



EAF/6/3

ORIGINAL: English

DATE: October 21, 2015

INTERNATIONAL UNION FOR THE PROTECTION OF NEW VARIETIES OF PLANTS

Geneva

MEETING ON THE DEVELOPMENT OF A PROTOTYPE ELECTRONIC FORM**Sixth Meeting
Geneva, October 26, 2015**

EAS QUESTIONNAIRE

*prepared by the Office of the Union**Disclaimer: this document does not represent UPOV policies or guidance*

1. To facilitate the discussions at the sixth Meeting on the Development of a Prototype Electronic Form (EAF/6) to be held on the evening of October 26, 2015, a questionnaire was developed to obtain information on possible requirements that PVP Offices may have with regard to legal, administrative and technical matters concerning a UPOV electronic application system. On August 7, 2015, participating members in the EAF project were invited to complete the questionnaire in English or in Spanish (see Circular E-15/168). A copy of the questionnaire is reproduced in Annex I to this document.
2. Results were received in English from Australia, European Union, Netherlands, New Zealand, Republic of Korea, Switzerland and United States of America, and in Spanish from Chile, Ecuador, Mexico and Paraguay. The results are reproduced in Annexes II and III of this document, respectively.
3. In some cases, incomplete replies were also recorded but the survey was not submitted. Those results have been taken into consideration, but treated separately, and reproduced in English and Spanish in Annexes IV and V of this document, respectively.
4. Japan and the International Seed Federation (ISF) also replied to the questions via e-mail and were not captured in the system on line. The results and comments from Japan and ISF are reproduced in Annexes VI and VII of this document, respectively.
5. All results and comments were taken into consideration for proposals of the next steps of the development of an Electronic Form to be discussed at the EAF/6 meeting and summarized in document EAF/6/2 "Overview of the prototype electronic form project".

[Annexes follow]

EAS questionnaire 2015

1. In what languages can the application form be completed for acceptance by your office?

[Please complete]:

Next

EAS questionnaire 2015

2. Do you have terms and conditions that would need to be accepted by the applicant before the information from the UPOV Electronic Application Form (EAF) could be accepted by your office?

Yes

No

Comments:

Next

EAS questionnaire 2015

3. How are the terms and conditions accepted by the applicant in your procedure?

They are included in the application form

They are included in a separate electronic declaration

Comments:

Next

EAS questionnaire 2015

4. What would be the maximum time delay acceptable by your office between the applicant instructing the information to be sent from the EAF and information being received by your office?

1 hour

1 day

Other [please specify]:

Next

EAS questionnaire 2015

5. In which format(s) would you accept data from the EAF [multiple options possible]:

XML

E-mail with PDF attachment

Other [please specify]

Comments:

Next

EAS questionnaire 2015

6. If you could accept data in XML format, would you plan to integrate your existing electronic application system with the EAF using the Web services made available by UPOV?

Yes

No

Not sure

Comments:

Next

EAS questionnaire 2015

7. What is the relationship between the payment of application fees and the filing date in your Office?

- The applicant has a defined period within which to pay the fees, or the application is not accepted [please provide period below*]
- The date of application is the date on which payment is received
- The date of application is not affected by the date of payment, but the payment must be received before the right is granted
- Other [please specify]:

* Payment period

Next

EAS questionnaire 2015

8. Would you be able to accept payment of fees via a bank used by UPOV?

- Yes
- No

Comments:

Next

EAS questionnaire 2015

9. In which currency(ies) can you accept payment [please specify]:

Next

EAS questionnaire 2015

10. For your Office, how long would it be acceptable for the UPOV EAF system to be unavailable?

- 1 hour
- 1 day
- Other [please specify]:

Next

EAS questionnaire 2015

11. Would it be acceptable for your Office that data relating to an application with your Office be stored by UPOV in a protected way?

- Yes
- No

Comments:

Next

EAS questionnaire 2015

12. What level of data security would you require at UPOV?

- Confidential: Only those who explicitly need access are granted and only to the least degree in order to do their work (the 'need to know' and 'least privilege' principles). Confidential information must be protected by encryption.
- Restricted: Data is subject to controls on access such as only allowing valid logons having a specific role.
- Internal Use: Data can be disclosed or disseminated by its owner to appropriate partners and other individuals without any restrictions on content or time of publication
- Public: Data can be disclosed or disseminated without any restrictions on content, audience or time of publication

Comments:

Next

EAS questionnaire 2015

13. How often do you typically update your application form and/or your technical questionnaire?

- Every 3 months
- Once a year
- Less than once a year
- Other [please specify]:

Comments:

Next

EAS questionnaire 2015

14. How quickly would the EAF need to be updated if your application form and/or technical questionnaire were updated?

- Within 1 hour
- Within 1 day
- Within 1 month
- Other [please specify]:

Comments:

Next

EAS questionnaire 2015

15. Would it be acceptable for your office for the applicant to sign the application in the UPOV EAF before it is transmitted to your office? In that case, which of the following methods could be used?

- Non-PKI (Public Key Infrastructure) Signature:
- i. Image file of the signature
 - ii. Basic electronic signature described in a free format
 - iii. Simple electronic 'signature'; e.g. clicking a button labelled 'accept' or 'I agree'
- Digital signature encoded using PKCS7 (Cryptographic Message Syntax Standard, see RFC 2315)

Comments:

Next

EAS questionnaire 2015

16. If your office would not accept the applicant signature via the UPOV EAF, in that case, which of the following options could be implemented?

- Integration with your office system: a web service provided by your office should be called by the EAF in order to get the information about whether the information could be authorized or not
- Authorization is done by your office after receipt

Comments:

Next

EAS questionnaire 2015

Participant Details

17. Participant name:

18. Organization:

Finish

Comment report

Lists all the questions in the survey and displays all the comments made to these questions, if applicable.

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Report info

Report date:

Tuesday, October 13, 2015 2:23:53 PM CEST

Start date:

Friday, August 7, 2015 2:00:00 PM CEST

Stop date:

Monday, October 12, 2015 6:00:00 PM CEST

Number of completed responses:

8

Question 1

In what languages can the application form be completed for acceptance by your office?

Text input

English

english, german, french, italian

English

English

English and Dutch.

As long as we receive an XML which corresponds completely to any other language in the EAS system (we can not check!) we can accept the XML as application form.

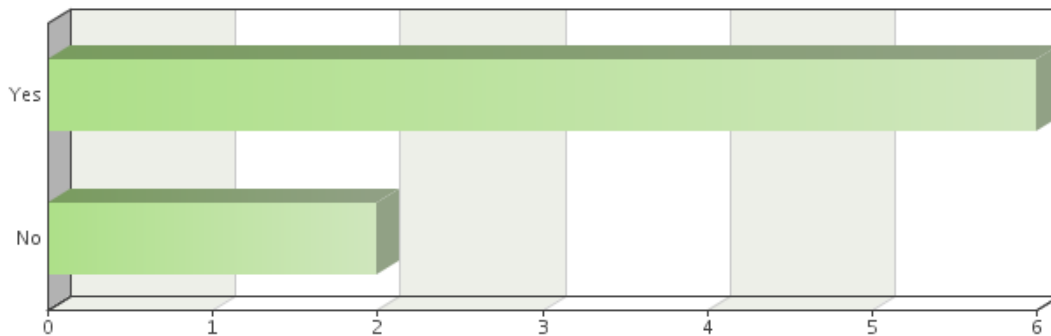
English

23 EU languages

Korean

Question 2

Do you have terms and conditions that would need to be accepted by the applicant before the information from the UPOV Electronic Application Form (EAF) could be accepted by your office?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	6	75%	75%
No	2	25%	25%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

The form must be signed and payment received before a filing date is confirmed.

the applicant need a representative, if he has no domicile in Switzerland

Yes, as included in declaration and signature of Australian application form

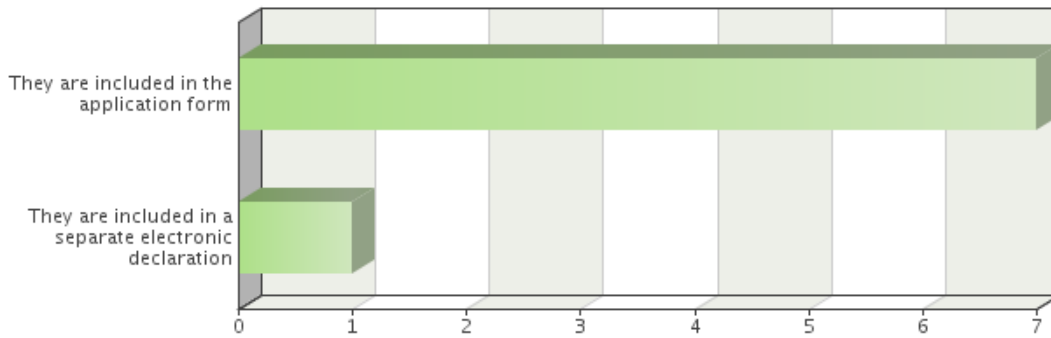
payment of the application fee is needed to accept an application.

Complete application, payment and signature. The eventual deposit of seed or tissue samples is required.

To accept Application form applied by UPOV EAF, Application form should be written or translated in Korean.

Question 3

How are the terms and conditions accepted by the applicant in your procedure?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
They are included in the application form	7	87.5%	87.5%
They are included in a separate electronic declaration	1	12.5%	12.5%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

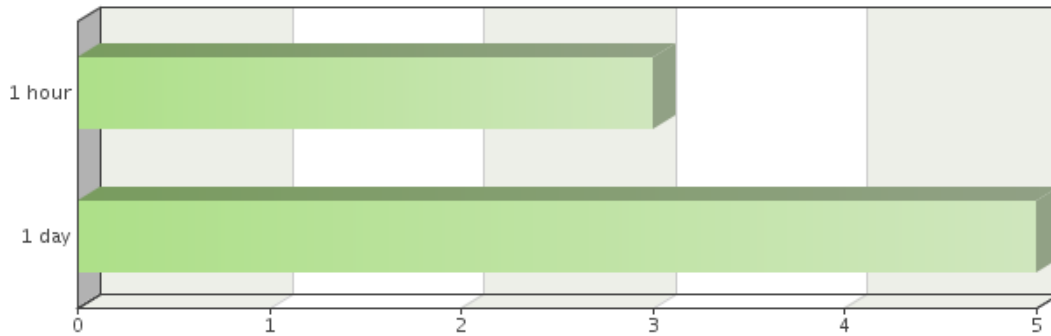
The signature of the U.S. PVP Form confirms acceptance of the terms and conditions.

In our paper form there is a note about acceptance for an application, this could be included also in the electronic form:

NB: Take note that the application will only formally be dealt with after payment of the application fee and the fee the 1st DUS testing year.

Question 4

What would be the maximum time delay acceptable by your office between the applicant instructing the information to be sent from the EAF and information being received by your office?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 hour	3	37.5%	37.5%
1 day	5	62.5%	62.5%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

A time delay is not an issue unless the applicant is running out of time to establish a filing date due to a previous sale of the variety and the one or four year deadline in regard to the U.S. policy of within one year of sale for domestic applicants and within four years of sale for international applicants.

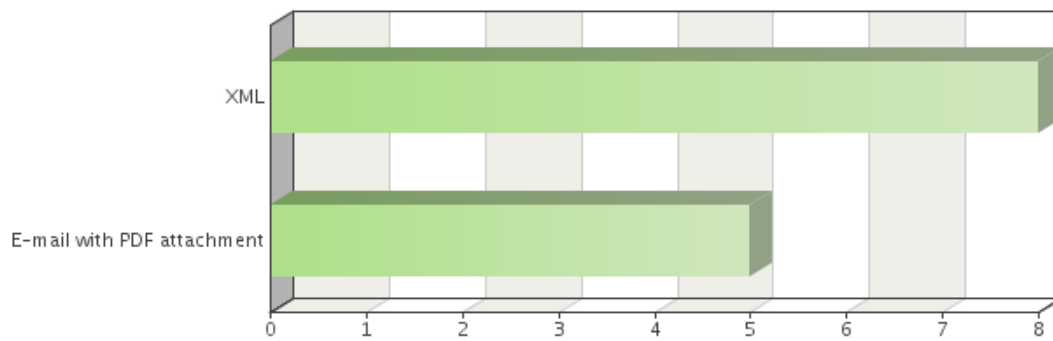
more days are absolutly possible

Please advise of situation where longer than this would occur

does not really matter as long as we can see when the application was filed in the system.

Question 5

In which format(s) would you accept data from the EAF [multiple options possible]:



Frequency table

Choices	Absolute frequency	Relative frequency by choice	Relative frequency	Adjusted relative frequency
XML	8	61.54%	100%	100%
E-mail with PDF attachment	5	38.46%	62.5%	62.5%
Sum:	13	100%	-	-
Not answered:	0	-	0%	-

Total answered: 8

Text input

xml in future

XML preferred. email would require manual loading

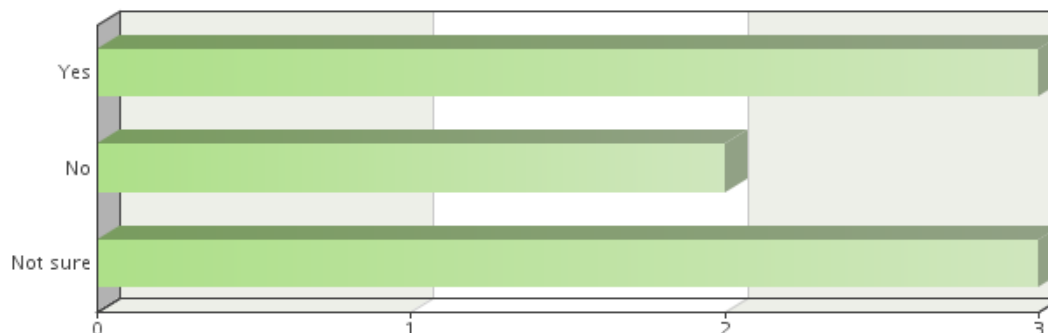
Striving towards ST.96

we need definitely XML for fast, efficient and faultless inclusion in our IT system.

Once this has been developed. Accepting an xml would mean for us typing information we do not type today.

Question 6

If you could accept data in XML format, would you plan to integrate your existing electronic application system with the EAF using the Web services made available by UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	3	37.5%	37.5%
No	2	25%	25%
Not sure	3	37.5%	37.5%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

why not

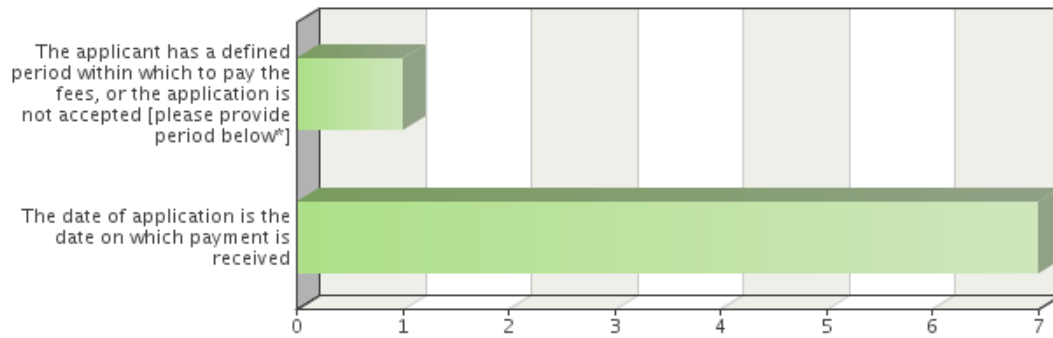
The volume of usage would be the main factor in developing an integrated solution.

IPA currently has no electronic application system for PBR. Currently receive scanned pdfs and upload accordingly. However, large programme of work currently being undertaken which should / will be able to receive EAF in xml form from upov.

We have no running electronic application system at the moment. The shared system with CPVO and GEVES is still not in operation.

Question 7

What is the relationship between the payment of application fees and the filing date in your Office?

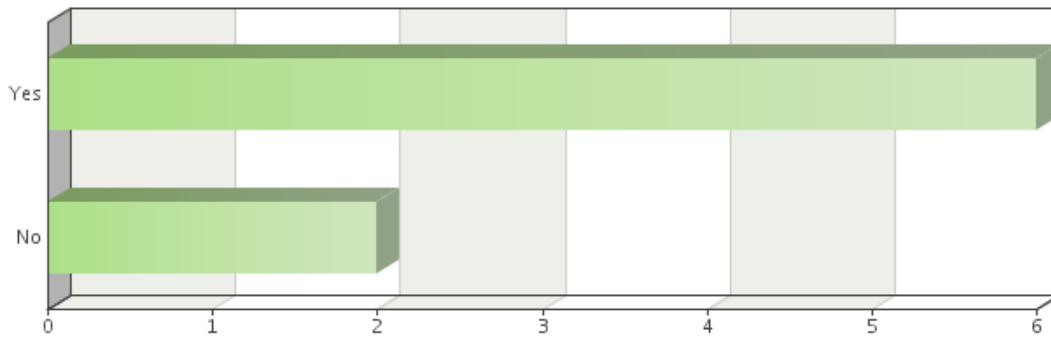


Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
The applicant has a defined period within which to pay the fees, or the application is not accepted [please provide period below*]	1	12.5%	12.5%
The date of application is the date on which payment is received	7	87.5%	87.5%
Sum:	8	100%	100%
Not answered:	0	0%	-
Total answered: 8			

Question 8

Would you be able to accept payment of fees via a bank used by UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	6	75%	75%
No	2	25%	25%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

No unless it is a U.S. Bank. We may be able to work around this in the future, but for now we can only accept funds from U.S. Banks.

However, discussion with finance areas on best practice approach would be necessary. Could payment be made direct to receiving authority in currency of that Country?

We do not know why it should be necessary to insert payment via a bank used by UPOV in the payment system. Many companies have a deposit at Naktuinbouw from which the application fee for new received applications is directly payed.

Not at this time, but we can look into our options. Right now we only accept funds drawn on U.S. Banks, but we can work on solutions.

Question 9

In which currency(ies) can you accept payment [please specify]:

Text input

U.S. Dollars.

swiss francs

New Zealand Dollars (noting that the bank fees would have to be factored in)

Australian Dollars

We can accept all foreign currencies as long as the amount corresponds with the daily exchange rate.

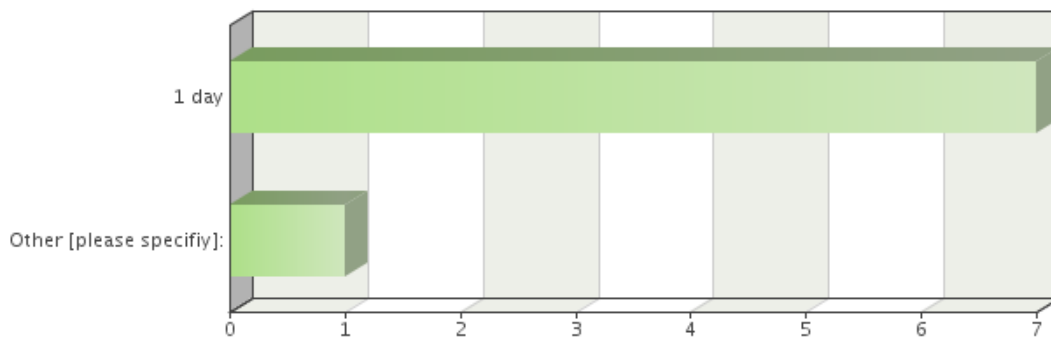
U.S. Currency

€

KRW

Question 10

For your Office, how long would it be acceptable for the UPOV EAF system to be unavailable?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 day	7	87.5%	87.5%
Other [please specify:]	1	12.5%	12.5%
Sum:	8	100%	100%
Not answered:	0	0%	-

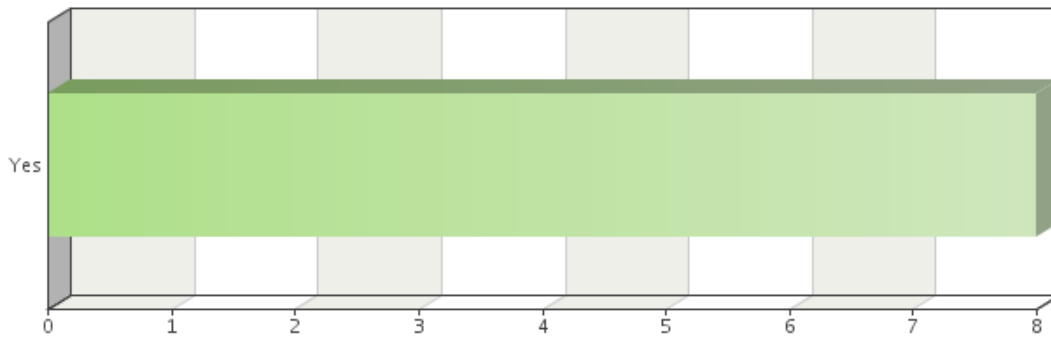
Total answered: 8

Text input

As short as possible. Our own system probably happened to be out of order for several hours

Question 11

Would it be acceptable for your Office that data relating to an application with your Office be stored by UPOV in a protected way?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	8	100%	100%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

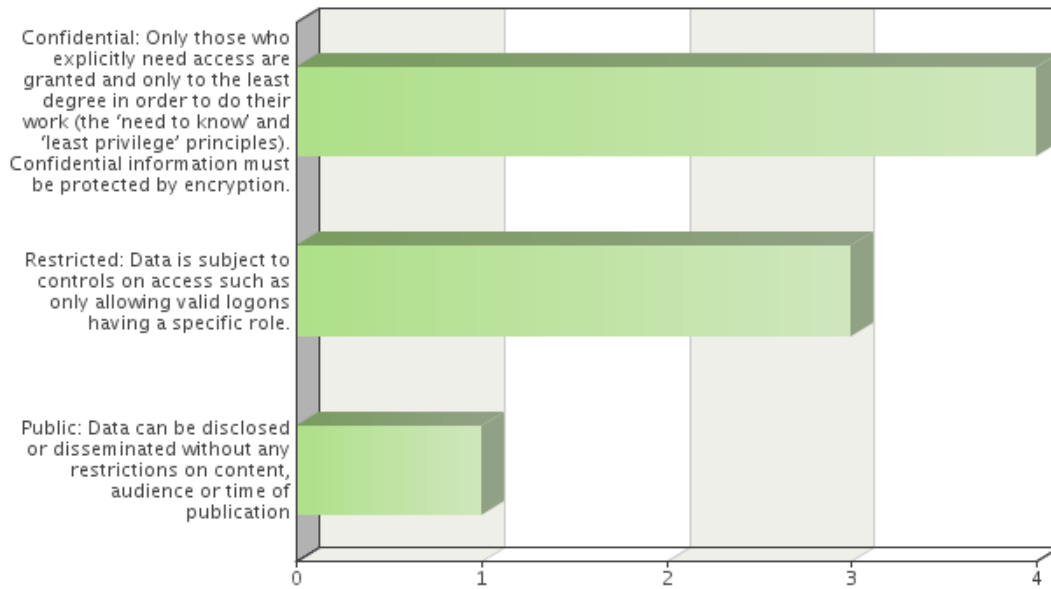
Yes, as long as protection complies with U.S. cyber security policies and procedures as defined by the Office of the Chief Information Officer.

I suppose already

If the proprietary/sensitive data is protected in using an approved method.

Question 12

What level of data security would you require at UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Confidential: Only those who explicitly need access are granted and only to the least degree in order to do their work (the 'need to know' and 'least privilege' principles). Confidential information must be protected by encryption.	4	50%	50%
Restricted: Data is subject to controls on access such as only allowing valid logons having a specific role.	3	37.5%	37.5%
Public: Data can be disclosed or disseminated without any restrictions on content, audience or time of publication	1	12.5%	12.5%
Sum:	8	100%	100%
Not answered:	0	0%	-

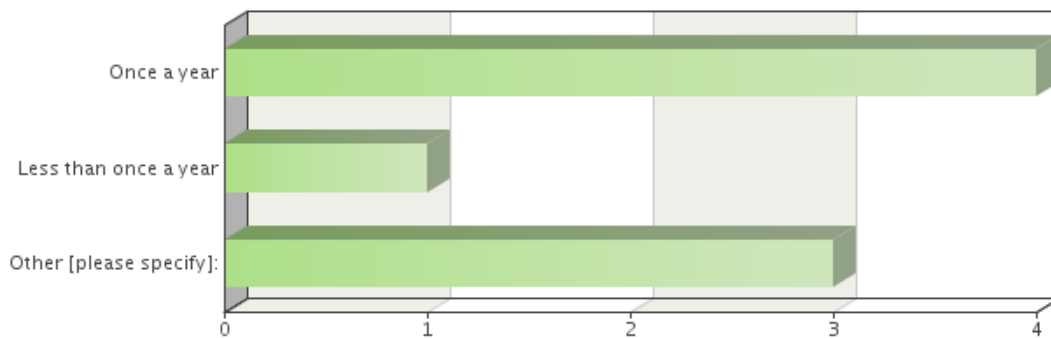
Total answered: 8

Text input

Controls would have to be in place for the following that may not be viewed by anyone other than AU Office employees:
 *Name and location of each parent variety
 *Particulars of any PBR granted in Australia or any other contracting party

Question 13

How often do you typically update your application form and/or your technical questionnaire?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Once a year	4	50%	50%
Less than once a year	1	12.5%	12.5%
Other [please specify]:	3	37.5%	37.5%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

At least twice a year

Text input

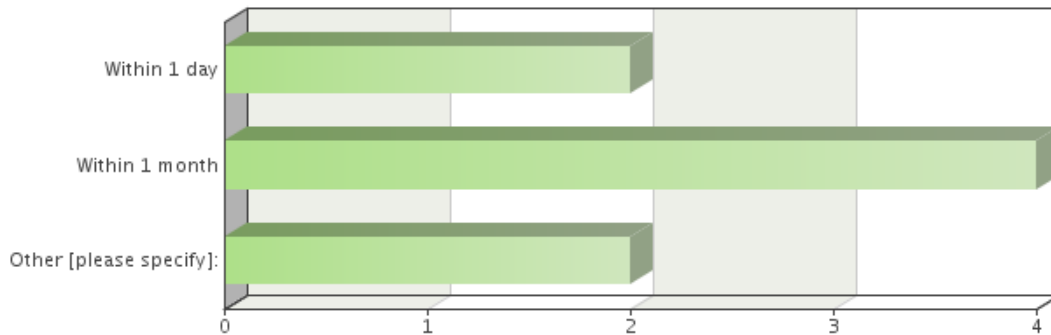
it depends on whether there are any important changes

There is no regular updating period. We update when new guidelines/protocol are published and when we have other remarks which we want to update in the forms.
But on average forms for crops with many applications are updated at least twice a year.

Application form can be only updated in case of PVP law amendment. But Technical questionnaire can be usually updated as result of specialist discussion.

Question 14

How quickly would the EAF need to be updated if your application form and/or technical questionnaire were updated?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Within 1 day	2	25%	25%
Within 1 month	4	50%	50%
Other [please specify]:	2	25%	25%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

within a week

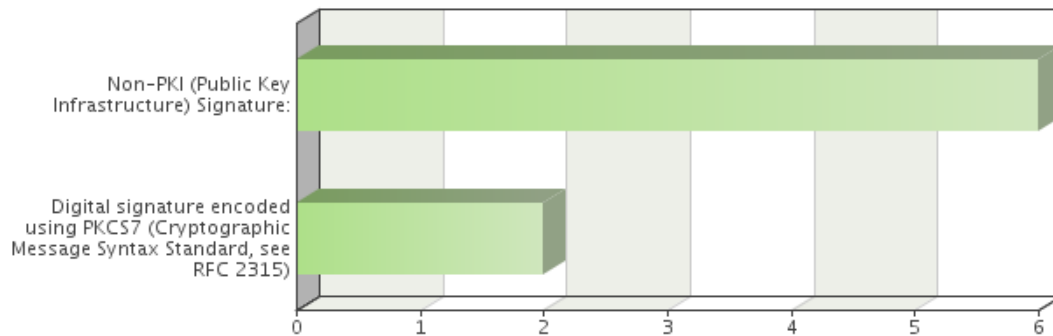
as soon as possible

Text input

depends about the made change

Question 15

Would it be acceptable for your office for the applicant to sign the application in the UPOV EAF before it is transmitted to your office? In that case, which of the following methods could be used?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Non-PKI (Public Key Infrastructure) Signature:	6	75%	75%
Digital signature encoded using PKCS7 (Cryptographic Message Syntax Standard, see RFC 2315)	2	25%	25%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

Non-PKI is accepted by the U.S. at this time, it may be upgraded to an encoded signature in the future.

maybe sometime in the future ... at the moment it is possible only on paper

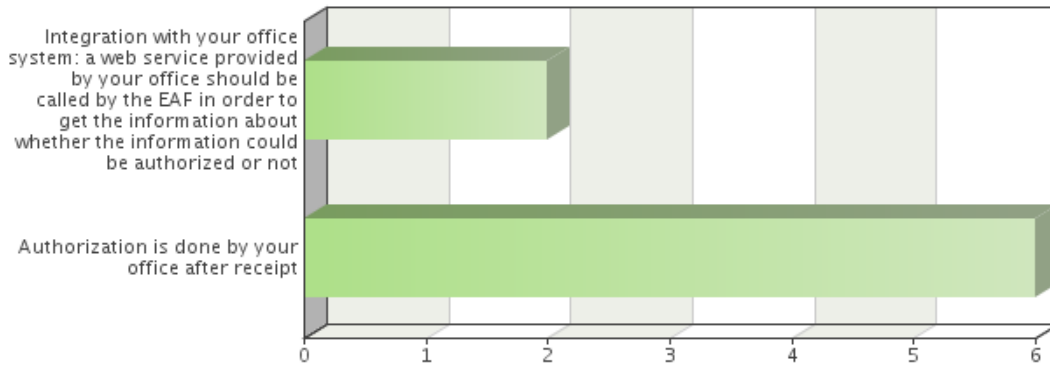
a combination of points 1 and 3 is acceptable.

This would need to be reflected, users identified with a login & password today

In Republic of Korea, Applicant should insert PKI Signature in Electronic Application Form.

Question 16

If your office would not accept the applicant signature via the UPOV EAF, in that case, which of the following options could be implemented?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Integration with your office system: a web service provided by your office should be called by the EAF in order to get the information about whether the information could be authorized or not	2	25%	25%
Authorization is done by your office after receipt	6	75%	75%
Sum:	8	100%	100%
Not answered:	0	0%	-

Total answered: 8

Text input

Uncertain at present on how we would implement this.

we accept signature via the UPOV EAF

Question 17

Participant name:

Text input

Jeffery Haynes, Deputy Commissioner

manuela brand

Chris Barnaby & Rob Garrett

Nik Hulse

Wim Sangster and Marcel Rijsbergen

Jeff Haynes, Deputy Commissioner

Jean Maison

Manjae Kwon

Question 18

Organization:

Text input

U.S. Department of Agriculture - Plant Variety Protection Office

pvro switzerland

Intellectual Property Office of New Zealand

IP Australia

Naktuinbouw

United States Department of Agriculture, Plant Variety Protection Office

CPVO

Korea Seed & Variety Service

Comment report

Lists all the questions in the survey and displays all the comments made to these questions, if applicable.

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Report info

Report date:

Tuesday, October 13, 2015 2:27:08 PM CEST

Start date:

Friday, August 7, 2015 2:00:00 PM CEST

Stop date:

Wednesday, September 30, 2015 4:00:00 PM CEST

Number of completed responses:

4

Question 1

¿En qué idiomas puede cumplimentarse el formulario de solicitud para que sea aceptado por su Oficina?

Text input

español

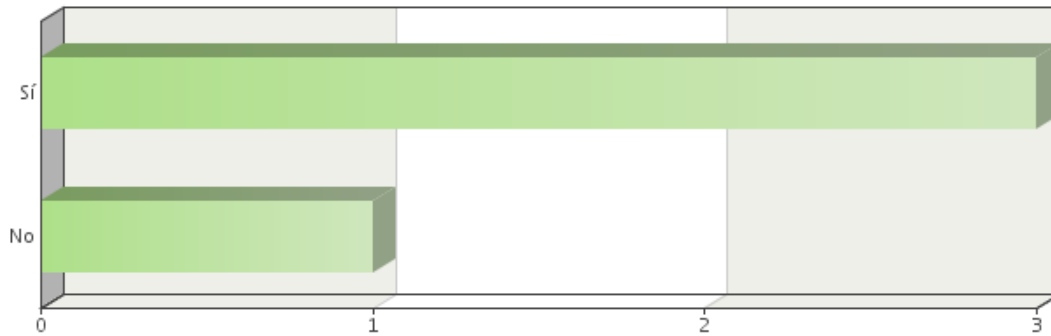
Español

Español

Español

Question 2

¿Disponen ustedes de condiciones que tendrían que ser aceptadas por el solicitante antes de que su Oficina acepte la información del sistema de la UPOV de presentación de solicitudes electrónicas (EAF)?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	3	75%	75%
No	1	25%	25%
Sum:	4	100%	100%
Not answered:	0	0%	-

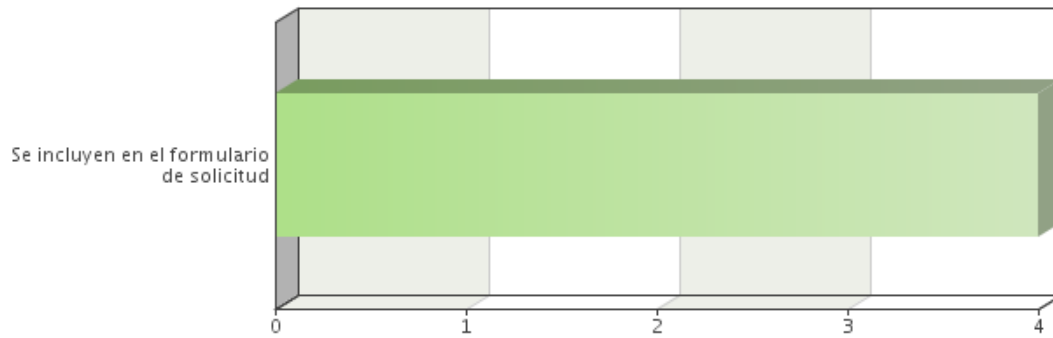
Total answered: 4

Text input

confidencialidad de datos

Question 3

¿Cómo figuran las condiciones aceptadas por los solicitantes en su procedimiento?

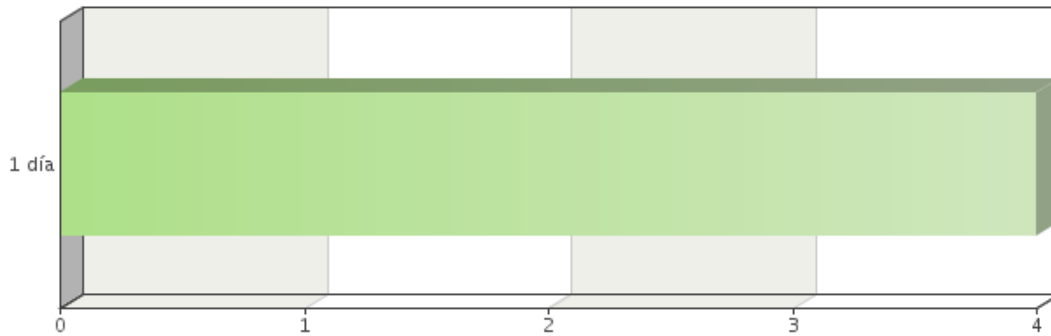


Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Se incluyen en el formulario de solicitud	4	100%	100%
Sum:	4	100%	100%
Not answered:	0	0%	-
Total answered: 4			

Question 4

¿Cuál sería el plazo máximo aceptable para su Oficina desde el momento en que el solicitante pide que se envíe la información del EAF hasta el momento en que su Oficina recibe la información?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 día	4	100%	100%
Sum:	4	100%	100%
Not answered:	0	0%	-

Total answered: 4

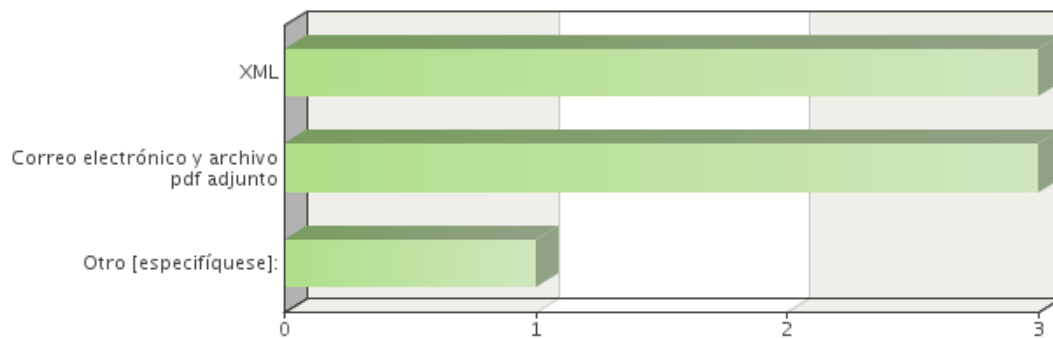
Text input

Según lo establecido en la Ley, toda la documentación debe ser presentada en papel en la Oficina por lo que no corresponde 1 hora ni 1 día

Pero aun no tenemos procedimientos establecidos al respecto

Question 5

¿En qué formato(s) aceptaría datos del EAF? [es posible indicar más de uno]:



Frequency table

Choices	Absolute frequency	Relative frequency by choice	Relative frequency	Adjusted relative frequency
XML	3	42.86%	75%	75%
Correo electrónico y archivo pdf adjunto	3	42.86%	75%	75%
Otro [especifíquese:]	1	14.29%	25%	25%
Sum:	7	100%	-	-
Not answered:	0	-	0%	-

Total answered: 4

Text input

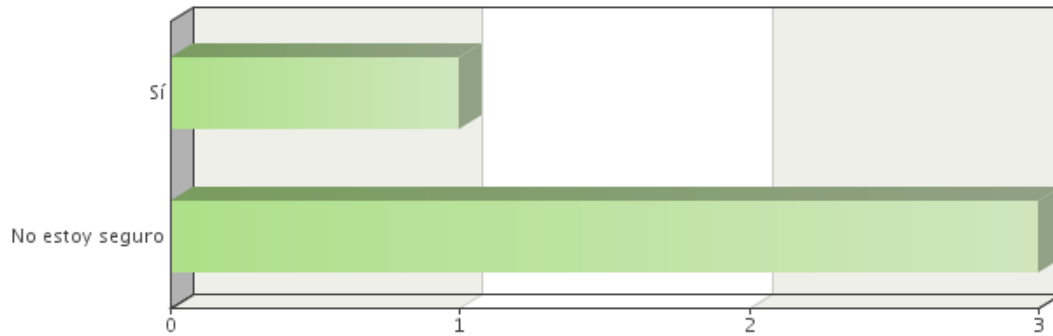
papel

Text input

Pero se aclara que aun no existen procedimientos establecidos al respecto

Question 6

En caso de que acepte datos en formato XML, ¿tiene previsto integrar el sistema existente de presentación de solicitudes electrónicas con el EAF utilizando los servicios de Internet puestos a disposición por la UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	1	25%	25%
No estoy seguro	3	75%	75%
Sum:	4	100%	100%
Not answered:	0	0%	-

Total answered: 4

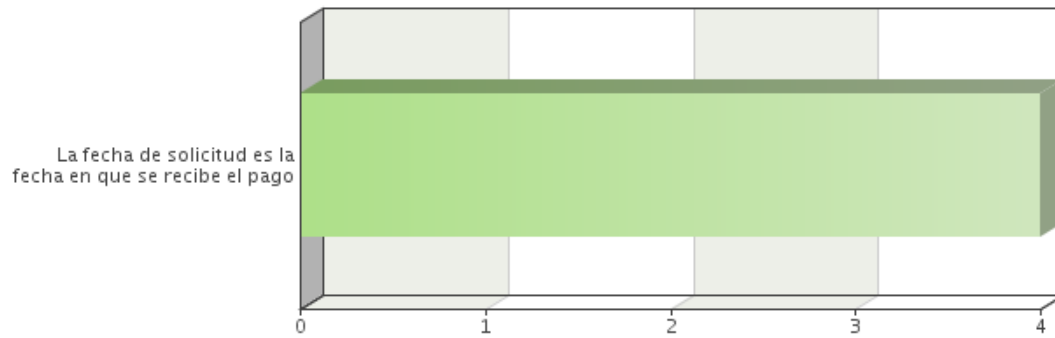
Text input

Debemos compatibilizar los sistemas, por lo que debemos asesorarnos con los profesionales de informática

Porque no hay procedimientos establecidos al respecto

Question 7

¿Cuál es la relación entre la fecha de pago de las tasas relativas a la solicitud y la fecha de presentación en su Oficina?



Frequency table

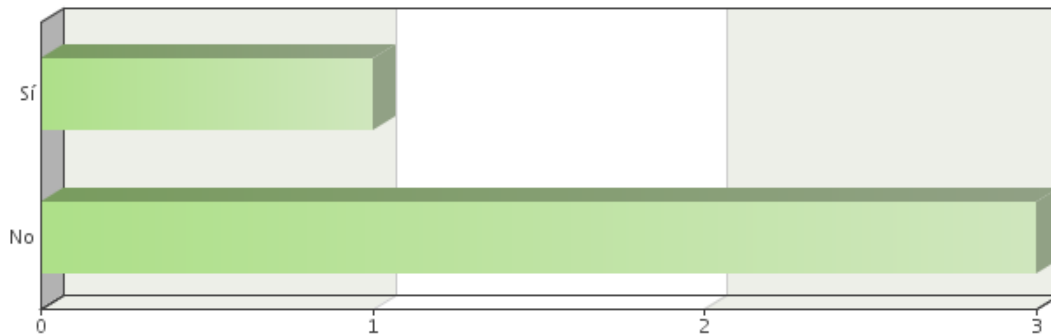
Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
La fecha de solicitud es la fecha en que se recibe el pago	4	100%	100%
Sum:	4	100%	100%
Not answered:	0	0%	-
Total answered: 4			

Text input

La fecha de presentacion de la solicitud se debe pagar en forma conjunta

Question 8

¿Estaría en condiciones de aceptar el pago de las tasas por medio de un banco que utiliza la UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	1	25%	25%
No	3	75%	75%
Sum:	4	100%	100%
Not answered:	0	0%	-
Total answered: 4			

Text input

No existe procedimiento establecido al respecto

Sería necesario analizar si el banco que utiliza la UPOV tiene acuerdos con bancos que disponen de sucursales en México.

Question 9

¿En qué moneda(s) puede aceptar el pago?

Text input

pesos chilenos

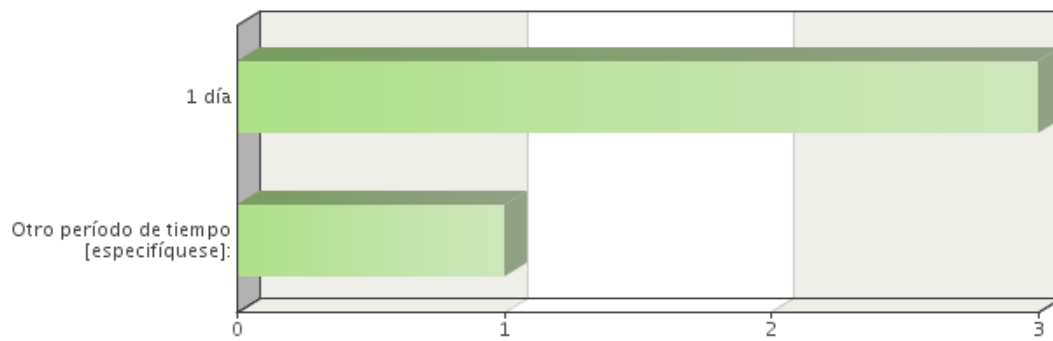
Dólares de los Estados Unidos de América.

En la moneda nacional

Pesos mexicanos

Question 10

¿Durante cuánto tiempo sería aceptable para su Oficina que el EAF no esté disponible?



Frequency table

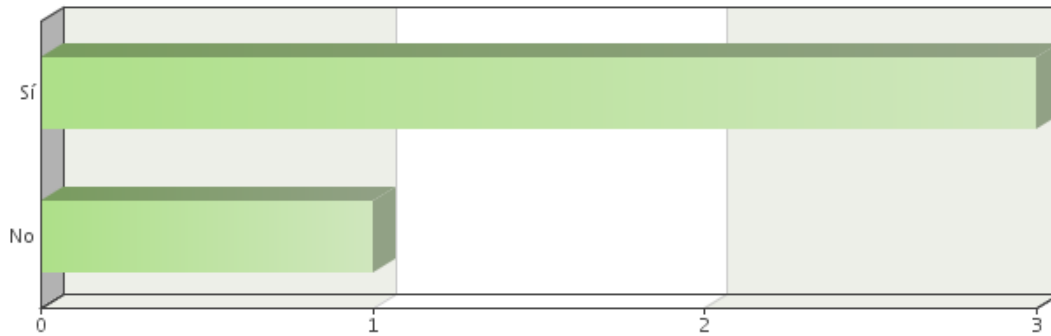
Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 día	3	75%	75%
Otro período de tiempo [especifíquese:]	1	25%	25%
Sum:	4	100%	100%
Not answered:	0	0%	-
Total answered: 4			

Text input

Pero no tenemos establecido

Question 11

¿Sería aceptable para su Oficina que los datos relativos a las solicitudes presentadas ante su Oficina estuvieran almacenados por la UPOV de manera protegida?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	3	75%	75%
No	1	25%	25%
Sum:	4	100%	100%
Not answered:	0	0%	-

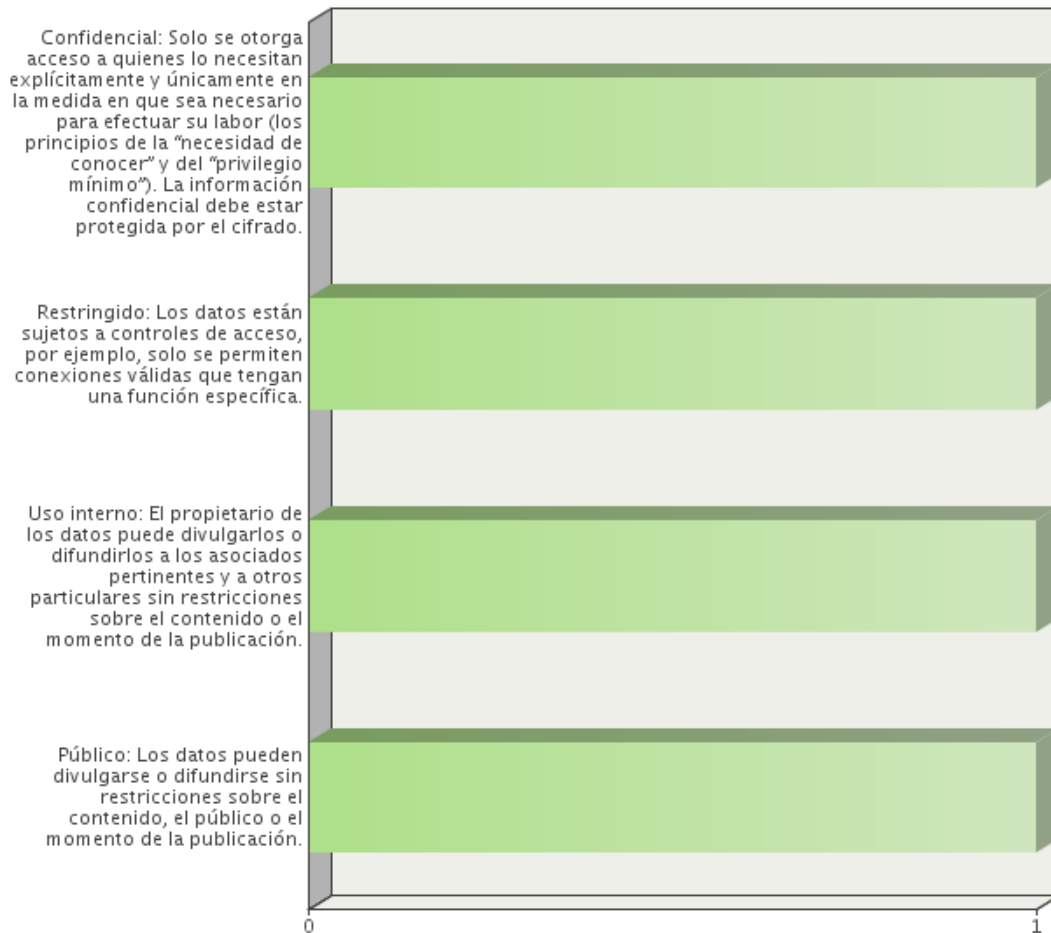
Total answered: 4

Text input

porque aun no existen procedimientos establecidos al respecto para responder con las dos opciones

Question 12

¿Qué nivel de seguridad de datos exigirá en la UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Confidencial: Solo se otorga acceso a quienes lo necesitan explícitamente y únicamente en la medida en que sea necesario para efectuar su labor (los principios de la "necesidad de conocer" y del "privilegio mínimo"). La información confidencial debe estar protegida por el cifrado.	1	25%	25%
Restringido: Los datos están sujetos a controles de acceso, por ejemplo, solo se permiten conexiones válidas que tengan una función específica.	1	25%	25%
Uso interno: El propietario de los datos puede divulgarlos o difundirlos a los asociados pertinentes y a otros particulares sin restricciones sobre el contenido o el momento de la publicación.	1	25%	25%
Público: Los datos pueden divulgarse o difundirse sin restricciones sobre el contenido, el público o el momento de la publicación.	1	25%	25%
Sum:	4	100%	100%
Not answered:	0	0%	-

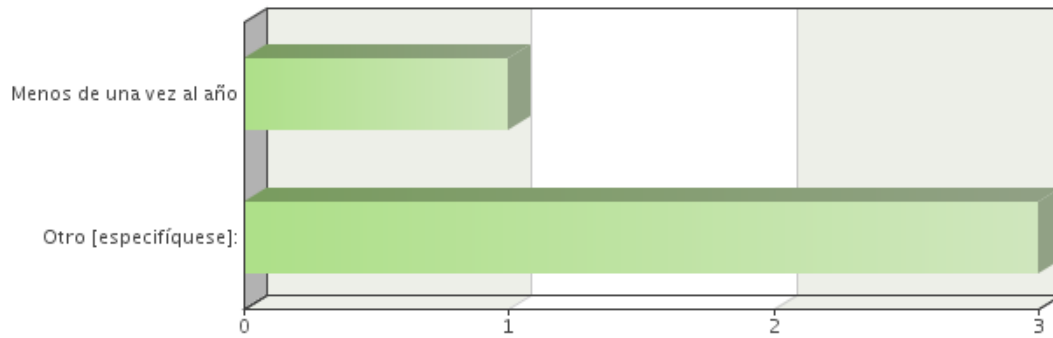
Total answered: 4

Text input

Actualmente las informaciones manejadas por la oficina son de carácter público

Question 13

¿Cada cuánto tiempo suele actualizar el formulario de solicitud o el cuestionario técnico?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Menos de una vez al año	1	25%	25%
Otro [especificíquese:]	3	75%	75%
Sum:	4	100%	100%
Not answered:	0	0%	-

Total answered: 4

Text input

más de 10 años

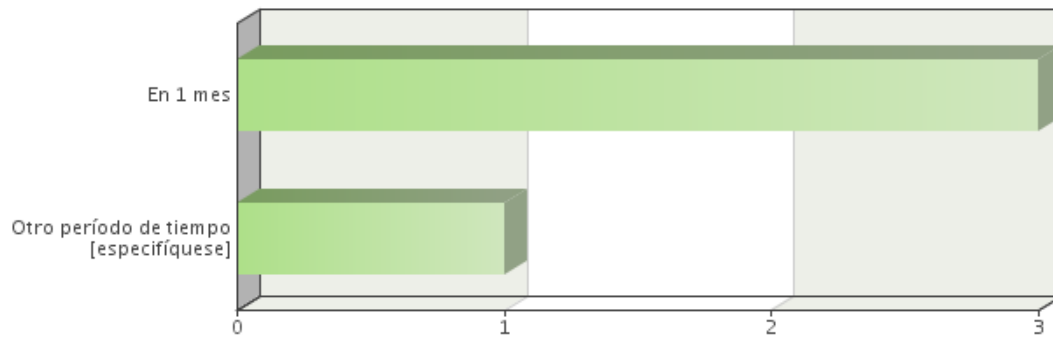
Text input

Los formularios se han modificado pocas veces, solo en casos en que ha sido necesario incorporar nuevos antecedentes

Según necesidad

Question 14

¿Con qué prontitud tendría que actualizarse el EAF en caso de que usted actualizara el formulario de solicitud o el cuestionario técnico?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
En 1 mes	3	75%	75%
Otro período de tiempo [especifíquese]	1	25%	25%
Sum:	4	100%	100%
Not answered:	0	0%	-

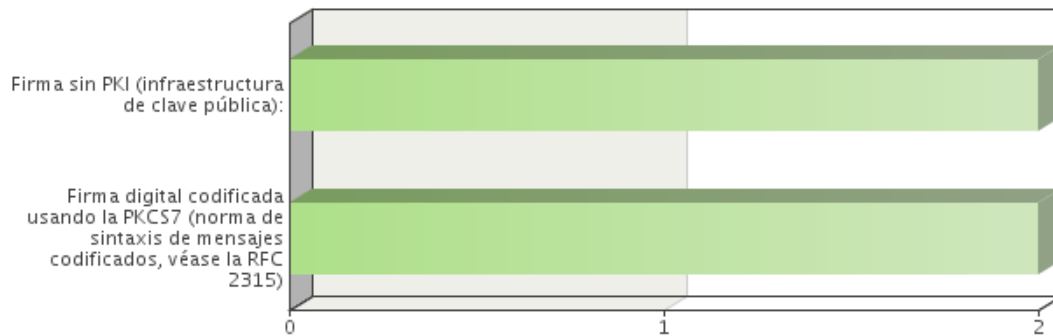
Total answered: 4

Text input

Mayor a un mes

Question 15

¿Sería aceptable para su Oficina que el solicitante firme la solicitud en el EAF antes de transmitirla a su Oficina? En ese caso, ¿cuál de los métodos siguientes podría usarse?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Firma sin PKI (infraestructura de clave pública):	2	50%	50%
Firma digital codificada usando la PKCS7 (norma de sintaxis de mensajes codificados, véase la RFC 2315)	2	50%	50%
Sum:	4	100%	100%
Not answered:	0	0%	-

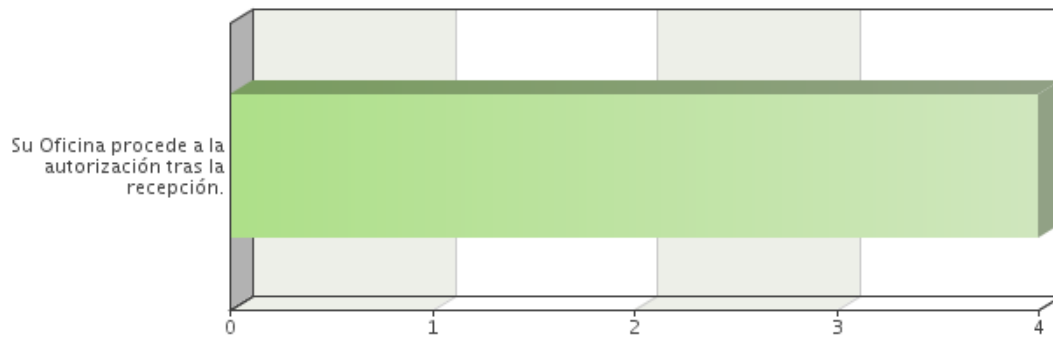
Total answered: 4

Text input

Pero se aclara que, el Servicio Nacional de Calidad y Sanidad Vegetal y de Semillas (SENAVE) aun no cuenta con el sistema de firma electrónica

Question 16

En caso de que su Oficina no aceptara la firma del solicitante por medio del EAF, ¿cuál de las opciones siguientes podría aplicarse?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Su Oficina procede a la autorización tras la recepción.	4	100%	100%
Sum:	4	100%	100%
Not answered:	0	0%	-
Total answered: 4			

Question 17

Nombre del participante:

Text input

Manuel Toro Ugalde

Wilson Usiña Reina

Blanca Núñez

Eduardo Padilla Vaca

Question 18

Organización:

Text input

Subdepartamento Registro de Variedades Protegidas
Division Semillas

Instituto Ecuatoriano de la Propiedad Intelectual-IEPI.

Dirección de Semillas del SENAVE

Servicio Nacional de Inspección y Certificación de Semillas (SNICS)

Comment report

Lists all the questions in the survey and displays all the comments made to these questions, if applicable.

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All replies submitted and stored to the EAS questionnaire (in English)

Report info

Report date:	Tuesday, October 13, 2015 2:24:28 PM CEST
Start date:	Friday, August 7, 2015 2:00:00 PM CEST
Stop date:	Monday, October 12, 2015 6:00:00 PM CEST
Stored responses:	68
Number of completed responses:	8

Question 1

In what languages can the application form be completed for acceptance by your office?

Text input

English

english, german, french, italian

english

English

English

23 EU languages

English

EN, FR, DU, NL

English

English and Dutch.

As long as we receive an XML which corresponds completely to any other language in the EAS system (we can not check!) we can accept the XML as application form.

english

English

English

English

German

23 EU languages

English/Vietnamese

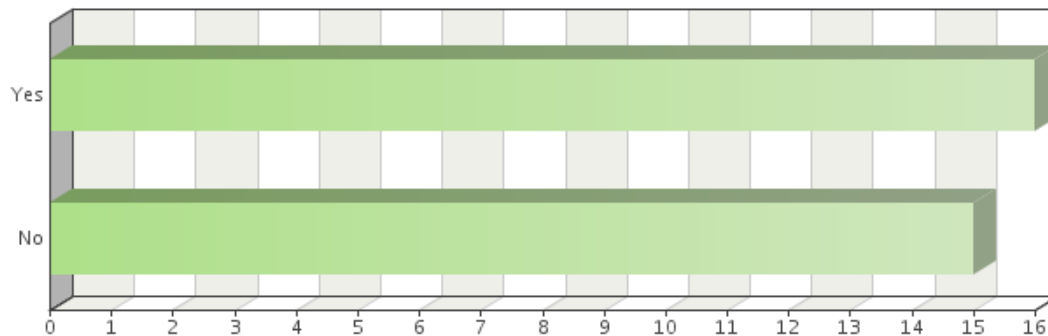
Korean

Japanese

Japanese

Question 2

Do you have terms and conditions that would need to be accepted by the applicant before the information from the UPOV Electronic Application Form (EAF) could be accepted by your office?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	16	23.53%	51.61%
No	15	22.06%	48.39%
Sum:	31	45.59%	100%
Not answered:	37	54.41%	-

Total answered: 31

Text input

The form must be signed and payment received before a filing date is confirmed.

the applicant need a representative, if he has no domicile in Switzerland

Yes, as included in declaration and signature of Australian application form

payment of the application fee is needed to accept an application.

An application is accepted by a complete application, completed payment, signature and promise to deposit seed or tissue samples at National Center for Genetic Resources Preservation (NCGRP).

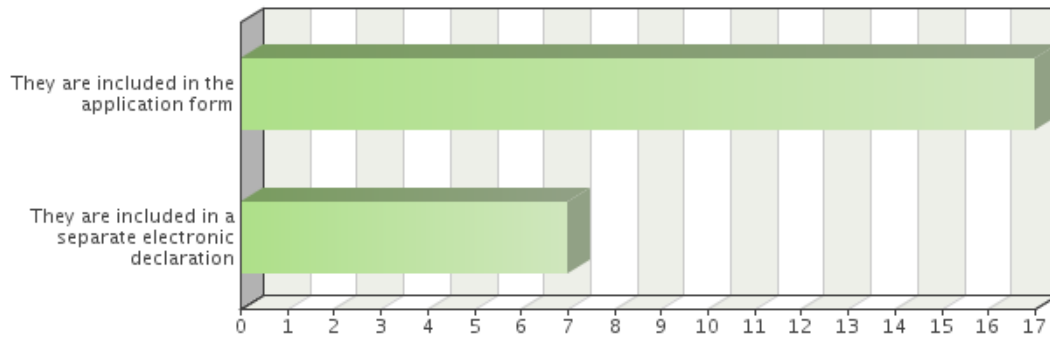
Complete application, payment and signature. The eventual deposit of seed or tissue samples is required.

To accept Application form applied by UPOV EAF, Application form should be written or translated in Korean.

Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.

Question 3

How are the terms and conditions accepted by the applicant in your procedure?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
They are included in the application form	17	25%	70.83%
They are included in a separate electronic declaration	7	10.29%	29.17%
Sum:	24	35.29%	100%
Not answered:	44	64.71%	-

Total answered: 24

Text input

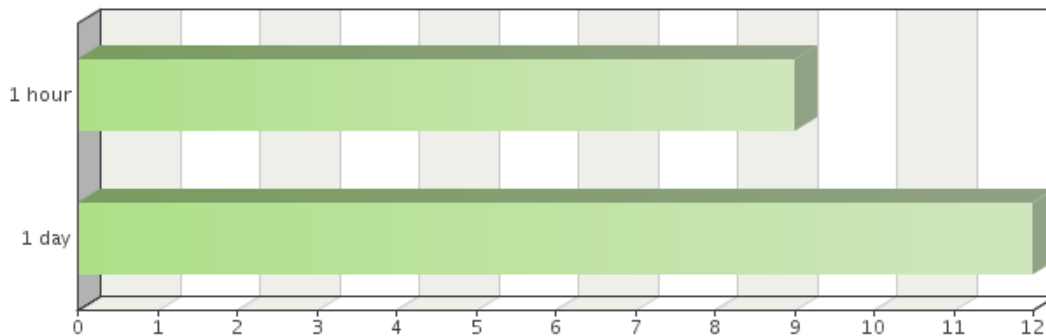
The signature of the U.S. PVP Form confirms acceptance of the terms and conditions.

In our paper form there is a note about acceptance for an application, this could be included also in the electronic form:

NB: Take note that the application will only formally be dealt with after payment of the application fee and the fee the 1st DUS testing year.

Question 4

What would be the maximum time delay acceptable by your office between the applicant instructing the information to be sent from the EAF and information being received by your office?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 hour	9	13.24%	42.86%
1 day	12	17.65%	57.14%
Sum:	21	30.88%	100%
Not answered:	47	69.12%	-

Total answered: 21

Text input

A time delay is not an issue unless the applicant is running out of time to establish a filing date due to a previous sale of the variety and the one or four year deadline in regard to the U.S. policy of within one year of sale for domestic applicants and within four years of sale for international applicants.

more days are absolutely possible

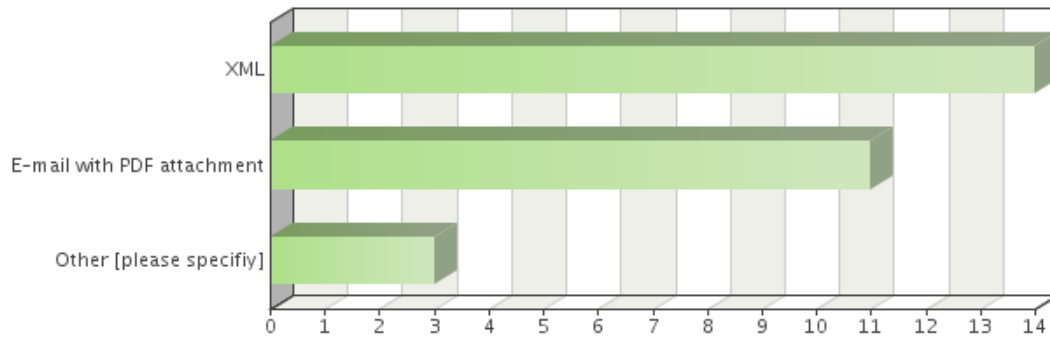
It should be immediate as this has consequences on the application date

Please advise of situation where longer than this would occur

does not really matter as long as we can see when the application was filed in the system.

Question 5

In which format(s) would you accept data from the EAF [multiple options possible]:



Frequency table

Choices	Absolute frequency	Relative frequency by choice	Relative frequency	Adjusted relative frequency
XML	14	50%	20.59%	77.78%
E-mail with PDF attachment	11	39.29%	16.18%	61.11%
Other [please specify]	3	10.71%	4.41%	16.67%
Sum:	28	100%	-	-
Not answered:	50	-	73.53%	-

Total answered: 18

Text input

On line PDF

Text input

xml in future

XML preferred. email would require manual loading

XML is not desired

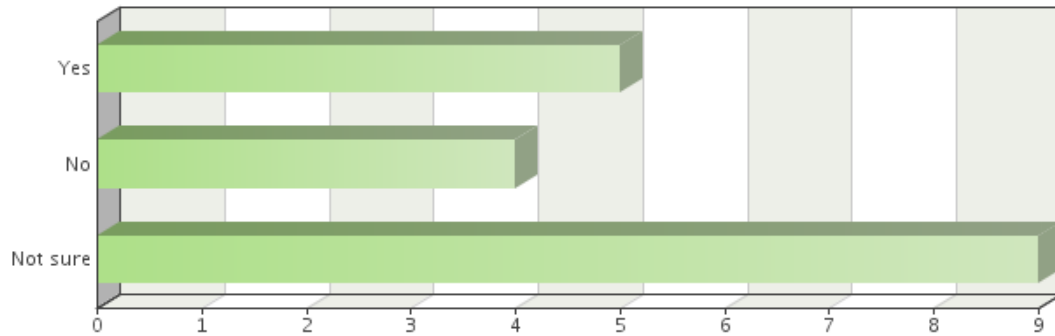
Striving towards ST.96

we need definitely XML for fast, efficient and faultless inclusion in our IT system.

Once this has been developed. Accepting an xml would mean for us typing information we do not type today.

Question 6

If you could accept data in XML format, would you plan to integrate your existing electronic application system with the EAF using the Web services made available by UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	5	7.35%	27.78%
No	4	5.88%	22.22%
Not sure	9	13.24%	50%
Sum:	18	26.47%	100%
Not answered:	50	73.53%	-

Total answered: 18

Text input

why not

The volume of usage would be the main factor in developing an integrated solution.

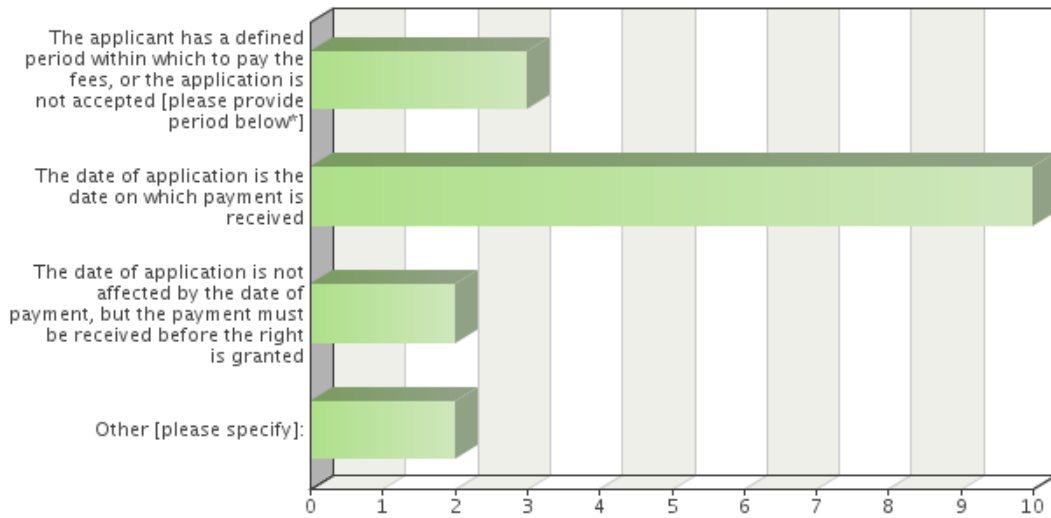
XML not desired

IPA currently has no electronic application system for PBR. Currently receive scanned pdfs and upload accordingly. However, large programme of work currently being undertaken which should / will be able to receive EAF in xml form from upov.

We have no running electronic application system at the moment. The shared system with CPVO and GEVES is still not in operation.

Question 7

What is the relationship between the payment of application fess and the filing date in your Office?



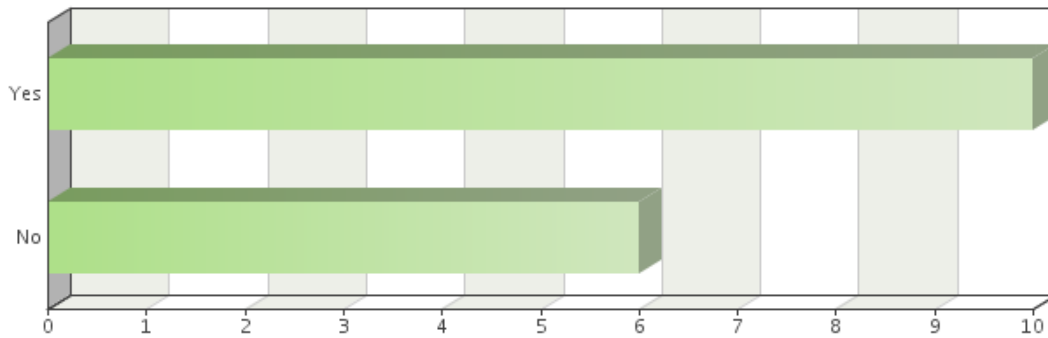
Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
The applicant has a defined period within which to pay the fees, or the application is not accepted [please provide period below*]	3	4.41%	17.65%
The date of application is the date on which payment is received	10	14.71%	58.82%
The date of application is not affected by the date of payment, but the payment must be received before the right is granted	2	2.94%	11.76%
Other [please specify]:	2	2.94%	11.76%
Sum:	17	25%	100%
Not answered:	51	75%	-

Total answered: 17

Question 8

Would you be able to accept payment of fees via a bank used by UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	10	14.71%	62.5%
No	6	8.82%	37.5%
Sum:	16	23.53%	100%
Not answered:	52	76.47%	-

Total answered: 16

Text input

No unless it is a U.S. Bank. We may be able to work around this in the future, but for now we can only accept funds from U.S. Banks.

In case a payment needed to be returned

However, discussion with finance areas on best practice approach would be necessary. Could payment be made direct to receiving authority in currency of that Country?

We do not know why it should be necessary to insert payment via a bank used by UPOV in the payment system. Many companies have a deposit at Naktuinbouw from which the application fee for new received applications is directly paid.

Not at this time, but we can look into our options. Right now we only accept funds drawn on U.S. Banks, but we can work on solutions.

Question 9

In which currency(ies) can you accept payment [please specify]:

Text input

U.S. Dollars.

swiss francs

New Zealand Dollars (noting that the bank fees would have to be factored in)

Preferred:

EUR

USD

Australian Dollars

We can accept all foreign currencies as long as the amount corresponds with the daily exchange rate.

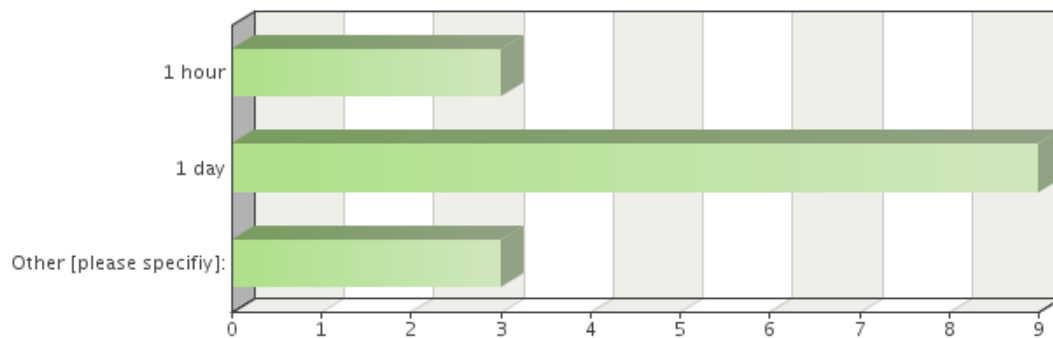
U.S. Currency

€

KRW

Question 10

For your Office, how long would it be acceptable for the UPOV EAF system to be unavailable?

**Frequency table**

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 hour	3	4.41%	20%
1 day	9	13.24%	60%
Other [please specify:]	3	4.41%	20%
Sum:	15	22.06%	100%
Not answered:	53	77.94%	-

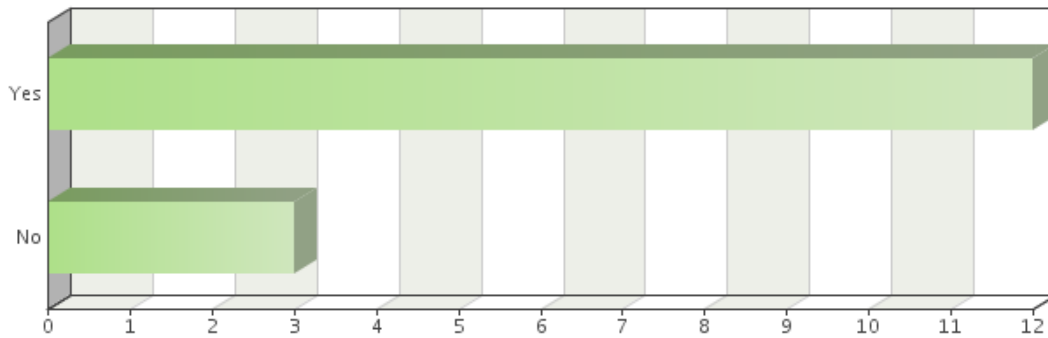
Total answered: 15

Text input

As short as possible. Our own system probably happened to be out of order for several hours

Question 11

Would it be acceptable for your Office that data relating to an application with your Office be stored by UPOV in a protected way?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Yes	12	17.65%	80%
No	3	4.41%	20%
Sum:	15	22.06%	100%
Not answered:	53	77.94%	-

Total answered: 15

Text input

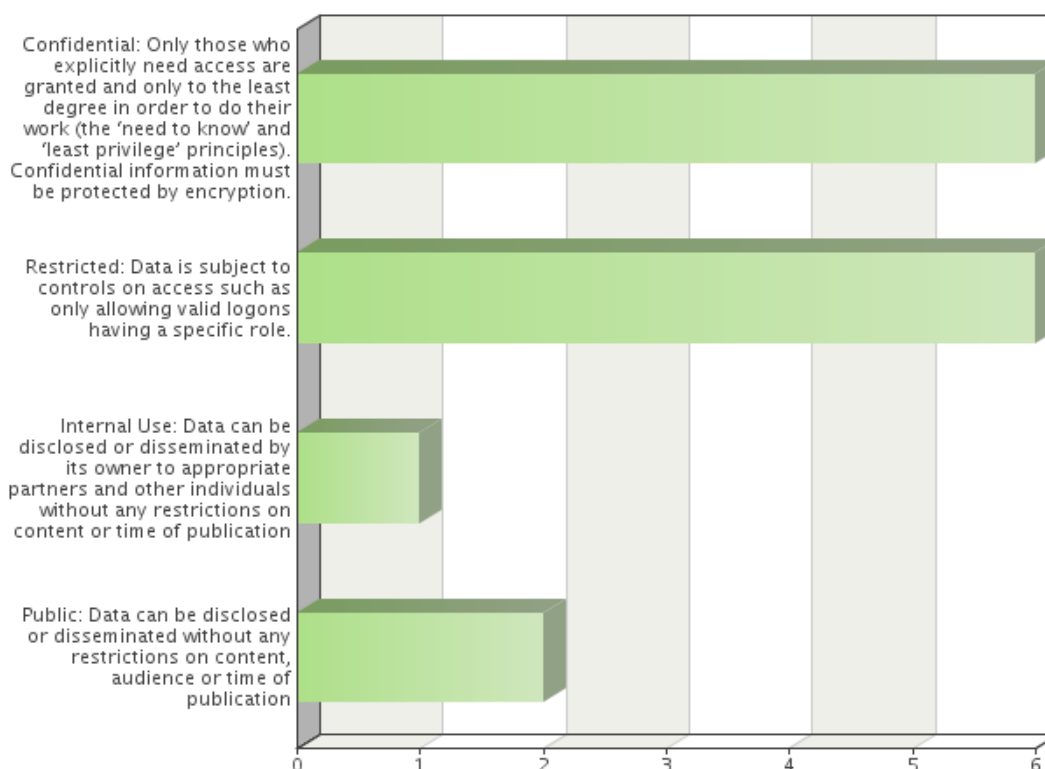
Yes, as long as protection complies with U.S. cyber security policies and procedures as defined by the Office of the Chief Information Officer.

I suppose already

If the proprietary/sensitive data is protected in using an approved method.

Question 12

What level of data security would you require at UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Confidential: Only those who explicitly need access are granted and only to the least degree in order to do their work (the 'need to know' and 'least privilege' principles). Confidential information must be protected by encryption.	6	8.82%	40%
Restricted: Data is subject to controls on access such as only allowing valid logons having a specific role.	6	8.82%	40%
Internal Use: Data can be disclosed or disseminated by its owner to appropriate partners and other individuals without any restrictions on content or time of publication	1	1.47%	6.67%
Public: Data can be disclosed or disseminated without any restrictions on content, audience or time of publication	2	2.94%	13.33%
Sum:	15	22.06%	100%
Not answered:	53	77.94%	-

Total answered: 15

Text input

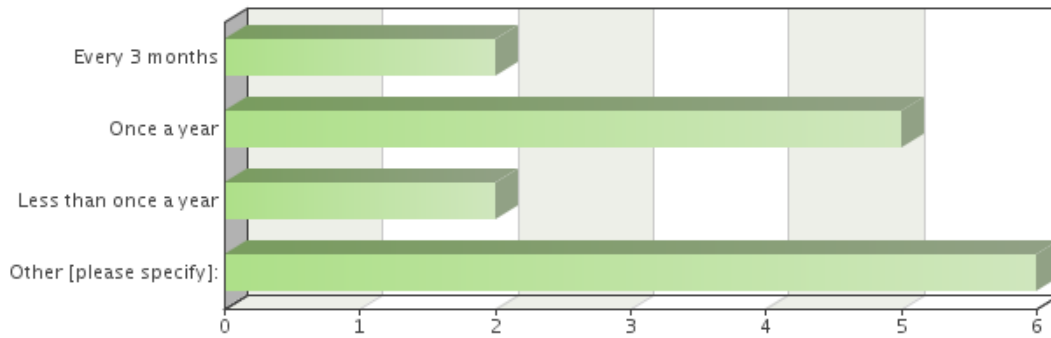
Controls would have to be in place for the following that may not be viewed by anyone other than AU Office employees:

*Name and location of each parent variety

*Particulars of any PBR granted in Australia or any other contracting party

Question 13

How often do you typically update your application form and/or your technical questionnaire?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Every 3 months	2	2.94%	13.33%
Once a year	5	7.35%	33.33%
Less than once a year	2	2.94%	13.33%
Other [please specify]:	6	8.82%	40%
Sum:	15	22.06%	100%
Not answered:	53	77.94%	-

Total answered: 15

Text input

Not applicable

At least twice a year

Text input

it depends on whether there are any important changes

Official documents are modified by the authorities

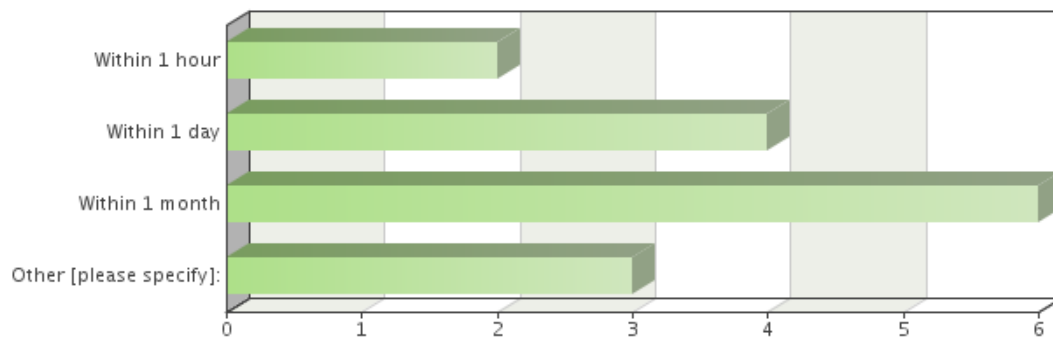
There is no regular updating period. We update when new guidelines/protocol are published and when we have other remarks which we want to update in the forms.

But on average forms for crops with many applications are updated at least twice a year.

Application form can be only updated in case of PVP law amendment. But Technical questionnaire can be usually updated as result of specialist discussion.

Question 14

How quickly would the EAF need to be updated if your application form and/or technical questionnaire were updated?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Within 1 hour	2	2.94%	13.33%
Within 1 day	4	5.88%	26.67%
Within 1 month	6	8.82%	40%
Other [please specify]:	3	4.41%	20%
Sum:	15	22.06%	100%
Not answered:	53	77.94%	-

Total answered: 15

Text input

within a week

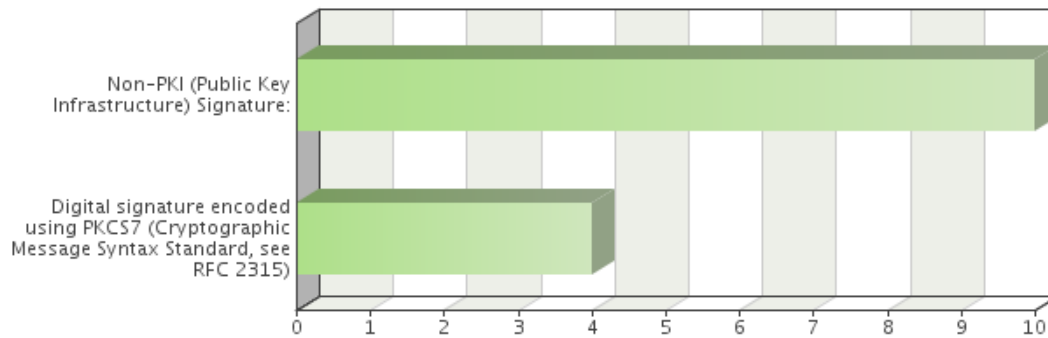
as soon as possible

Text input

depends about the made change

Question 15

Would it be acceptable for your office for the applicant to sign the application in the UPOV EAF before it is transmitted to your office? In that case, which of the following methods could be used?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Non-PKI (Public Key Infrastructure) Signature:	10	14.71%	71.43%
Digital signature encoded using PKCS7 (Cryptographic Message Syntax Standard, see RFC 2315)	4	5.88%	28.57%
Sum:	14	20.59%	100%
Not answered:	54	79.41%	-

Total answered: 14

Text input

Non-PKI is accepted by the U.S. at this time, it may be upgraded to an encoded signature in the future.

maybe sometime in the future ... at the moment it is possible only on paper

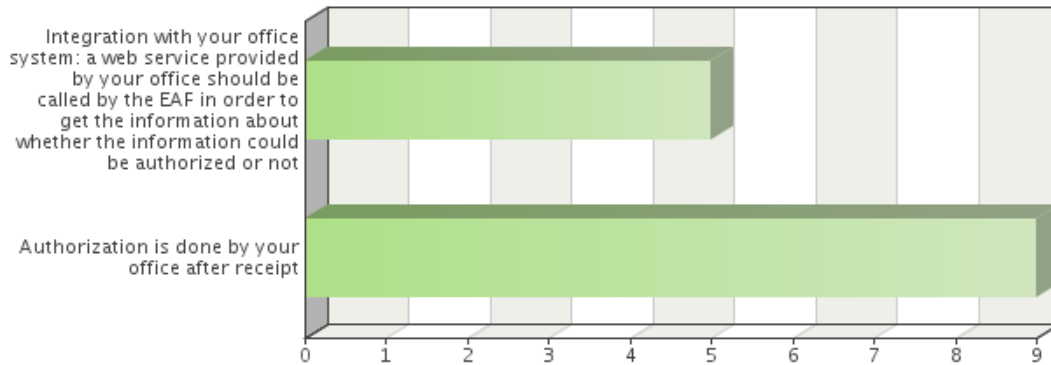
a combination of points 1 and 3 is acceptable.

This would need to be reflected, users identified with a login & password today

In Republic of Korea, Applicant should insert PKI Signature in Electronic Application Form.

Question 16

If your office would not accept the applicant signature via the UPOV EAF, in that case, which of the following options could be implemented?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Integration with your office system: a web service provided by your office should be called by the EAF in order to get the information about whether the information could be authorized or not	5	7.35%	35.71%
Authorization is done by your office after receipt	9	13.24%	64.29%
Sum:	14	20.59%	100%
Not answered:	54	79.41%	-

Total answered: 14

Text input

Uncertain at present on how we would implement this.

we accept signature via the UPOV EAF

Question 17

Participant name:

Text input

Jeffery Haynes, Deputy Commissioner

manuela brand

Chris Barnaby & Rob Garrett

Nik Hulse

Wim Sangster and Marcel Rijsbergen

Jeff Haynes, Deputy Commissioner

Jean Maison

Manjae Kwon

Question 18

Organization:

Text input

U.S. Department of Agriculture - Plant Variety Protection Office

pvro switzerland

Intellectual Property Office of New Zealand

IP Australia

Naktuinbouw

United States Department of Agriculture, Plant Variety Protection Office

CPVO

Korea Seed & Variety Service

Comment report

Lists all the questions in the survey and displays all the comments made to these questions, if applicable.

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All replies submitted and stored to the EAS questionnaire (in Spanish)

Report info

Report date:	Tuesday, October 13, 2015 2:26:30 PM CEST
Start date:	Friday, August 7, 2015 2:00:00 PM CEST
Stop date:	Wednesday, September 30, 2015 4:00:00 PM CEST
Stored responses:	20
Number of completed responses:	4

Question 1

¿En qué idiomas puede cumplimentarse el formulario de solicitud para que sea aceptado por su Oficina?

Text input

Español

español

español

español

español

Español

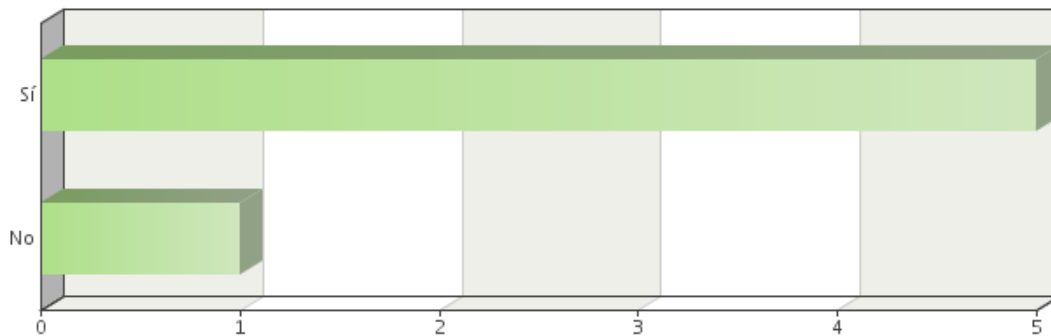
español

Español

Español

Question 2

¿Disponen ustedes de condiciones que tendrían que ser aceptadas por el solicitante antes de que su Oficina acepte la información del sistema de la UPOV de presentación de solicitudes electrónicas (EAF)?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	5	25%	83.33%
No	1	5%	16.67%
Sum:	6	30%	100%
Not answered:	14	70%	-

Total answered: 6

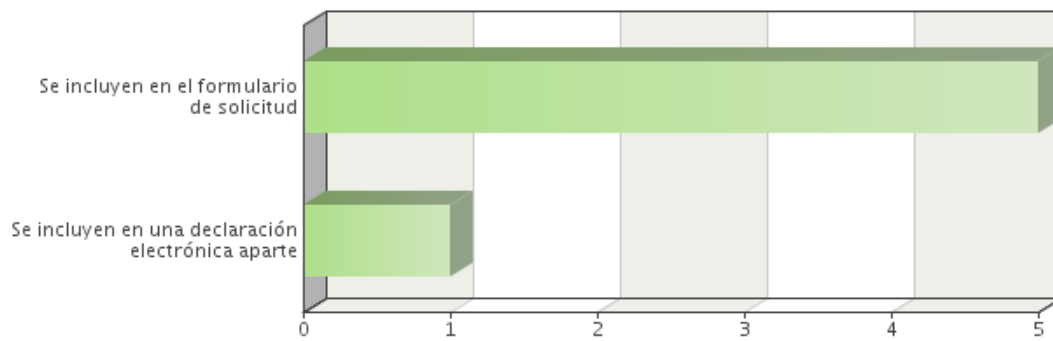
Text input

seguramente sobre confidencialidad de los datos

confidencialidad de datos

Question 3

¿Cómo figuran las condiciones aceptadas por los solicitantes en su procedimiento?

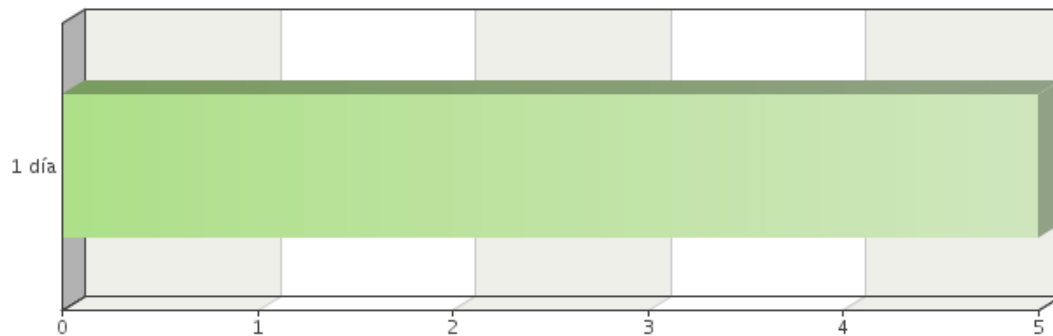


Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Se incluyen en el formulario de solicitud	5	25%	83.33%
Se incluyen en una declaración electrónica aparte	1	5%	16.67%
Sum:	6	30%	100%
Not answered:	14	70%	-
Total answered: 6			

Question 4

¿Cuál sería el plazo máximo aceptable para su Oficina desde el momento en que el solicitante pide que se envíe la información del EAF hasta el momento en que su Oficina recibe la información?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 día	5	25%	100%
Sum:	5	25%	100%
Not answered:	15	75%	-

Total answered: 5

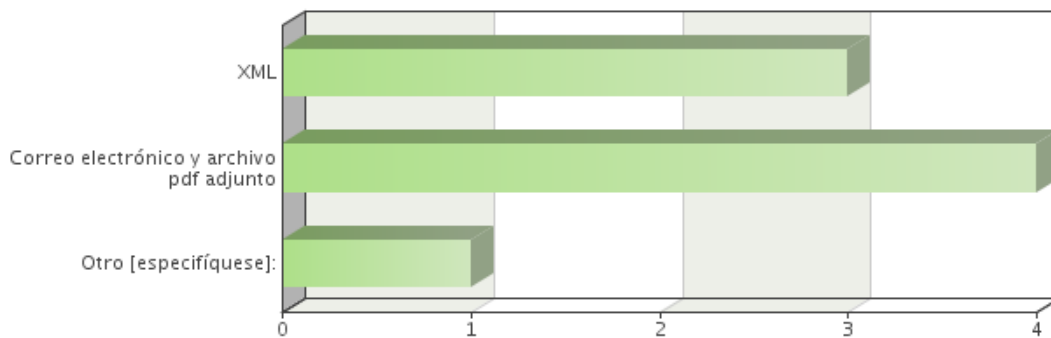
Text input

Según lo establecido en la Ley, toda la documentación debe ser presentada en papel en la Oficina por lo que no corresponde 1 hora ni 1 día

Pero aun no tenemos procedimientos establecidos al respecto

Question 5

¿En qué formato(s) aceptaría datos del EAF? [es posible indicar más de uno]:



Frequency table

Choices	Absolute frequency	Relative frequency by choice	Relative frequency	Adjusted relative frequency
XML	3	37.5%	15%	60%
Correo electrónico y archivo pdf adjunto	4	50%	20%	80%
Otro [especifíquese]:	1	12.5%	5%	20%
Sum:	8	100%	-	-
Not answered:	15	-	75%	-

Total answered: 5

Text input

papel

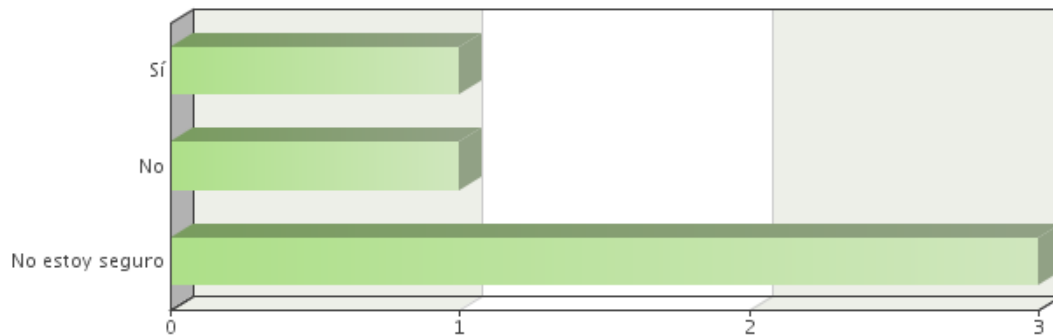
Text input

Pero se aclara que aun no existen procedimientos establecidos al respecto

All replies submitted and stored to the EAS questionnaire (in Spanish)

Question 6

En caso de que acepte datos en formato XML, ¿tiene previsto integrar el sistema existente de presentación de solicitudes electrónicas con el EAF utilizando los servicios de Internet puestos a disposición por la UPOV?

**Frequency table**

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	1	5%	20%
No	1	5%	20%
No estoy seguro	3	15%	60%
Sum:	5	25%	100%
Not answered:	15	75%	-

Total answered: 5

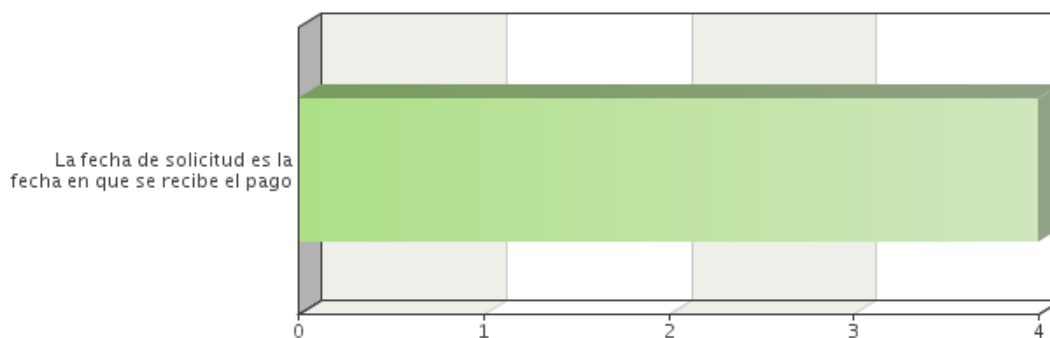
Text input

 Debemos compatibilizar los sistemas, por lo que debemos asesorarnos con los profesionales de informática

 Porque no hay procedimientos establecidos al respecto

Question 7

¿Cuál es la relación entre la fecha de pago de las tasas relativas a la solicitud y la fecha de presentación en su Oficina?



Frequency table

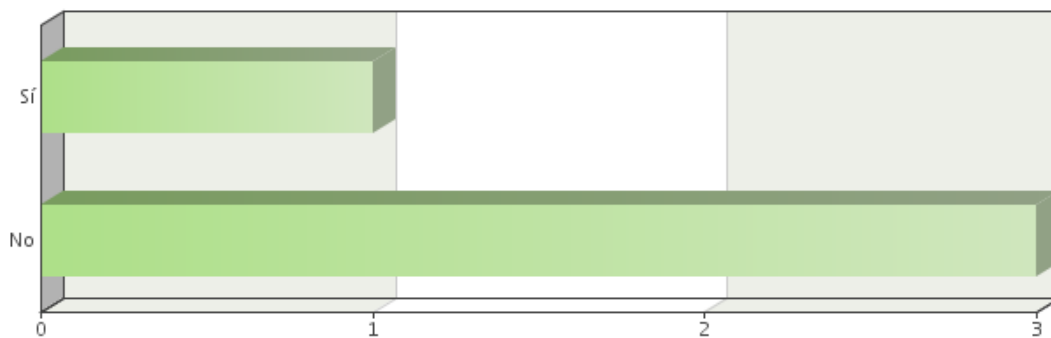
Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
La fecha de solicitud es la fecha en que se recibe el pago	4	20%	100%
Sum:	4	20%	100%
Not answered:	16	80%	-
Total answered: 4			

Text input

La fecha de presentacion de la solicitud se debe pagar en forma conjunta

Question 8

¿Estaría en condiciones de aceptar el pago de las tasas por medio de un banco que utiliza la UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	1	5%	25%
No	3	15%	75%
Sum:	4	20%	100%
Not answered:	16	80%	-
Total answered: 4			

Text input

No existe procedimiento establecido al respecto

Sería necesario analizar si el banco que utiliza la UPOV tiene acuerdos con bancos que disponen de sucursales en México.

Question 9

¿En qué moneda(s) puede aceptar el pago?

Text input

pesos chilenos

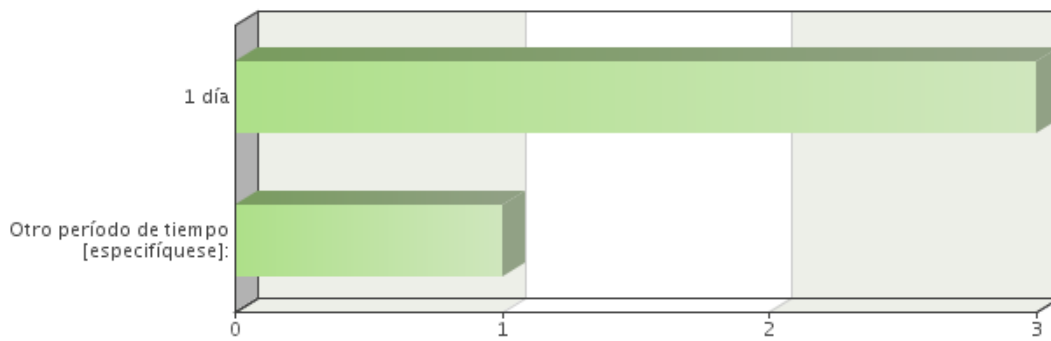
Dólares de los Estados Unidos de América.

En la moneda nacional

Pesos mexicanos

Question 10

¿Durante cuánto tiempo sería aceptable para su Oficina que el EAF no esté disponible?



Frequency table

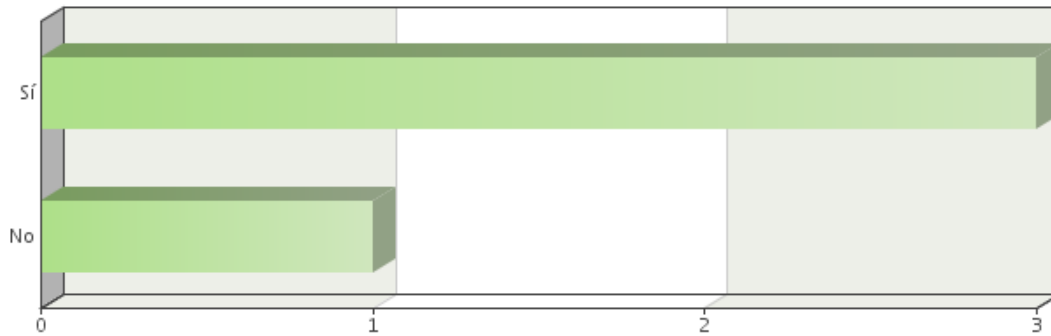
Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
1 día	3	15%	75%
Otro período de tiempo [especifíquese:]	1	5%	25%
Sum:	4	20%	100%
Not answered:	16	80%	-
Total answered: 4			

Text input

Pero no tenemos establecido

Question 11

¿Sería aceptable para su Oficina que los datos relativos a las solicitudes presentadas ante su Oficina estuvieran almacenados por la UPOV de manera protegida?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Sí	3	15%	75%
No	1	5%	25%
Sum:	4	20%	100%
Not answered:	16	80%	-

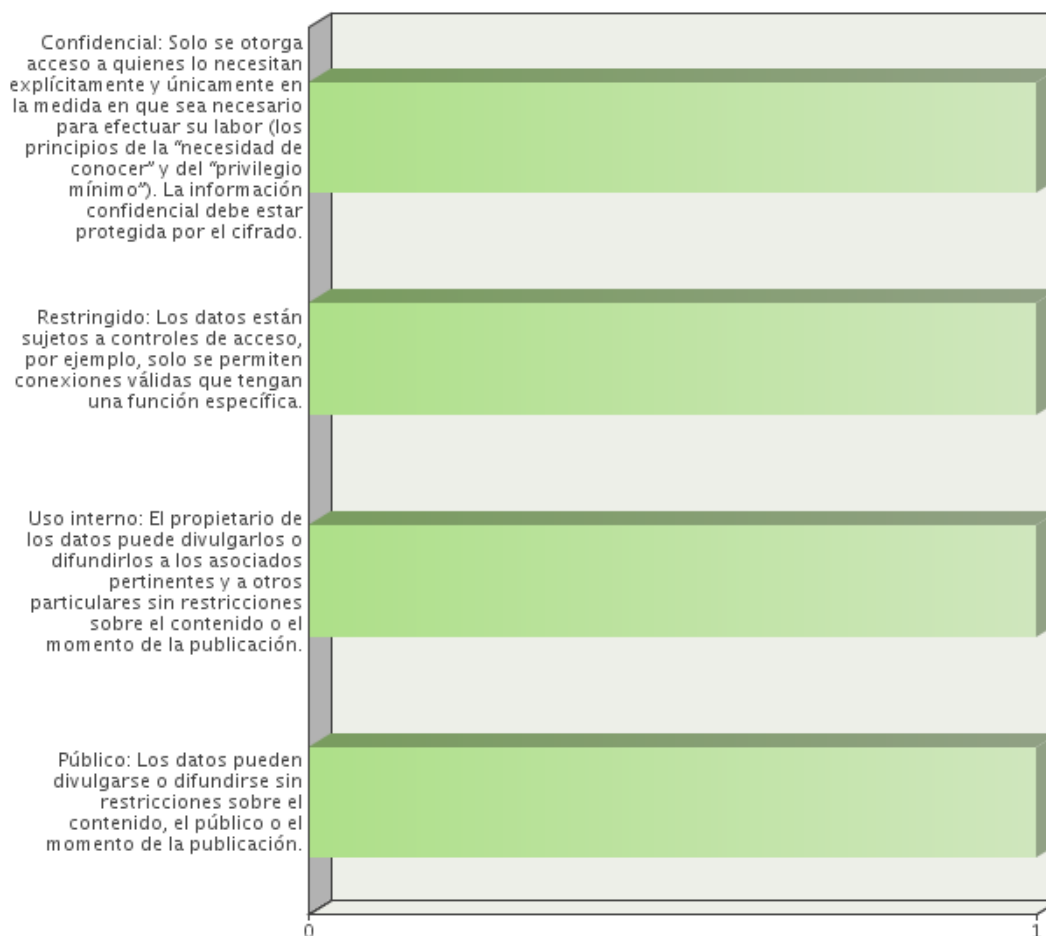
Total answered: 4

Text input

porque aun no existen procedimientos establecidos al respecto para responder con las dos opciones

Question 12

¿Qué nivel de seguridad de datos exigirá en la UPOV?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Confidencial: Solo se otorga acceso a quienes lo necesitan explícitamente y únicamente en la medida en que sea necesario para efectuar su labor (los principios de la "necesidad de conocer" y del "privilegio mínimo"). La información confidencial debe estar protegida por el cifrado.	1	5%	25%
Restringido: Los datos están sujetos a controles de acceso, por ejemplo, solo se permiten conexiones válidas que tengan una función específica.	1	5%	25%
Uso interno: El propietario de los datos puede divulgarlos o difundirlos a los asociados pertinentes y a otros particulares sin restricciones sobre el contenido o el momento de la publicación.	1	5%	25%
Público: Los datos pueden divulgarse o difundirse sin restricciones sobre el contenido, el público o el momento de la publicación.	1	5%	25%
Sum:	4	20%	100%
Not answered:	16	80%	-

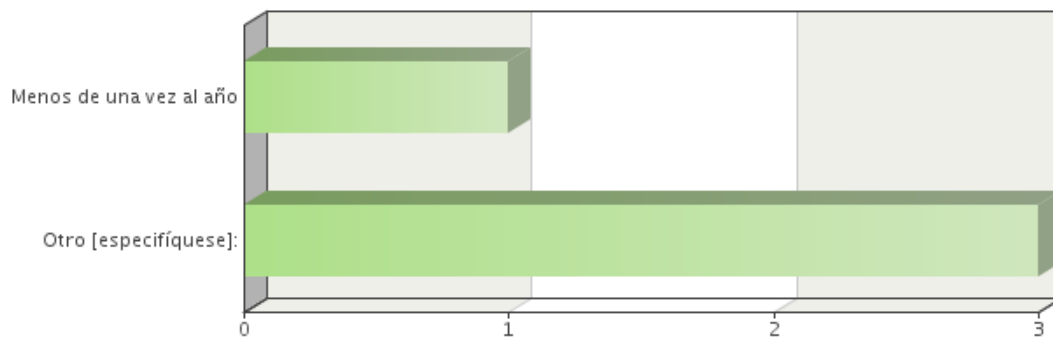
Total answered: 4

Text input

Actualmente las informaciones manejadas por la oficina son de carácter público

Question 13

¿Cada cuánto tiempo suele actualizar el formulario de solicitud o el cuestionario técnico?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Menos de una vez al año	1	5%	25%
Otro [especifíquese]:	3	15%	75%
Sum:	4	20%	100%
Not answered:	16	80%	-
Total answered: 4			

Text input

más de 10 años

Text input

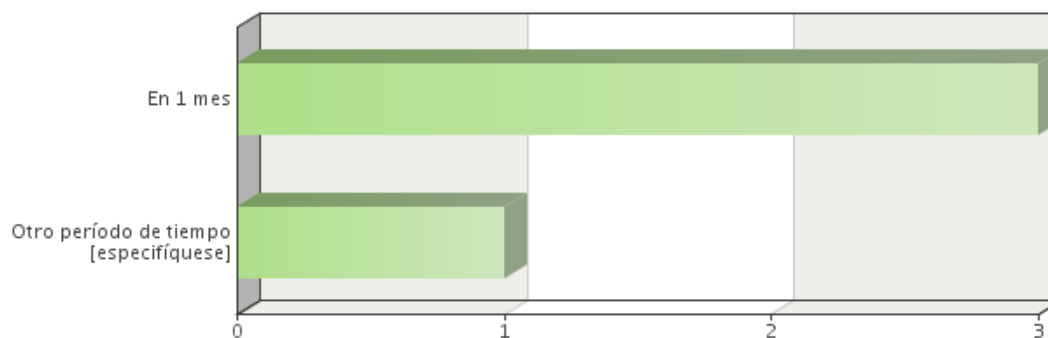
Los formularios se han modificado pocas veces, solo en casos en que ha sido necesario incorporar nuevos antecedentes

Según necesidad

All replies submitted and stored to the EAS questionnaire (in Spanish)

Question 14

¿Con qué prontitud tendría que actualizarse el EAF en caso de que usted actualizara el formulario de solicitud o el cuestionario técnico?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
En 1 mes	3	15%	75%
Otro período de tiempo [especifíquese]	1	5%	25%
Sum:	4	20%	100%
Not answered:	16	80%	-

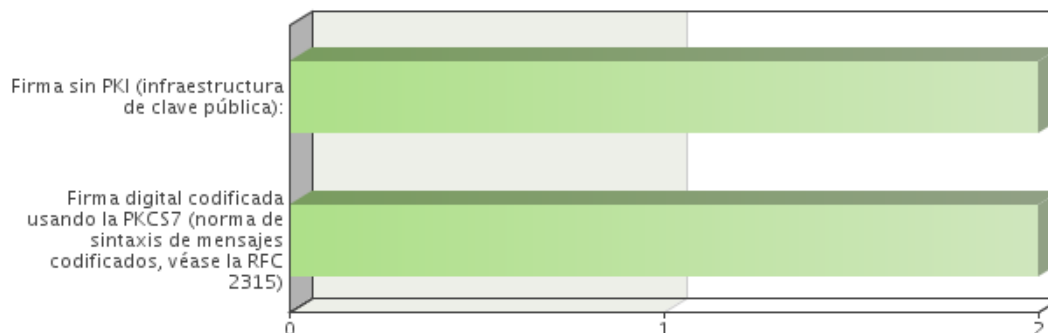
Total answered: 4

Text input

Mayor a un mes

Question 15

¿Sería aceptable para su Oficina que el solicitante firme la solicitud en el EAF antes de transmitirla a su Oficina? En ese caso, ¿cuál de los métodos siguientes podría usarse?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Firma sin PKI (infraestructura de clave pública):	2	10%	50%
Firma digital codificada usando la PKCS7 (norma de sintaxis de mensajes codificados, véase la RFC 2315)	2	10%	50%
Sum:	4	20%	100%
Not answered:	16	80%	-

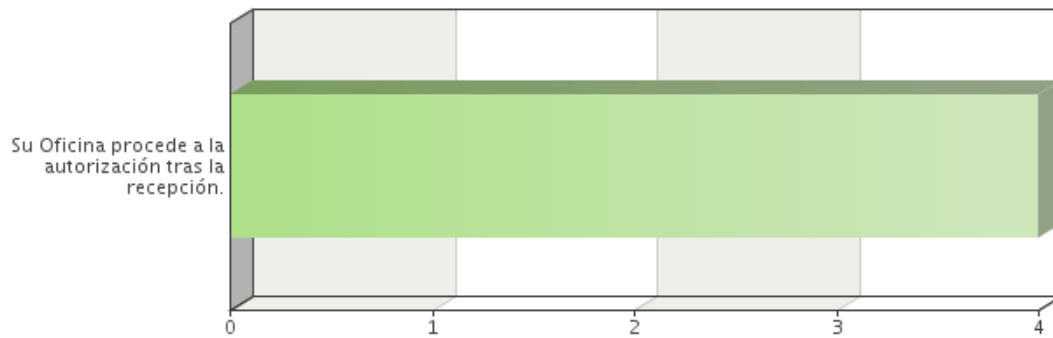
Total answered: 4

Text input

Pero se aclara que, el Servicio Nacional de Calidad y Sanidad Vegetal y de Semillas (SENAVE) aun no cuenta con el sistema de firma electrónica

Question 16

En caso de que su Oficina no aceptara la firma del solicitante por medio del EAF, ¿cuál de las opciones siguientes podría aplicarse?



Frequency table

Choices	Absolute frequency	Relative frequency	Adjusted relative frequency
Su Oficina procede a la autorización tras la recepción.	4	20%	100%
Sum:	4	20%	100%
Not answered:	16	80%	-
Total answered: 4			

Question 17

Nombre del participante:

Text input

Manuel Toro Ugalde

Wilson Usiña Reina

Blanca Núñez

Eduardo Padilla Vaca

Question 18

Organización:

Text input

Subdepartamento Registro de Variedades Protegidas
Division Semillas

Instituto Ecuatoriano de la Propiedad Intelectual-IEPI.

Dirección de Semillas del SENAVE

Servicio Nacional de Inspección y Certificación de Semillas (SNICS)

Contribution from Japan to the EAS questionnaire

1. In what languages can the application form be completed for acceptance by your office?
[Please complete]: *Japanese*

2. Do you have terms and conditions that would need to be accepted by the applicant before the information from the UPOV Electronic Application Form (EAF) could be accepted by your office?

- Yes
- No

Comments: *Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.*

3. How are the terms and conditions accepted by the applicant in your procedure?

- They are included in the application form
- They are included in a separate electronic declaration

Comments: *Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.*

4. What would be the maximum time delay acceptable by your office between the applicant instructing the information to be sent from the EAF and information being received by your office?

- 1 hour
- 1 day

Other [please specify]: *Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.*

5. In which format(s) would you accept data from the EAF [multiple options possible]:

- XML
- E-mail with PDF attachment
- Other [please specify]

Comments: *Although the present system does not assume this situation, if we would accept data, we would accept it in XML format.*

6. If you could accept data in XML format, would you plan to integrate your existing electronic application system with the EAF using the Web services made available by UPOV?

- Yes
- No
- Not sure

Comments: *Because there is not our existing electronic application system.*

7. What is the relationship between the payment of application fess and the filing date in your Office?

- The applicant has a defined period within which to pay the fees, or the application is not accepted [please provide period below*]
- The date of application is the date on which payment is received
- The date of application is not affected by the date of payment, but the payment must be received before the right is granted

■ Other [please specify]: *The date of application is not affected by the date of payment. However, the payment should be done without delay after the application.*

* Payment period

8. Would you be able to accept payment of fees via a bank used by UPOV?

- Yes
- No

Comments:

9. In which currency(ies) can you accept payment [please specify]: *Japanese Yen*

10. For your Office, how long would it be acceptable for the UPOV EAF system to be unavailable?

- 1 hour
- 1 day
- Other [please specify]: *Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.*

11. Would it be acceptable for your Office that data relating to an application with your Office be stored by UPOV in a protected way?

- Yes
- No

Comments: *With respect to the provision of Non-disclosure Japan's own data, consideration is required from the perspective of the data confidentiality such as the protection of personal information. If that data would be provided, a contract is thought to be required.*

12. What level of data security would you require at UPOV?

- Confidential: Only those who explicitly need access are granted and only to the least degree in order to do their work (the 'need to know' and 'least privilege' principles). Confidential information must be protected by encryption.
- Restricted: Data is subject to controls on access such as only allowing valid logons having a specific role.
- Internal Use: Data can be disclosed or disseminated by its owner to appropriate partners and other individuals without any restrictions on content or time of publication
- Public: Data can be disclosed or disseminated without any restrictions on content, audience or time of publication

Comments:

13. How often do you typically update your application form and/or your technical questionnaire?

- Every 3 months
- Once a year
- Less than once a year
- Other [please specify]: *We update our application form less than once a year, and we update our technical questionnaire whenever the relevant test guideline is revised.*

Comments:

Contribution from Japan to the EAS questionnaire

14. How quickly would the EAF need to be updated if your application form and/or technical questionnaire were updated?

- Within 1 hour
- Within 1 day
- Within 1 month
- Other [please specify]:

Comments:

15. Would it be acceptable for your office for the applicant to sign the application in the UPOV EAF before it is transmitted to your office? In that case, which of the following methods could be used?

- Non-PKI (Public Key Infrastructure) Signature:
- Digital signature encoded using PKCS7 (Cryptographic Message Syntax Standard, see RFC 2315)

Comments: *Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.*

16. If your office would not accept the applicant signature via the UPOV EAF, in that case, which of the following options could be implemented?

- Integration with your office system: a web service provided by your office should be called by the EAF in order to get the information about whether the information could be authorized or not
- Authorization is done by your office after receipt

Comments: *Since the present system does not assume the Electronic Application System, at this moment we have no answer to this question.*

18. Organization: *Intellectual Property Division, Food Industry Affairs Bureau, Ministry of Agriculture, Forestry and fisheries, JAPAN*

Contribution from Japan to the EAS questionnaire- October 1, 2015

Akira MIYAKE
Ministry of Agriculture, Forestry and Fisheries
JAPAN

ISF inputs to UPOV EAF Questionnaire

What would be the maximum time delay acceptable concerning the flow of information between PVP offices and EAF?

This has an importance primarily because of the novelty of new varieties therefore it should be one day or less than one day. It would be also advisable to use time stamp which in case of delay would prove the exact date and time of submission of the application.

How PVP offices should accept data from the EAF and if PVP offices can accept data in XML, should they integrate EAF into their existing electronic application systems?

The industry would support the data exchange in XML if data security is provided.

What would be the preferred method concerning the relationship between the payment of application fees and the filing date of application offices?

To make the payment within 30 days after the application submitted would be acceptable.

Would it be acceptable if the application data submitted to PVP offices would be stored by UPOV in a protected way?

Yes, but only XMLs not the attachments

What level of security would you require from UPOV?

Confidential: Only those who explicitly need access are granted and only the least degree in order to do their work (the 'need to know' and 'least privilege' principles). Confidential information must be protected by encryption.

How often should PVP offices update their application forms and/or technical questionnaire?

In principal as needed based on their own internal review processes. As long as the versions on the website are still accepted, it does not matter. The companies also need time to update their databases. Good communication is therefore vital.

How quickly would the EAF need to be updated if the application form or technical questionnaire of PVP offices were updated?

Our preference would be real time immediate update, however it shouldn't be more than one week. The same principles are applicable here as we provided for the previous questions.

Would you support if the applicant could sign the application in the UPOV EAF before it is transmitted to PVP offices? Which method should be used?

In principal yes, if it is legally accepted. Some companies raised their concerns regarding Non PKI method.

Other issues:

- It would be desirable if updates to the forms from the PVP offices would be announced at least 2 months prior to the launch date.
- A central payment system via EAF would be desirable.
- Companies regularly experienced during the test campaigns that UPOV EAF was offline. In the future when the system will be launched this shouldn't last more than one day.