



**BMT/9/10 Add.**

**ORIGINAL:** English

**DATE:** July 29, 2005

**INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS**  
GENEVA

**WORKING GROUP ON BIOCHEMICAL AND MOLECULAR  
TECHNIQUES AND DNA PROFILING IN PARTICULAR**

**Ninth Session**

**Washington, D.C., June 21 to 23, 2005**

**ESSENTIAL DERIVATION AND DIVERSITY ISSUES IN WINTER WHEAT**

*Document prepared by experts from the United Kingdom*

1. The BMT agreed that, where agreed by the relevant experts, the presentations made at the meeting should be made available in the BMT document section of the UPOV website, as addenda to the relevant documents. This document contains a copy of the presentation made by Mr. John Law (United Kingdom), based on document BMT/9/10.

## ESSENTIAL DERIVATION & DIVERSITY ISSUES IN WINTER WHEAT.

John R Law and Robert J Cooke

NIAB, Cambridge, UK



There are few very large data sets with both extensive phenotype and genotype data - as they are costly to put together – but some do exist.

One such is the FP6 QL project Gediflux coordinated by NIAB. (4 crops Winter Wheat, Potato, Maize, Barley). Partners in the project from UK, NL, F and D.

These data may be exploited for other, secondary purposes, which will be illustrated in this paper based on Winter Wheat.



For winter wheat, over 270 varieties were selected to represent established commercial varieties grown in Europe over the past 5 decades and taken as an estimate of **genetic diversity exploited in commercial terms and are designated the “RL” set.**

A further 229 varieties were analysed that had been National Listed in the 1980s and 1990s to represent the **wider genetic diversity available, but not necessarily exploited and are designated the “NL” set.**

Three marker systems are utilised.

- SSR
- S-SAP multi-locus AFLP like marker
- NBS *“expressed regions and linked to disease resistance genes”*



Data from 40 SSR loci were analysed as pattern profiles, and pair-wise similarity coefficients computed by Euclidean algorithm and the method known as “City block” or Manhattan.

In addition, 70 S-SAP bands and 70 NBS bands were available and, as the bands are present/absent, the pair-wise similarities were generated by the Jaccards’ method.

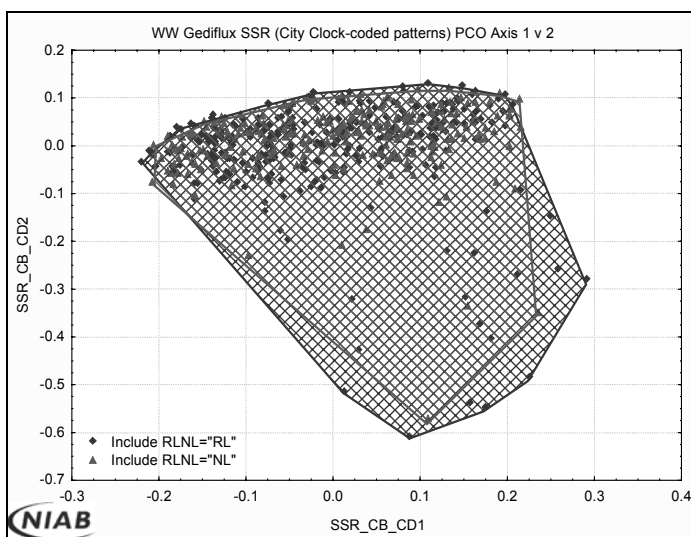
There are a number of possible approaches to the calculation and analysis of such data.

We here have restricted the presentation to PCO’s and “convex hulls” which we have used in other publications and presentations to illustrate temporal flux in genetic diversity.



Following diagram shows the Genetic Diversity based on the SSR marker data; coded to show the data from the RL and the NL portions of the data.

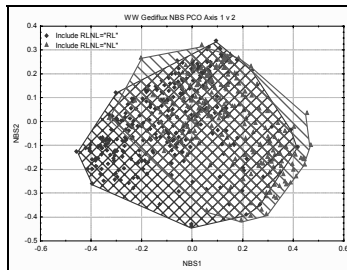
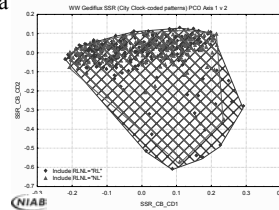
PCO on SSR, City Block similarity calculation with “convex hulls” added to illustrate the most extreme RL and the NL varieties in the data set.



Based on the analysis and presentational approach for SSR data:-

No major partitioning of the RL and the NL portions of the data – high degree of overlap.  
In fact, RL > NL exploitation of “useful genes”.

Some “structure” within both the RL and the NL portions of the data

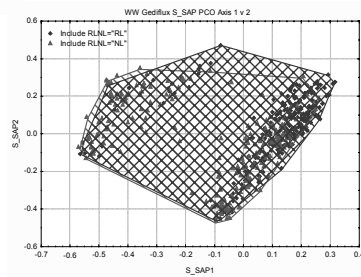


WW NBS



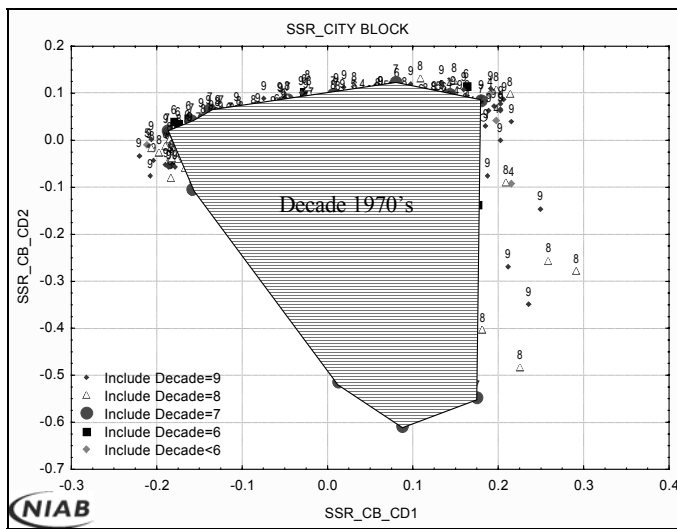
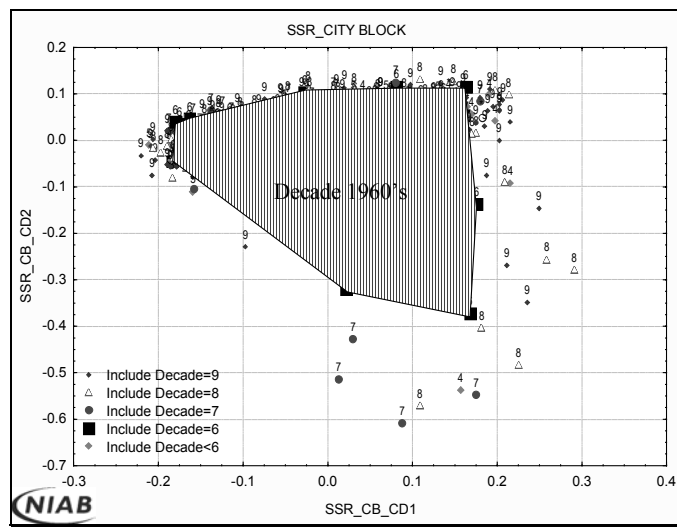
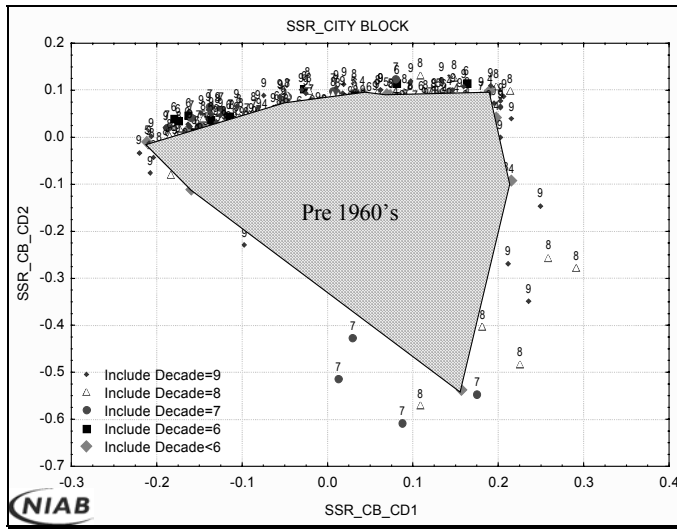
Similar “patterns” with NBS and S-SAP so results with SSR may apply generally

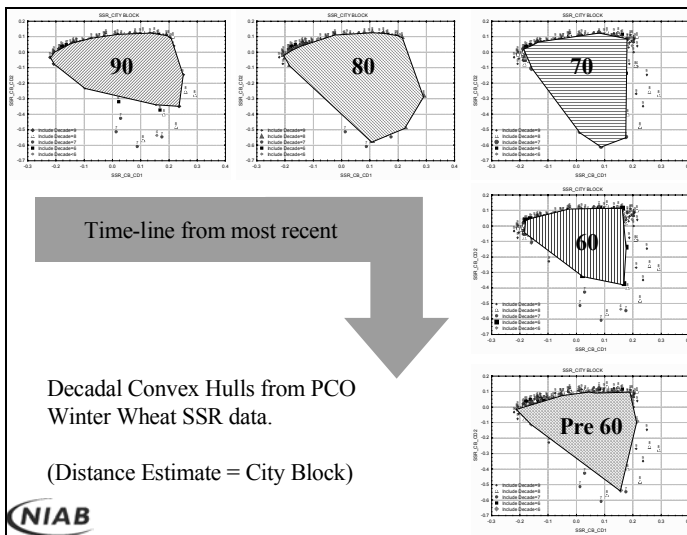
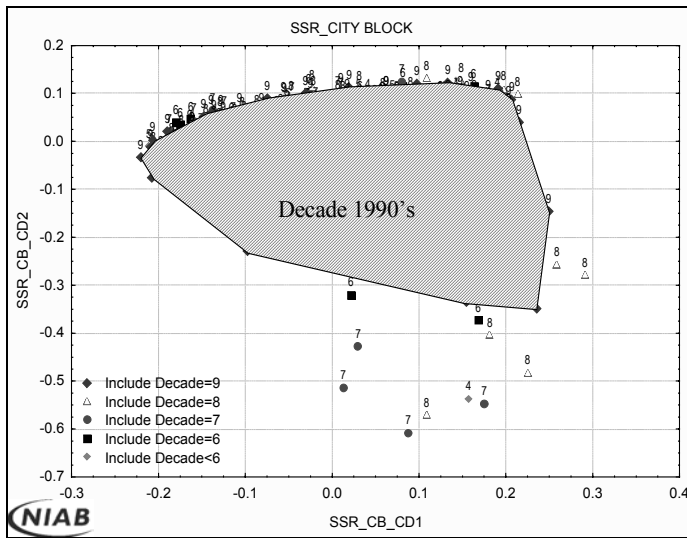
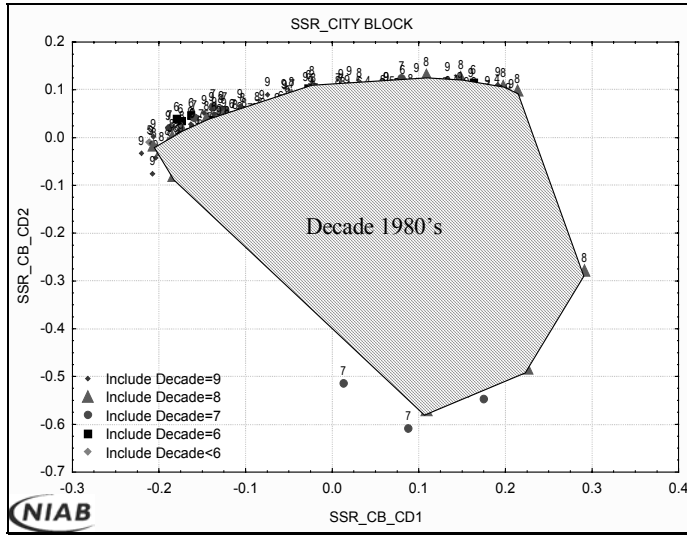
WW S-SAP

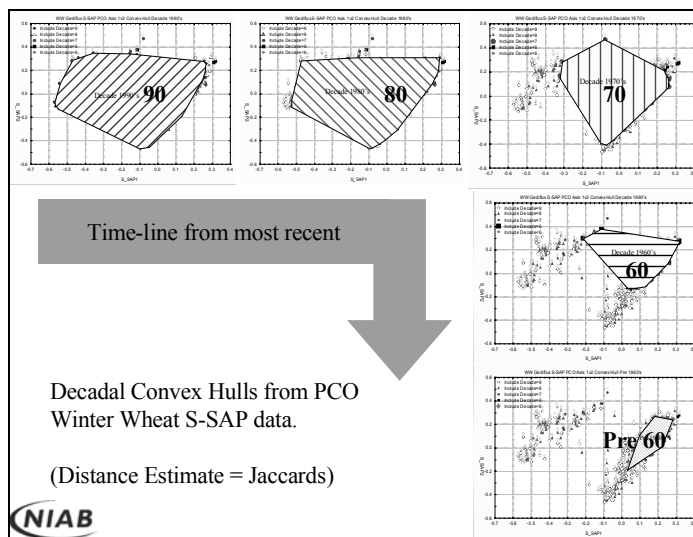
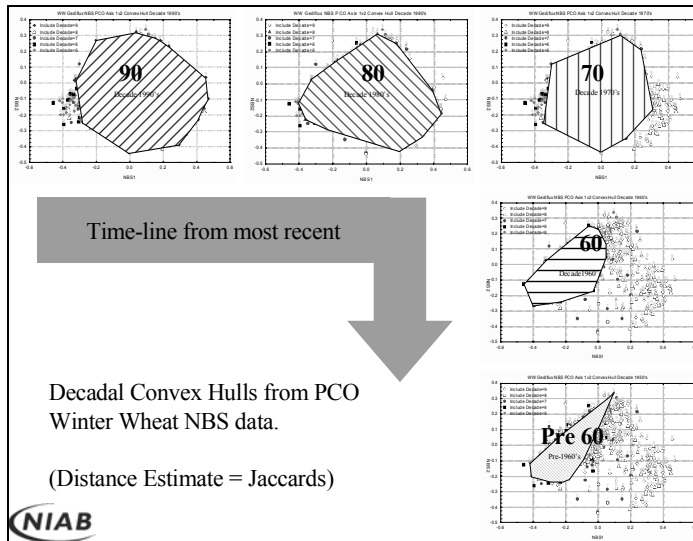


Winter Wheat Decadal Diversity Flux









The presentation so far shows that:-

By treating the 499 varieties as a single variety pool, pair-wise similarities were input into a PCO analysis, which illustrated the partitioning into the “RL” and “NL”;

No major bottle-necking/expansion in decadal flux in genetic diversity was shown by any of the marker systems assessed.

In conjunction with morphological records, this data-set could be further utilised in an Option 2-type approach, possibly using Predip.

Resources will be needed to inform the UPOV debate on a range of topics including enforcement, technical verification and EDV etc.

The presentation so far shows that:-

By treating the 499 varieties as a single variety pool, pair-wise similarities were input into a PCO analysis, which illustrated the partitioning into the “RL” and “NL”;

No major bottle-necking/expansion in decadal flux in genetic diversity was shown by any of the marker systems assessed.

In conjunction with morphological records, this data-set could be further utilised in an Option 2-type approach, possibly using Predip.

Resources will be needed to inform the UPOV debate on a range of topics including enforcement, technical verification and EDV etc.



The presentation so far shows that:-

By treating the 499 varieties as a single variety pool, pair-wise similarities were input into a PCO analysis, which illustrated the partitioning into the “RL” and “NL”;

No major bottle-necking/expansion in decadal flux in genetic diversity was shown by any of the marker systems assessed.

In conjunction with morphological records, this data-set could be further utilised in an Option 2-type approach, possibly using Predip.

Resources will be needed to inform the UPOV debate on a range of topics including enforcement, technical verification and EDV etc.



The presentation so far shows that:-

By treating the 499 varieties as a single variety pool, pair-wise similarities were input into a PCO analysis, which illustrated the partitioning into the “RL” and “NL”;

No major bottle-necking/expansion in decadal flux in genetic diversity was shown by any of the marker systems assessed.

In conjunction with morphological records, this data-set could be further utilised in an Option 2-type approach, possibly using Predip.

Resources will be needed to inform the UPOV debate on a range of topics including enforcement, technical verification and EDV etc.





In particular ...  
Winter Wheat - Essential Derivation.

Evidence of "structure" ,previous work has shown, is vital in the establishment of any potential EDV system.

Here "structure" is natural classification; grouping; Clustering etc



**Visual outline of the EDV concept.**

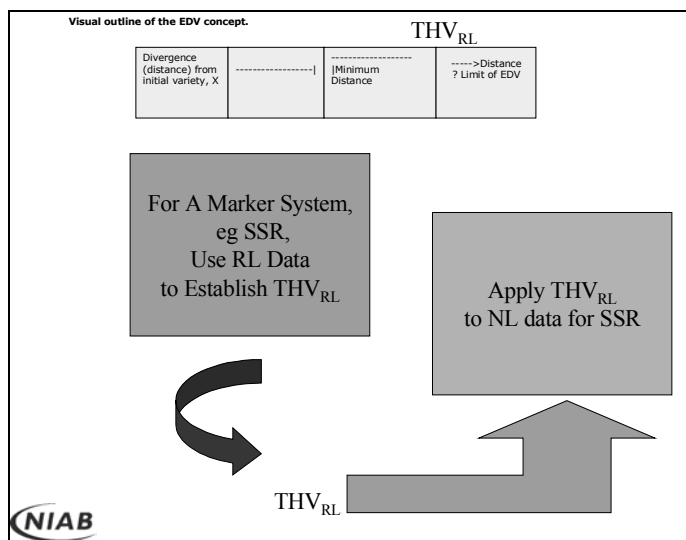
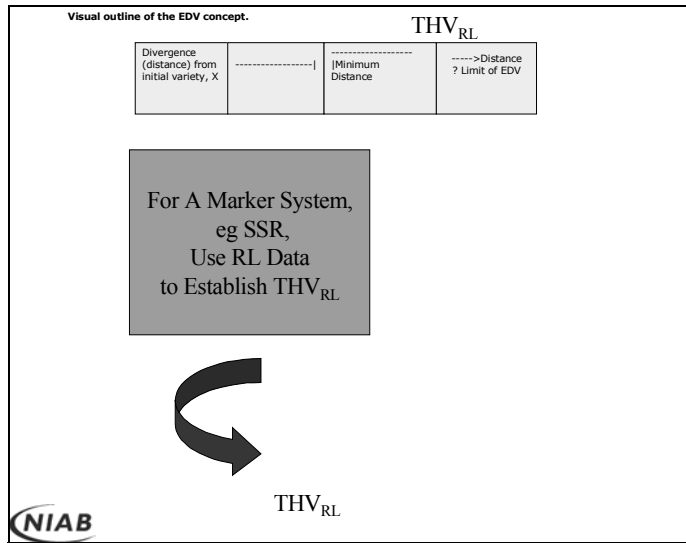
Divergence (distance) from initial variety, X	-----	Minimum Distance	----->Distance ? Limit of EDV
Type of variety:	X*plagiarised X	X' new, EDV (dependent)	Y new (independent)
Differentiation from X	insignificant 'cosmetic' changes	clearly distinct, but retains 'essential characteristics'	Clearly distinct
Eligibility for PBR	No	Yes (essentially derived)	Yes



**Visual outline of the EDV concept.**

Divergence (distance) from initial variety, X	-----	Minimum Distance	----->Distance ? Limit of EDV
---	-------	------------------	-------------------------------





**Essential Derivation:**

To simulate an EDV situation from the computed matrices of pair-wise similarities/distances, the following steps were taken.

Firstly the RL material only was utilised to establish a framework whereby varieties are distinct, but potential EDV cases may occur. All pair-wise similarity coefficients were collated, ranked and the value corresponding to a threshold value ( $THV_{RL}$ ) of the top 5-percentile determined (this potential threshold was based on previous work with barley). This was repeated for matrices from each marker system.

Secondly, the set of NL pair-wise similarities were then compared to the  $THV$  established by the RL varieties as defined above.

Statistics were then computed for the percentage agreement between the marker systems in terms of the identification of possible EDV cases.



**Essential Derivation:**

To simulate an EDV situation from the computed matrices of pair-wise similarities/distances, the following steps were taken.

Firstly the RL material only was utilised to establish a framework whereby varieties are distinct, but potential EDV cases may occur. All pair-wise similarity coefficients were collated, ranked and the value corresponding to a threshold value ( $THV_{RL}$ ) of the top 5-percentile determined (this potential threshold was based on previous work with barley). This was repeated for matrices from each marker system.

Secondly, the set of NL pair-wise similarities were then compared to the THV established by the RL varieties as defined above.

Statistics were then computed for the percentage agreement between the marker systems in terms of the identification of possible EDV cases.

**Essential Derivation:**

To simulate an EDV situation from the computed matrices of pair-wise similarities/distances, the following steps were taken.

Firstly the RL material only was utilised to establish a framework whereby varieties are distinct, but potential EDV cases may occur. All pair-wise similarity coefficients were collated, ranked and the value corresponding to a threshold value ( $THV_{RL}$ ) of the top 5-percentile determined (this potential threshold was based on previous work with barley). This was repeated for matrices from each marker system.

Secondly, the set of NL pair-wise similarities were then compared to the THV established by the RL varieties as defined above.

Statistics were then computed for the percentage agreement between the marker systems in terms of the identification of possible EDV cases.

**Essential Derivation:**

To simulate an EDV situation from the computed matrices of pair-wise similarities/distances, the following steps were taken.

Firstly the RL material only was utilised to establish a framework whereby varieties are distinct, but potential EDV cases may occur. All pair-wise similarity coefficients were collated, ranked and the value corresponding to a threshold value ( $THV_{RL}$ ) of the top 5-percentile determined (this potential threshold was based on previous work with barley). This was repeated for matrices from each marker system.

Secondly, the set of NL pair-wise similarities were then compared to the THV established by the RL varieties as defined above.

Statistics were then computed for the percentage agreement between the marker systems in terms of the identification of possible EDV cases.



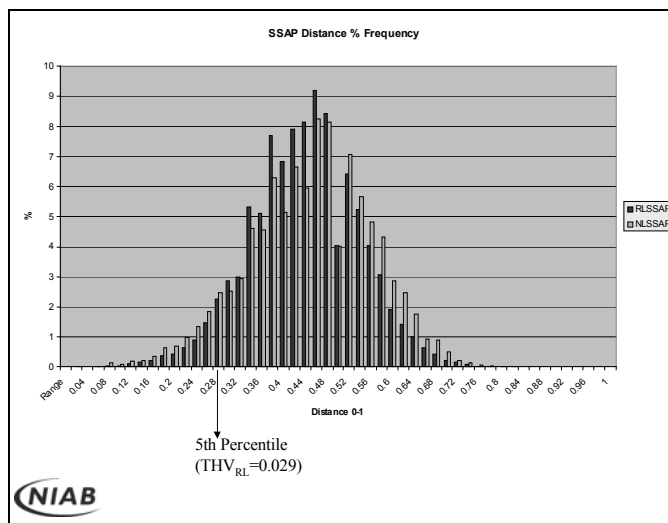
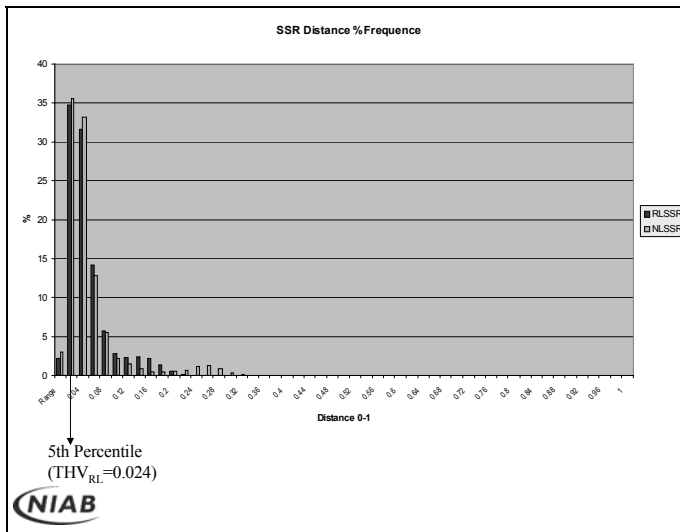
Essential Derivation:

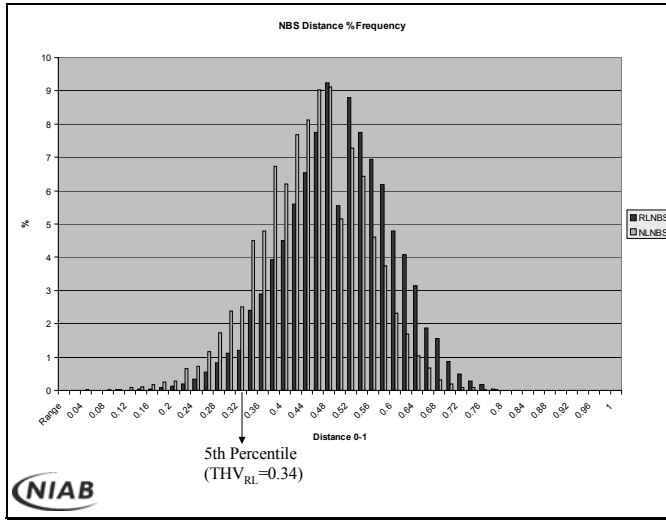
To simulate an EDV situation from the computed matrices of pair-wise similarities/distances, the following steps were taken.

Firstly the RL material only was utilised to establish a framework whereby varieties are distinct, but potential EDV cases may occur. All pair-wise similarity coefficients were collated, ranked and the value corresponding to a threshold value ( $THV_{RL}$ ) of the top 5-percentile determined (this potential threshold was based on previous work with barley). This was repeated for matrices from each marker system.

Secondly, the set of NL pair-wise similarities were then compared to the  $THV$  established by the RL varieties as defined above.

Statistics were then computed for the percentage agreement between the marker systems in terms of the identification of possible EDV cases.





### Application of NL Data to Values of $THV_{RL}$

(In each case based on the lower 5th percentile point of ranked distances from the RL "training set")

Marker	Distance $THV_{RL}$	Number < $THV_{RL}$	Percentage < $THV_{RL}$
SSR	0.0236	1656	6.3
S_SAP	0.2857	1799	6.9
NBS	0.3409	2729	10.5



### Application of RL Data to Values of $THV_{RL}$

(In each case based on the lower 5th percentile point of ranked distances from the RL "training set")

Based on 5th lowest Percentile of RL ranked distances	NL "Training set" - Number < $THV_{RL}$	NL "Testing set" - Percentage < $THV_{RL}$	RL "Training set" - Percentage > $THV_{RL}$	Distribution of "Potential EDV" for NL "Testing set" by Marker
Not EDV by ANY Marker	26102	26102	88.1	0.0
SSR ONLY	1656	6.3	3.7	21.8
SSAP ONLY	1799	6.9	2.9	21.8
NBS ONLY	2729	10.5	3.0	37.1
SSR+SSAP	3455	13.2	0.4	2.7
SSR+NBS	4385	17.1	0.4	5.7
NBS+SSAP	4528	17.4	1.0	8.5
SSR+NBS+SSAP	6182	23.7	0.4	2.4
Total number of Variety Pairs	29702	29702	36315	100



### Application of NL Data to Values of $THV_{RL}$

(In each case based on the lower 5th percentile point of ranked distances from the RL "training set")

Based on 5th lowest Percentile of RL ranked distances	NL "Test set" ~ Number > $THV_{RL}$	NL "Test set" ~ Percentage > $THV_{RL}$	RL "Training set" ~ Percentage > $THV_{RL}$	Distribution of "Potential EDV" for NL "Testing set" by Marker
Not EDV by ANY Marker	21025	80.5	88.1	0.0
SSR ONLY	1107	4.2	3.7	21.8
SSAP ONLY	1106	4.2	2.9	21.8
NBS ONLY	1887	7.2	3.0	37.1
SSR+SSAP	139	0.5	0.4	2.7
SSR+NBS	288	1.1	0.4	5.7
NBS+SSAP	432	1.7	1.0	8.5
SSR+NBS+SSAP	122	0.5	0.4	2.4
Total number of Variety Pairs	26106	26106	36315	100



### Application of NL Data to Values of $THV_{RL}$

(In each case based on the lower 5th percentile point of ranked distances from the RL "training set")

Based on 5th lowest Percentile of RL ranked distances	NL "Test set" ~ Number > $THV_{RL}$	NL "Test set" ~ Percentage > $THV_{RL}$	RL "Training set" ~ Percentage > $THV_{RL}$	Distribution of "Potential EDV" for NL "Testing set" by Marker
Not EDV by ANY Marker	21025	80.5	88.1	0.0
SSR ONLY	1107	4.2	3.7	21.8
SSAP ONLY	1106	4.2	2.9	21.8
NBS ONLY	1887	7.2	3.0	37.1
SSR+SSAP	139	0.5	0.4	2.7
SSR+NBS	288	1.1	0.4	5.7
NBS+SSAP	432	1.7	1.0	8.5
SSR+NBS+SSAP	122	0.5	0.4	2.4
Total number of Variety Pairs	26106	26106	36315	100



The presentation shows, for the set of 229 "NL" varieties, that :-  
Over 80% of the pair-wise similarity coefficients were above the respective  $THV_{RL}$  distance using all markers studied, and can be considered unlikely to be possible EDVs;

The remaining 20% were considered to be potential EDVs by one, two or all three markers;

There were differences between the markers in the level of flagged EDV "potential";

Only 0.47% of the total number of pair-wise similarity coefficients were identified by all three markers.

This represents 2.4% of pairs of varieties flagged as a potential EDV by one or more markers.



The presentation shows, for the set of 229 “NL” varieties, that :-  
Over 80% of the pair-wise similarity coefficients were above the respective  $THV_{RL}$  distance using all markers studied, and can be considered unlikely to be possible EDVs;

The remaining 20% were considered to be potential EDVs by one, two or all three markers;

There were differences between the markers in the level of flagged EDV “potential”;

Only 0.47% of the total number of pair-wise similarity coefficients were identified by all three markers.

This represents 2.4% of pairs of varieties flagged as a potential EDV by one or more markers.



The presentation shows, for the set of 229 “NL” varieties, that :-  
Over 80% of the pair-wise similarity coefficients were above the respective  $THV_{RL}$  distance using all markers studied, and can be considered unlikely to be possible EDVs;

The remaining 20% were considered to be potential EDVs by one, two or all three markers;

There were differences between the markers in the level of flagged EDV “potential”;

Only 0.47% of the total number of pair-wise similarity coefficients were identified by all three markers.

This represents 2.4% of pairs of varieties flagged as a potential EDV by one or more markers.



The presentation shows, for the set of 229 “NL” varieties, that :-  
Over 80% of the pair-wise similarity coefficients were above the respective  $THV_{RL}$  distance using all markers studied, and can be considered unlikely to be possible EDVs;

The remaining 20% were considered to be potential EDVs by one, two or all three markers;

There were differences between the markers in the level of flagged EDV “potential”;

Only 0.47% of the total number of pair-wise similarity coefficients were identified by all three markers.

This represents 2.4% of pairs of varieties flagged as a potential EDV by one or more markers.



The presentation shows, for the set of 229 “NL” varieties, that :-  
Over 80% of the pair-wise similarity coefficients were above the respective  $THV_{RL}$  distance using all markers studied, and can be considered unlikely to be possible EDVs;

The remaining 20% were considered to be potential EDVs by one, two or all three markers;

There were differences between the markers in the level of flagged EDV “potential”;

Only 0.47% of the total number of pair-wise similarity coefficients were identified by all three markers.

This represents 2.4% of pairs of varieties flagged as a potential EDV by one or more markers.



EDV-

Confirms previous results presented at BMT... namely that

- Species by species approach required
- (Natural) Grouping need to be caterer for separately
- Choice of markers and numbers of markers is an important factor

**Summary.**

No one-size fits all solution.



Thank you.

Questions?

