes on Variety Denominations under the UPOV Convention To be considered by CAJ-AG (October 2009) UPOV/EXN/COND Draft 1 Explanatory Notes on Variety Denominations under the UPOV Convention To be considered by CAJ-AG (October 2009)	Draft addition	Article 6(2) of the 1991 Act "Varieties of recent creation": example provision	CAJ by correspondence (May 2009)
Draft addition Article 13 of the 1991 Act "Provisional Protection": example provision(s)  CAJ by correspondence (May 2009)  LPOV/EXN/EDV Essentially Derived Varieties under the UPOV Convention  Approved by CAJ CAJ-AG (October 2009) to consider a possible revision  UPOV/EXN/EXC Exceptions to the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/NUL Nullity of the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/EXC  Enforcement of Breeder's Right under the UPOV Convention  UPOV/EXN/ENF Draft 2 Enforcement of Breeder's Right under the UPOV Convention  UPOV/EXN/ENF Draft 2 Enforcement of Breeder's Right under the UPOV Convention  UPOV/EXN/ENF Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention  UPOV/EXN/ATD Traft 1 National Treatment under the 1991 Act of the UPOV Convention  UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/NAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/NAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ by correspondence (May 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ-AG (April 2009)	UPOV/EXN/PRI	Right of Priority under the UPOV Convention	Approved by CAJ
UPOV/EXN/EXC Exceptions to the Breeder's Right under the UPOV Convention  Approved by CAI CAJ-AG (October 2009) to consider a possible revisite UPOV/EXN/EXC Exceptions to the Breeder's Right under the UPOV Convention  Approved by CAI UPOV/EXN/NUL Nullity of the Breeder's Right under the UPOV Convention  Approved by CAI UPOV/EXN/CAN  Cancellation of the Breeder's Right under the UPOV Convention  To be considered by CAJ/59 (April 2009) UPOV/EXN/ENF Draft 2 Enforcement of Breeders' Right under the UPOV Convention  To be considered by CAJ/59 (April 2009) UPOV/EXN/GEN Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009) UPOV/EXN/ATD Draft 1 National Treatment under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009) UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  Draft 3 CAJ correspondence (May 2009) UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  CAJ by correspondence (May 2009) UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009) UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009) UPOV/EXN/N Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009) UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  Latest reference  INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ-59 (April 2009)	UPOV/EXN/PRP	Provisional Protection under the UPOV Convention	Approved by CAJ
UPOV/EXN/EXC Exceptions to the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/NUL Nullity of the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/CAN  Cancellation of the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/END Foraft 2  Enforcement of Breeder's Right under the UPOV Convention  To be considered by CAJ/59 (April 2009)  UPOV/EXN/GEN Draft 1  Genera and Species to be Protected under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/NAT Draft 1  National Treatment under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/HRV Draft 2  Acts in Respect of Harvested Material under the UPOV Convention  Draft 3 CAJ correspondence (May 2009)  UPOV/EXN/RES Draft 1  Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/BRD Draft 1  Definition of Breeder under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/AR Draft 1  Definition of Variety under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/AR Draft 1  Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1  COnditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Status  UPOV/INF/12/1  Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ-S(9A) (April 2009)	Draft addition	Article 13 of the 1991 Act "Provisional Protection": example provision(s)	CAJ by correspondence (May 2009)
UPOV/EXN/NUL         Nullity of the Breeder's Right under the UPOV Convention         Approved by CAJ           UPOV/EXN/CAN         Cancellation of the Breeder's Right under the UPOV Convention         Approved by CAJ           UPOV/EXN/ENF Draft 2         Enforcement of Breeders' Rights under the UPOV Convention         To be considered by CAJ/59 (April 2009)           UPOV/EXN/GEN Draft 1         Genera and Species to be Protected under the 1991 Act of the UPOV Convention         CAJ by correspondence (May 2009)           UPOV/EXN/NAT Draft 1         National Treatment under the 1991 Act of the UPOV Convention         Draft 3 CAJ correspondence (May 2009)           UPOV/EXN/HRV Draft 2         Acts in Respect of Harvested Material under the UPOV Convention         Draft 3 CAJ correspondence (May 2009)           UPOV/EXN/RES Draft 1         Restrictions on the Exercise of the Breeder's Right under the UPOV Convention         CAJ by correspondence (May 2009)           UPOV/EXN/BRD Draft 1         Definition of Breeder under the 1991 Act of the UPOV Convention         Draft 2 to be considered by CAJ-AG (October 2009)           UPOV/EXN/VAR Draft 1         Definition of Variety under the 1991 Act of the UPOV Convention         Draft 2 to be considered by CAJ-AG (October 2009)           UPOV/EXN/ Draft 1         Conditions and Limitations Concerning the Breeder's Authorization         To be considered by CAJ-AG (October 2009)           UPOV/EXN/COND Draft 1         Conditions of Protection under the UPOV Convention         CAJ-AG (October	UPOV/EXN/EDV	Essentially Derived Varieties under the UPOV Convention	Approved by CAJ CAJ-AG (October 2009) to consider a possible revision
UPOV/EXN/CAN Cancellation of the Breeder's Right under the UPOV Convention  Approved by CAJ  UPOV/EXN/ENF Draft 2 Enforcement of Breeder's Rights under the UPOV Convention  UPOV/EXN/GEN Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention  UPOV/EXN/AT Draft 1 National Treatment under the 1991 Act of the UPOV Convention  UPOV/EXN/NAT Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  UPOV/EXN/WAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ/59 (April 2009)	UPOV/EXN/EXC	Exceptions to the Breeder's Right under the UPOV Convention	Approved by CAJ
UPOV/EXN/ENF Draft 2 Enforcement of Breeders' Rights under the UPOV Convention  To be considered by CAJ/59 (April 2009)  UPOV/EXN/GEN Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/NAT Draft1 National Treatment under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  Draft 3 CAJ correspondence (May 2009)  UPOV/EXN/RES Draft1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  CAJ by correspondence (May 2009)  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ-59 (April 2009)	UPOV/EXN/NUL	Nullity of the Breeder's Right under the UPOV Convention	Approved by CAJ
UPOV/EXN/GEN Draft 1 Genera and Species to be Protected under the 1991 Act of the UPOV Convention CAJ by correspondence (May 2009)  UPOV/EXN/NAT Draft 1 National Treatment under the 1991 Act of the UPOV Convention CAJ by correspondence (May 2009)  UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention Draft 3 CAJ correspondence (May 2009) and Draft 4 to be considered by CAJ-AG (October 2009)  UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention CAJ by correspondence (May 2009)  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention CAJ-AG (October 2007) agreed not to pursue the development of a document adversion of the UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention Adopted by the Council UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention To be considered by CAJ/59 (April 2009)	UPOV/EXN/CAN	Cancellation of the Breeder's Right under the UPOV Convention	Approved by CAJ
UPOV/EXN/NAT Draft 1 National Treatment under the 1991 Act of the UPOV Convention  CAJ by correspondence (May 2009)  Draft 3 CAJ correspondence (May 2009) and Draft 4 to be considered by CAJ-AG (October 2009)  UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  CAJ by correspondence (May 2009) and Draft 4 to be considered by CAJ-AG (October 2009)  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  Draft 2 to be considered by CAJ-AG (October 2009)  UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ-S9 (April 2009)  To be considered by CAJ-S9 (April 2009)	UPOV/EXN/ENF Draft 2	Enforcement of Breeders' Rights under the UPOV Convention	To be considered by CAJ/59 (April 2009)
UPOV/EXN/HRV Draft 2 Acts in Respect of Harvested Material under the UPOV Convention  Draft 3 CAJ correspondence (May 2009) and Draft 4 to be considered by CAJ-AG (October 2009)  UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  UPOV/EXN/VAR Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ/59 (April 2009)  Adopted by the Council  To be considered by CAJ/59 (April 2009)	UPOV/EXN/GEN Draft 1	Genera and Species to be Protected under the 1991 Act of the UPOV Convention	CAJ by correspondence (May 2009)
UPOV/EXN/RES Draft 1 Restrictions on the Exercise of the Breeder's Right under the UPOV Convention  UPOV/EXN/BRD Draft 1 Definition of Breeder under the 1991 Act of the UPOV Convention  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  To be considered by CAJ-AG (October 2009)  Adopted by the Council  UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention  To be considered by CAJ-59 (April 2009)	UPOV/EXN/NAT Draft1	National Treatment under the 1991 Act of the UPOV Convention	CAJ by correspondence (May 2009)
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UPOV/EXN/VAR Draft 1 Definition of Variety under the 1991 Act of the UPOV Convention  UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention  CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference  INF documents  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention  Adopted by the Council  UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention  To be considered by CAJ-AG (October 2009)  CAJ-AG (October 2007) agreed not to pursue the development of a document  Status  To be considered by CAJ-AG (October 2009)  October 2009)  CAJ-AG (October 2007) agreed not to pursue the development of a document  Status  UPOV/INF/6/1 Draft 2  Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention  To be considered by CAJ/59 (April 2009)	UPOV/EXN/RES Draft1	Restrictions on the Exercise of the Breeder's Right under the UPOV Convention	CAJ by correspondence (May 2009)
UPOV/EXN/ Draft 1 Conditions and Limitations Concerning the Breeder's Authorization To be considered by CAJ-AG (October 2009)  UPOV/EXN/COND Draft 1 Conditions of Protection under the UPOV Convention CAJ-AG (October 2007) agreed not to pursue the development of a document  Latest reference INF documents  Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention Adopted by the Council  UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention To be considered by CAJ/59 (April 2009)	UPOV/EXN/BRD Draft 1	Definition of Breeder under the 1991 Act of the UPOV Convention	Draft 2 to be considered by CAJ-AG (October 2009)
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Latest reference INF documents Status  UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention Adopted by the Council  UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention To be considered by CAJ/59 (April 2009)	UPOV/EXN/ Draft 1	Conditions and Limitations Concerning the Breeder's Authorization	To be considered by CAJ-AG (October 2009)
UPOV/INF/12/1 Explanatory Notes on Variety Denominations under the UPOV Convention Adopted by the Council UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention To be considered by CAJ/59 (April 2009)	UPOV/EXN/COND Draft 1	Conditions of Protection under the UPOV Convention	
UPOV/INF/6/1 Draft 2 Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention To be considered by CAJ/59 (April 2009)	Latest reference	INF documents	Status
	UPOV/INF/12/1	Explanatory Notes on Variety Denominations under the UPOV Convention	Adopted by the Council
UPOV/INF/ Draft 1 Guidance on how to become a member of UPOV and accede to the 1991 Act of the UPOV Convention CAJ by correspondence (May 2009)	UPOV/INF/6/1 Draft 2	Guidance for the preparation of laws based on the 1991 Act of the UPOV Convention	To be considered by CAJ/59 (April 2009)
	UPOV/INF/ Draft 1	Guidance on how to become a member of UPOV and accede to the 1991 Act of the UPOV Convention	CAJ by correspondence (May 2009)
UPOV/INF/ Draft 1 Guidance on how to ratify, or accede to, the 1991 Act of the UPOV Convention (for members of UPOV only)  CAJ by correspondence (May 2009)	UPOV/INF/ Draft 1		CAJ by correspondence (May 2009)

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