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**INTERNATIONALER VERBAND ZUM SCHUTZ VON PFLANZENZÜCHTUNGEN**  
GENF

**DER RAT**

**Fünfundzwanzigste außerordentliche Tagung**  
**Genf, 11. April 2008**

**PRÜFUNG DER VEREINBARKEIT DES GESETZENTWURFS  
DER REPUBLIK SERBIEN ÜBER DEN SCHUTZ VON ZÜCHTERRECHTEN  
MIT DER AKTE VON 1991 DES UPOV-ÜBEREINKOMMENS**

*Vom Verbandsbüro erstelltes Dokument*

**Einleitung**

1. Mit Note vom 25. Februar 2008 an den Generalsekretär der UPOV übersandte die Ständige Vertretung der Republik Serbien beim Amt der Vereinten Nationen und anderen internationalen Organisationen in Genf in der Anlage ein Schreiben von Herrn Slobodan Milosavljevic, Minister für Land-, Forst- und Wasserwirtschaft der Republik Serbien (nachstehend „Serbien“), der um Prüfung der Vereinbarkeit des „Gesetzentwurfs über den Schutz von Züchterrechten“ (nachstehend der „Gesetzentwurf“) mit der Akte von 1991 des UPOV-Übereinkommens (nachstehend die „Akte von 1991“) ersuchte. Die Note und das Schreiben sind in Anlage I dieses Dokuments wiedergegeben. Anlage II enthält eine von den serbischen Behörden erstellte Übersetzung des Gesetzentwurfs ins Englische.

2. Artikel 34 Absatz 3 des Übereinkommens sieht vor: „Jeder Staat, der dem Verband nicht angehört, sowie jede zwischenstaatliche Organisation ersuchen vor Hinterlegung ihrer Beitrittsurkunde den Rat um Stellungnahme, ob ihre Rechtsvorschriften mit diesem Übereinkommen vereinbar sind. Ist der Beschuß über die Stellungnahme positiv, so kann die Beitrittsurkunde hinterlegt werden.“

Rechtsgrundlage für den Schutz von Pflanzenzüchtungen in Serbien

3. Der Schutz neuer Pflanzensorten wird in Serbien vom Gesetzentwurf nach dessen Annahme geregelt werden. Eine Analyse des Gesetzentwurfs folgt in der Reihenfolge der wesentlichen Rechtsvorschriften der Akte von 1991.

Artikel 1 der Akte von 1991: Begriffsbestimmungen

4. Artikel 2 des Gesetzentwurfs enthält Begriffsbestimmungen, die den Begriffsbestimmungen in Artikel 1 der Akte von 1991 entsprechen.

Artikel 2 der Akte von 1991: Grundlegende Verpflichtung der Vertragsparteien

5. Artikel 1 Absatz 1 des Gesetzentwurfs sieht vor: „Dieses Gesetz enthält Bestimmungen zur Regelung der Voraussetzungen und des Verfahrens für die Erteilung und den Schutz von Züchterrechten (nachstehend: Züchterrechte). Dies erfüllt die grundlegende Verpflichtung in Artikel 2 der Akte von 1991.

Artikel 3 der Akte von 1991: Gattungen und Arten, die geschützt werden müssen

6. Artikel 1 Absatz 2 des Gesetzentwurfs sieht vor: „Dieses Gesetz ist auf alle Pflanzengattungen und -arten anwendbar.“ Dies ist mit Artikel 3 Absatz 2 Nummer ii der Akte von 1991 vereinbar. Es ist darauf hinzuweisen, daß Serbien bei der Hinterlegung seiner Beitrittsurkunde gemäß Artikel 36 Absatz 1 Nummer ii der Akte von 1991 in einer Erklärung amtlich mitteilen muß, daß das Gesetz auf alle Pflanzengattungen und -arten anwendbar ist.

Artikel 4 der Akte von 1991: Inländerbehandlung

7. Artikel 2 Absatz 3 des Gesetzentwurfs sieht vor: „Personen“ bedeutet alle natürlichen und juristischen Personen.“ Artikel 3 des Gesetzentwurfs sieht ferner vor: „Was die Erteilung und den Schutz von Züchterrechten in der Republik Serbien betrifft, haben ausländische Personen gleiche Rechte wie Inländer.“ Diese Bestimmungen sind mit den Anforderungen des Artikels 4 der Akte von 1991 vereinbar.

Artikel 5 bis 9 der Akte von 1991: Schutzworaussetzungen; Neuheit; Unterscheidbarkeit; Homogenität; Beständigkeit

8. Die Artikel 5 bis 9 des Gesetzentwurfs enthalten die Schutzworaussetzungen im Einklang mit den Bestimmungen der Artikel 5 bis 9 der Akte von 1991.

9. Artikel 45 des Gesetzentwurfs setzt eine Übergangsregelung für die Neuheit für „vor kurzem gezüchtete Sorten“ aufgrund des Artikels 6 Absatz 2 der Akte von 1991 um.

Artikel 10 der Akte von 1991: Einreichung von Anträgen

10. Die Artikel 14 bis 16 des Gesetzentwurfs behandeln die Einreichung von Anträgen. Der Gesetzentwurf enthält keine Bestimmungen, die in Widerspruch zu Artikel 10 der Akte von 1991 stehen.

Artikel 11 der Akte von 1991: Priorität

11. Artikel 17 des Gesetzentwurfs enthält Bestimmungen über das Prioritätsrecht, die mit Artikel 11 der Akte von 1991 vereinbar sind.

Artikel 12 der Akte von 1991: Prüfung des Antrags

12. Die Artikel 18 bis 24 des Gesetzentwurfs enthalten Bestimmungen über die Prüfung des Antrags, die mit den Bestimmungen des Artikels 12 der Akte von 1991 vereinbar sind.

Artikel 13 der Akte von 1991: Vorläufiger Schutz

13. Artikel 28 des Gesetzentwurfs enthält Bestimmungen über den vorläufigen Schutz gemäß Artikel 13 der Akte von 1991.

Artikel 14 der Akte von 1991: Inhalt des Züchterrechts

14. In bezug auf Artikel 25 Absatz 4 des Gesetzentwurfs, der die freigestellte Bestimmung nach Artikel 14 Absatz 3 der Akte von 1991 enthält, wird die Streichung von „Jede Vertragspartei kann vorsehen, daß“ empfohlen. Mit der empfohlenen Änderung würde Artikel 25 Absatz 4 des Gesetzentwurfs folgendermaßen lauten:

„~~Jede Vertragspartei kann vorsehen, daß~~ Vorbehaltlich der Artikel 26 und 27 bedürfen die in Absatz 2 Punkte 1) bis 6) erwähnten Handlungen in bezug auf Erzeugnisse, die durch ungenehmigte Benutzung von Erntegut, das unter die Bestimmungen des Absatzes 3 fällt, unmittelbar aus jenem Erntegut hergestellt wurden, der Zustimmung des Züchters, es sei denn, daß der Züchter angemessene Gelegenheit hatte, sein Recht mit Bezug auf das genannte Erntegut auszuüben.“

15. Vorbehaltlich der empfohlenen Änderung im obigen Absatz 14, enthält Artikel 25 des Gesetzentwurfs Bestimmungen über den Inhalt des Züchterrechts, die Artikel 14 der Akte von 1991 erfüllen.

Artikel 15 der Akte von 1991: Ausnahmen von Züchterrecht

16. In bezug auf Artikel 26 Absatz 1 Unterabsatz 3 des Gesetzentwurfs sollte der Verweis auf Absatz 6 wie folgt durch einen Verweis auf Absatz 5 ersetzt werden:

„3) zum Zweck der Schaffung neuer Sorten sowie in Artikel 25 Absätze 2 bis 4 erwähnte Handlungen mit diesen Sorten, es sei denn, daß Artikel 25 Absatz 65 Anwendung findet.“

17. Vorbehaltlich der empfohlenen Änderung im obigen Absatz 16 enthält Artikel 26 Absatz 1 des Gesetzentwurfs die verbindlichen Ausnahmen vom Züchterrecht gemäß Artikel 15 Absatz 1 der Akte von 1991.

18. Artikel 26 Absätze 2 bis 5 des Gesetzentwurfs enthalten Bestimmungen über die freigestellte Ausnahme vom Züchterrecht gemäß Artikel 15 Absatz 2 der Akte von 1991.

#### Artikel 16 der Akte von 1991: Erschöpfung des Züchterrechts

19. In bezug auf Artikel 27 des Gesetzentwurfs wird empfohlen, folgenden Wortlaut des Artikels 16 der Akte von 1991 einzufügen:

„Das Züchterrecht erstreckt sich nicht auf Handlungen hinsichtlich des Materials der geschützten Sorte oder einer in Artikel 25 Absatz 5 erwähnten Sorte, das in der Republik Serbien vom Züchter oder mit der Zustimmung des Züchterrechtsinhabers seiner Zustimmung verkauft oder sonstwie vertrieben worden ist, es sei denn, daß diese Handlungen:“

20. Vorbehaltlich der empfohlenen Änderung im obigen Absatz 19, enthält Artikel 27 des Gesetzentwurfs Bestimmungen über die Erschöpfung des Züchterrechts, die mit Artikel 16 der Akte von 1991 vereinbar sind.

#### Artikel 17 der Akte von 1991: Beschränkungen in der Ausübung des Züchterrechts

21. Die Artikel 30 bis 34 des Gesetzentwurfs enthalten Bestimmungen über Zwangslizenzen, die mit Artikel 17 der Akte von 1991 vereinbar sind.

#### Artikel 18 der Akte von 1991: Maßnahmen zur Regelung des Handels

22. Der Gesetzentwurf scheint keine Bestimmungen zu enthalten, die mit Artikel 18 der Akte von 1991 in Widerspruch stehen.

#### Artikel 19 der Akte von 1991: Dauer des Züchterrechts

23. In bezug auf Artikel 22 des Gesetzentwurfs wird empfohlen, folgende Änderung vorzunehmen:

„Das Züchterrecht für die geschützte Sorte erlischt 20 Jahre nach dessen Erteilung, d. h. oder für Bäume und Reben 25 Jahre nach dessen Erteilung.“

24. Vorbehaltlich der empfohlenen Änderung im obigen Absatz 23, enthält Artikel 22 des Gesetzentwurfs Bestimmungen über die Dauer des Züchterrechts, die Artikel 19 der Akte von 1991 entsprechen.

Artikel 20 der Akte von 1991: Sortenbezeichnung

25. In bezug auf Artikel 11 Absatz 5 des Gesetzentwurfs sollte der Verweis auf Absatz 4 wie folgt durch einen Verweis auf Absatz 3 ersetzt werden:

„Die Pflicht zur Benutzung der eingetragenen Sortenbezeichnung verfällt nicht nach Beendigung des Sortenschutzes gemäß Artikel 22 dieses Gesetzes, sofern nicht gemäß Absatz 43 ältere Rechte dieser Benutzung entgegenstehen.“

26. Vorbehaltlich der empfohlenen Änderung im obigen Absatz 25, enthalten die Artikel 10 bis 13 des Gesetzentwurfs Bestimmungen über Sortenbezeichnungen, die mit Artikel 20 der Akte von 1991 vereinbar sind.

Artikel 21 der Akte von 1991: Nichtigkeit des Züchterrechts

27. Artikel 36 des Gesetzentwurfs sieht Bestimmungen über die Nichtigkeit des Züchterrechts vor, die mit Artikel 21 der Akte von 1991 vereinbar sind.

Artikel 22 der Akte von 1991: Aufhebung des Züchterrechts

28. Artikel 37 Absatz 2 des Gesetzentwurfs sieht vor: „Der Züchter verzichtet darauf [auf das Züchterrecht] durch schriftliche Erklärung an das Institut mit Wirkung ab dem angegebenen Datum oder dem Datum des Eingangs der Erklärung“. Diese Bestimmung steht in Widerspruch zu Artikel 22 Absatz 2 der Akte von 1991, der andere Gründe für die Aufhebung als die in Artikel 22 Absatz 1 der Akte von 1991 vorgesehenen ausschließt. Die Bestimmung nach Artikel 37 Absatz 2 des Gesetzentwurfs scheint auf eine frühzeitige Beendigung des Züchterrechts hinzuweisen. Es wird empfohlen, Artikel 37 Absatz 2 des Gesetzentwurfs in Artikel 35 des Gesetzentwurfs zu verschieben.

29. Vorbehaltlich der empfohlenen Änderung im obigen Absatz 28, enthält Artikel 37 des Gesetzentwurfs die Bestimmungen über die Aufhebung des Züchterrechts gemäß Artikel 22 der Akte von 1991.

Artikel 30 der Akte von 1991: Anwendung des Übereinkommens

30. Hinsichtlich der Verpflichtung, „geeignete Rechtsmittel vorzusehen, die eine wirksame Wahrung der Züchterrechte ermöglichen“ (Artikel 30 Absatz 1 Nummer i der Akte von 1991), verweisen die Artikel 39 bis 43 des Gesetzentwurfs auf die verfügbaren zivilrechtlichen Mittel, Sanktionen und Geldstrafen. Es wird empfohlen, im Gesetzentwurf auf Rechtsvorschriften in der Republik Serbien zu verweisen, die Übergangs- und Zollmaßnahmen vorsehen.

31. Artikel 1 Absatz 3 des Gesetzentwurfs sieht vor: „Die von diesem Gesetz geregelten Handlungen im Zusammenhang mit Züchterrechten werden in der Republik Serbien vom Pflanzeninstitut (nachstehend ‚das Institut‘) vorgenommen.“ Artikel 21 Absatz 1 des Gesetzentwurfs sieht zudem vor, daß der ‚Leiter des Instituts aufgrund der Ergebnisse der Sortenprüfung und auf Vorschlag des Ausschusses die Entscheidung über die Erteilung des

Züchterrechts trifft ...“. Der Gesetzentwurf erfüllt daher die Verpflichtung nach Artikel 30 Absatz 1 Nummer ii der Akte von 1991.

32. Die Artikel 10 (Absatz 2), 16 (Absatz 1) und 21 (Absatz 5) des Gesetzentwurfs enthalten Bestimmungen, die die Verpflichtung zur Veröffentlichung von Informationen gemäß Artikel 30 Absatz 1 Nummer iii der Akte von 1991 erfüllen.

#### Allgemeine Schlußfolgerung

33. Nach Ansicht des Verbandsbüros enthält der Gesetzentwurf die wichtigsten Bestimmungen der Akte von 1991. Nach der Vornahme der empfohlenen Änderungen im Gesetzentwurf (vergleiche Absätze 14, 16, 19, 23, 25 und 28 dieses Dokuments) und nach Verabschiedung des Gesetzes wird Serbien in der Lage sein, den Bestimmungen der Akte von 1991 „Wirkung zu verleihen“, wie in deren Artikel 30 Absatz 2 vorgeschrieben.

34. *Der Rat wird ersucht,*

*a) die Analyse in diesem Dokument zur Kenntnis zu nehmen;*

*b) vorbehaltlich der Aufnahme der empfohlenen Änderungen in den Gesetzentwurf, wie in diesem Dokument ausgewiesen, eine positive Entscheidung über die Vereinbarkeit des Gesetzentwurfs der Republik Serbien über den Schutz von Züchterrechten mit den Bestimmungen der Akte von 1991 des Internationalen Übereinkommens zum Schutz von Pflanzenzüchtungen zu treffen; nach der Aufnahme der Änderungen in den Gesetzentwurf und der Verabschiedung und dem Inkrafttreten des Gesetzes kann die Republik Serbien ihre Urkunde über den Beitritt zur Akte von 1991 hinterlegen;*

*c) das Verbandsbüro zu ersuchen, die Regierung der Republik Serbien bei der Vornahme der in diesem Dokument ausgewiesenen Änderungen des Gesetzentwurfs zu unterstützen, und*

*d) den Generalsekretär zu ermächtigen, die Regierung der Republik Serbien von dieser Entscheidung zu unterrichten.*

ANLAGE I

Note der Ständigen Vertretung der Republik Serbien, eingegangen am 25. Februar 2008,  
mit anliegendem Schreiben des Ministers für Land-, Forst- und Wasserwirtschaft  
an den Generalsekretär der UPOV

STÄNDIGE VERTRETUNG DER REPUBLIK SERBIEN  
BEIM AMT DER VEREINTEN NATIONEN UND ANDEREN  
INTERNATIONALEN ORGANISATIONEN IN GENF

Nr. 303/1

Die Ständige Vertretung der Republik Serbien beim Amt der Vereinten Nationen und anderen internationalen Organisationen in Genf empfiehlt sich Herrn Kamil Idris, Generalsekretär des Internationalen Verbandes zum Schutz von Pflanzenzüchtungen (UPOV), und beeckt sich, das Schreiben von Herrn Slobodan Milosavljevic, Minister für Land-, Forst- und Wasserwirtschaft der Republik Serbien, zu übermitteln.

Die Vertretung der Republik Serbien übersendet in der Anlage den *Gesetzentwurf über den Schutz von Züchterrechten* zur weiteren Erwägung und Prüfung durch die UPOV.

Die Ständige Vertretung der Republik Serbien nimmt diese Gelegenheit wahr, Herrn Kamil Idris, Generalsekretär der UPOV, ihrer vorzüglichen Hochachtung zu versichern.

Hochachtungsvoll

Genf, den 25. Februar 2008

HERRN KAMIL IDRIS, GENERALSEKRETÄR  
INTERNATIONALER VERBAND ZUM SCHUTZ VON PFLANZENZÜCHTUNGEN  
(UPOV)  
GENF

Republik Serbien

MINISTERIUM FÜR LAND-, FORST- UND WASSERWIRTSCHAFT

Belgrad, den 5. Februar 2008

Herrn  
Dr. Kamil Idris  
Generalsekretär  
Internationaler Verband zum  
Schutz von Pflanzenzüchtungen (UPOV)  
34, chemin des Colombettes  
CH-1211 GENF 20

Sehr geehrter Herr Idris,

hiermit beeche ich mich, Ihnen mitzuteilen, daß der Gesetzentwurf über den Schutz von Züchterrechten dem Parlament der Republik Serbien zur Annahme vorgelegt wird.

Die Republik Serbien beabsichtigt, dem Internationalen Übereinkommen zum Schutz von Pflanzenzüchtungen vom 2. Dezember 1961, revidiert in Genf am 10. November 1972, am 23. Oktober 1978 und am 19. März 1991 (UPOV-Übereinkommen), beizutreten.

Ich wäre dankbar, wenn der Rat der UPOV die Vereinbarkeit des Gesetzentwurfs der Republik Serbien mit den Bestimmungen des UPOV-Übereinkommens prüfen würde.

Hochachtungsvoll

Der Minister  
Slobodan Milosavljevic

Anlage: Amtliche Übersetzung des Gesetzentwurfs über den Schutz von Züchterrechten ins Englische

[Anlage II folgt]

ANNEX II / ANNEXE II / ANLAGE II / ANEXO II

[In English only / En anglais seulement /  
Nur auf Englisch / En Inglés solamente]

**REPUBLIC OF SERBIA**

**DRAFT LAW ON THE PROTECTION OF PLANT BREEDER'S RIGHTS**

**Chapter I**  
**GENERAL PROVISIONS**

**Article 1**

This Law contains provisions governing the criteria and the procedure for grant and protection of plant breeder's rights (hereinafter referred to as: breeder's rights).

The Law will apply to all plant genera and species.

Breeder's rights activities, regulated by this Law, in the Republic of Serbia are carried out by the Plant Institute (hereinafter referred to as "the Institute").

For the purpose of monitoring the situation in the field of protection of breeder's rights, and issuing of the professional opinions and proposals within the Ministry of Agriculture, Forestry and Water Management (hereinafter referred to as "the Ministry") will be established National Plant Breeder's Rights Board.

The National Plant Breeder's Rights Board (hereinafter referred to as "the Board") from paragraph 4 of this Article is appointed by the Minister of Agriculture, Forestry and Water Management (hereinafter referred to as "the Minister").

The Minister can establish other working bodies for the implementation of specific activities from paragraph 4 of this Article.

**Article 2**

For the purpose of this Law:

1) "Plant variety" (hereinafter referred to as "the variety") means a plant grouping within a single botanical taxon of the lowest known rank, which grouping, irrespective of whether the conditions for the grant of a breeder's right are fully met, can be:

- defined by the expression of the characteristics resulting from a given genotype or combination of genotypes,
- distinguished from any other plant grouping by the expression of at least one of the said characteristics and
- considered as a unit with regard to its suitability for being propagated unchanged;

- 2) “Protected variety” means a variety protected in accordance with the provisions of this Law;
- 3) “Person” means any natural or legal person;
- 4) “National person” means a person who has a domicile, or corporate domicile, in the Republic of Serbia;
- 5) “Foreign person” means a person who has a domicile, or corporate domicile, outside the Republic of Serbia;
- 6) “Breeder” means
  - the person who bred, or discovered and developed, a variety,
  - the person who is the employer of the aforementioned person or who has commissioned the latter’s work, or
  - the successor in title of the first or second aforementioned person, as the case may be;
- 7) “Breeder’s right” means the right of the breeder provided for in this Law;
- 8) “Register of Applications for Plant Breeder’s Rights” means the register of all applications filed for protection of breeder’s rights;
- 9) “Register of Protected Plant Varieties” means the register of protected varieties and granted breeder’s rights;
- 10) “UPOV” means the International Union for the Protection of New Varieties of Plants.

### Article 3

With respect to grant and protection of breeder’s rights in the Republic of Serbia foreign persons shall enjoy the same rights as national persons.

### Article 4

In the proceedings before the Institute, a foreign person shall be represented by his authorized representative who has domicile in the Republic of Serbia.

## **Chapter II**

### **CONDITIONS FOR THE GRANT OF THE BREEDER’S RIGHT**

### Article 5

The breeder’s right shall be granted in accordance with the provisions of this Law where a variety is new, distinct, uniform, and stable and given a denomination which is acceptable for granting protection.

## Article 6

A variety shall be considered to be new if, at the date of filing of the application for a breeder's right, propagating or harvested material of the variety has not been sold or otherwise disposed of to others, by or with the consent of the breeder or his successor, for purposes of exploitation of the variety in the Republic of Serbia earlier than one year, or within foreign territory earlier than four years and in the case of vine and tree varieties earlier than six years before the filing date.

The variety referred to in paragraph 1 of this Article shall not lose its novelty if it is disposed of to others under the following conditions:

- 1) by a contract on the transfer of rights to the successor in title;
- 2) by a contract on the multiplication of the propagating material in the name of the breeder, provided that the multiplied propagating material is returned to the breeder, and that the multiplied propagating material is not used for the production of another variety;
- 3) by a contract on testing in trial fields or laboratory for the purpose of variety evaluation.

## Article 7

A variety shall be considered to be distinct if it is clearly distinguishable from any other variety whose existence is a matter of common knowledge at the time of the filing of the application.

In particular, the filing of an application for the granting of a breeder's right or for the entering of another variety in an official register of varieties, in any country, shall be deemed to render that other variety a matter of common knowledge from the date of the application, provided that the application leads to the granting of a breeder's right or to the entering of the said other variety in the official register of varieties, as the case may be.

The Minister shall prescribe the procedural arrangements of variety distinctness evaluation.

## Article 8

A variety shall be considered to be uniform if, subject to the variation that may be expected from the particular features of its propagation, it is sufficiently uniform in its relevant characteristics.

The Minister shall prescribe the procedural arrangements of variety uniformity evaluation.

### Article 9

A variety shall be considered to be stable if its relevant characteristics remain unchanged after repeated propagation or, in case of a particular cycle of propagation, at the end of each such cycle.

The Minister shall prescribe the procedural arrangements of variety stability evaluation.

### Article 10

The applicant for a breeder's right shall propose the variety denomination in his application.

Any interested person may, within 90 days as of the date of publication of the proposed denomination and entry into the Register of Applications for Plant Breeder's Rights (hereinafter referred to as: "the Register of Applications"), file an objection to the proposed variety denomination.

The Institute shall notify the applicant about the objection referred to in paragraph 2 of this Article and invite him to submit a reply to the objector within 30 days as of the date of the receipt of notification.

In his reply to the objection, the applicant may propose a new denomination of the variety.

Where the proposed denomination does not comply with the provisions of this Law, the Institute shall order the applicant to submit a proposal for a new denomination within 30 days as of the date of receipt of the notification.

Where the applicant fails to submit a proposal for a new variety denomination within the prescribed time limit, the application shall be rejected.

Head of the Institute shall approve the denomination of a variety in the decision on the grant of the breeder's right.

### Article 11

The variety shall be designated by a denomination which will be its generic designation. The denomination must enable the variety to be identified. It may not consist solely of figures except where this is an established practice for designating varieties. It must not be liable to mislead or to cause confusion concerning the characteristics, value or identity of the variety or the identity of the breeder. In particular, it must be different from every denomination which designates, in the territory of any member of UPOV, an existing variety of the same plant species or of a closely related species.

Where the variety is already protected by a member of UPOV or where an application for the protection of the same variety is filed in a member of UPOV, only the variety denomination which has been proposed or registered in that other member of UPOV may be submitted by the breeder to the Institute. The Institute shall register the denomination so

submitted, unless it considers the denomination unsuitable within the Republic of Serbia. In the latter case, it shall require the breeder to submit another denomination.

Prior rights of third persons shall not be affected. If, by reason of a prior right, the use of the denomination of a variety is forbidden to a person who, in accordance with the provisions of paragraph 4 and 5, is obliged to use it, the Institute shall require the breeder to submit another denomination for the variety.

Any person who offers for sale or markets the propagating material of a protected variety shall use the registered variety denomination.

The obligation to use the registered variety denomination shall not cease after the expiration of variety protection under Article 22 of this Law, except where, in accordance with the provisions of paragraph 4, prior rights prevent such use.

When a protected variety is offered for sale or marketed, a trademark, trade name or another similar identification may be associated with the registered variety denomination provided that the denomination is easily recognizable.

The Minister shall prescribe which plant species are considered closely related.

The Institute shall ensure that the authorities of all the other Contracting Parties are informed of matters concerning variety denominations, in particular the submission, registration and cancellation of denominations. Any authority may address its observations, if any, on the registration of a denomination to the authority which communicated that denomination.

## Article 12

The Institute shall reject the application for variety denomination if it determines that the proposed denomination is a designation which:

- 1) does not enable the variety to be identified;
- 2) is contrary to Article 11 of this Law.

## Article 13

The Institute shall cancel any registered variety denomination if it is contrary to Article 11 or based on the court decision forbidding the use of a given denomination.

When a variety denomination has been cancelled, the Institute shall notify the right holder thereof and invite him to submit a proposal for a new variety denomination within 30 days as of the date of receipt of such notification.

## **Chapter III**

### **GRANT OF THE PLANT BREEDER`S RIGHT**

#### **1. Application**

##### Article 14

The procedure for the grant of the breeder's right shall be initiated based on the application for the grant of the breeder's right filed by the breeder or his authorized representative to the Institute.

With the application referred to in paragraph 1 of this Article, the breeder, or his authorized representative shall submit the information on the breeding history of the variety and at the request of the Institute the identity samples of the propagating material of the variety for the purpose of testing.

When the production of a variety requires the repeated use of another variety, i.e. of its components, the applicant may request in his application that the documents and results of component testing be treated as confidential.

Where two or more breeders have independently bred, or discovered and developed a variety, the breeder who was the first to file the application for breeder's right with the Institute shall avail himself of the first filing date.

The Minister shall more closely prescribe the form and the contents of the application envisaged in paragraph 1 of this Article, and the regulations related to paragraph 2 of this Article.

##### Article 15

Upon the receipt of the application, the Institute shall determine whether the application is complete, i.e. whether it meets the conditions prescribed by the provisions of this Law.

When it determines that the application is not complete, the Institute shall, stating the reasons, invite the applicant to eliminate the determined defects within 30 days as of the date of receipt of such notification.

Where the applicant fails to eliminate the defects within the prescribed period of time, the application shall be rejected.

##### Article 16

Any application assessed as complete by the Institute shall be entered into the Register of Applications and shall be published in the official Gazette of the Institute.

The data from the Register of Applications are public, except for information to be treated as confidential in accordance with paragraph 3 of Article 14 of this Law.

The Minister shall more closely prescribe the form and the manner of keeping of the Register of Applications.

## **2. Right of Priority**

### **Article 17**

The breeder or his authorized representative who has duly filed an application for the grant of a breeder's right with the competent authority of another UPOV member, shall enjoy the right of priority for a period of 12 months. In order to benefit from the right of priority, the breeder shall, in the subsequent application, claim the priority of the first application.

In order to avail himself of the right of priority, the applicant shall submit to the Institute within 90 days of filing the application the proof regarding the priority date, which consist of a copy of the documents which constitute the first application, certified to be a true copy by the authority with which that application was filed, and samples or other evidence that the variety which is the subject matter of both applications is the same.

If the Institute accepts the proof regarding the priority date, the application shall be deemed to have been filed at the date of the filing of the first application. The day of filing shall not be included in the latter period.

The breeder shall be allowed a period of two years after the expiration of the period of priority or, where the first application is rejected or withdrawn, a period of 12 months after such rejection or withdrawal, in which to furnish, to the Institute, any necessary information, document or material required for the purpose of the examination.

## **3. Examination of the Application**

### **Article 18**

The variety shall be examined with the aim to establish whether it fulfills the conditions for grant of breeder's right.

For the purposes of examination, the Institute may require the breeder to furnish all the necessary information, documents or material. The material should be submitted in the quantity and in the manner prescribed by the Minister.

Where the breeder fails to fulfill the requirements from paragraph 2 of this Article, his application shall be rejected.

### **Article 19**

In the course of the examination, the Institute may grow the variety or carry out other necessary tests, cause the growing of the variety or the carrying out of other necessary tests, or take into account the results of growing tests or other trials which have already been carried out.

In particular, the Institute may assign the variety testing upon a person who meets the requirements for the performance of such testing in terms of personnel, equipment and facilities (hereinafter referred to as: the trial contractor).

The Minister shall more closely prescribe the conditions from paragraph 2 of this Article.

The Minister shall establish the fulfillment of the conditions from paragraph 2 of this Article.

For the technical examination of a variety the Institute can use the examination results obtained in another UPOV member.

## Article 20

The Institute shall conclude with the trial contractor a contract on variety testing.

### **4. Decision on Grant of the Breeder's Right**

## Article 21

Head of the Institute shall, based on the results of variety testing and the proposal of the Board, pass the decision on grant of the breeder's right or rejection of the application for grant of the breeder's right.

The variety that has been granted protection shall be entered into the Register of Protected Plant Varieties by the Institute.

The data from the Register of Protected Plant Varieties are public.

The Minister shall more closely prescribe the contents and the manner of keeping of the Register of Protected Plant Varieties.

The list of protected varieties, also including the data on variety denomination, the breeder, his domicile, or corporate domicile, and other data shall be published in the "Official Gazette of the Republic of Serbia" and official Gazette of the Institute.

## Article 22

The breeder's right to the protected variety shall expire 20 years after the grant thereof, i.e. 25 years after the grant thereof in case of trees and vines.

The date of the grant of the breeder's right shall be the date of the decision of the compliance with the conditions of protection.

## **5. Opposition**

### **Article 23**

A person who deems that an applicant is not entitled to the breeder's right or that he is not entitled to the right of priority, may file an opposition to the entry of the application into the Register of Applications, i.e. to the entry of the variety into the Register of Protected Plant Varieties with the Institute within 90 days from the date of publication of the application or from the date of publication of the decision concerning the grant of the breeder's right.

### **Article 24**

The opposition to an entry into the Register of Protected Plant Varieties may be filed by person who deems that the applicant is not the breeder, that the variety is not new, distinct, uniform or stable or that the variety denomination fails to meet the conditions regarding the protected variety denomination.

The Institute may order additional testing of the variety for the purpose of assessing the statements cited in the opposition.

At the request by the Institute, the plaintiff shall within 30 days submit additional information and documents which his objection has been based on.

Where the plaintiff fails to fulfill the request envisaged in paragraph 3 of this Article, the opposition shall be rejected.

## **Chapter IV**

## **RIGHTS OF THE BREEDER**

### **1. Scope of the Breeder's Right**

### **Article 25**

The breeder shall have the right to have his name i.e. title cited in the application, documentation, registers, public documents and publications.

Subject to Articles 26 and 27, the following acts in respect of the propagating material of the protected variety shall require the authorization of the breeder:

- 1) production or reproduction (multiplication);
- 2) conditioning for the purpose of propagation;
- 3) offering for sale;
- 4) selling or other marketing;
- 5) exporting and importing;

6) stocking for any of the purposes referred to in subsections 1) to 5).

The breeder shall determine the conditions for granting such authorization.

Subject to Articles 26 and 27, the acts referred to in paragraph 2 of this Article in respect of harvested material, including entire plants and parts of plants, obtained through the unauthorized use of the propagating material of the protected variety, shall require the authorization of the breeder, unless the breeder has had reasonable opportunity to exercise his right in relation to the said propagating material.

Each Contracting Party may provide that, subject to Articles 26 and 27, the acts referred to in items 1) to 6) of paragraph 2 in respect of products made directly from harvested material of the protected variety falling within the provisions of paragraph 3 through the unauthorized use of the said harvested material shall require the authorization of the breeder, unless the breeder has had reasonable opportunity to exercise his right in relation to the said harvested material.

The provisions of paragraphs 2 to 4 shall also apply in relation to:

- 1) varieties which are essentially derived from the protected variety, where the protected variety is not itself an essentially derived variety;
- 2) varieties which are not clearly distinguishable from the protected variety;
- 3) varieties whose production requires the repeated use of the protected variety.

A variety shall be deemed to be essentially derived from another variety (the initial variety) when:

- 1) it is predominantly derived from the initial variety, or from a variety that is itself predominantly derived from the initial variety, while retaining the expression of the essential characteristics that result from the genotype or from the combination of genotypes of the initial variety;
- 2) it is clearly distinguishable from the initial variety;
- 3) except for the differences which result from the act of derivation, it conforms to the initial variety in the expression of the essential characteristics that result from the genotype or combination of the genotypes of the initial variety.

Essentially derived varieties may be obtained for example by the selection of a natural or induced mutant, or of a somaclonal variant, the selection of a variant individual from plants of the initial variety, backcrossing, or transformation by genetic engineering.

## **2. Exceptions to the Breeder's Right**

### **Article 26**

The authorization of the breeder shall not be necessary for acts:

- 1) done privately and for non-commercial purposes;
- 2) done for experimental purposes;
- 3) done for the purpose of breeding other varieties, and except where the provisions of Article 25 paragraph 6 apply, acts referred to in Article 25 paragraphs 2 to 4 in respect of such other varieties.

In relation to varieties included in a list of agricultural plant species prescribed by the Minister, the breeder's right shall not be infringed by a farmer who, within reasonable limits and subject to the safeguarding of the legitimate interests of the breeders, uses for propagating purposes, on his own holding, the product of the harvest which he has obtained by planting, on his own holding, the protected variety or a variety covered by paragraph 6 section 1) and 2) of Article 25 (hereinafter "farm saved seed").

When using farm saved seed the farmers are obliged to pay to the breeder reasonable remuneration for the use of farm saved seed which is significantly lower than the level of remuneration of the purchased seed. Small farmers are exempted from the obligation to pay remuneration for the use of the farm saved seed. The criteria for small farmers will be prescribed by the Minister.

The breeder is entitled to request necessary information in writing from farmers in relation to the farm saved seed.

Varieties of fruit, ornamentals, vegetables and forest trees shall be excluded from the provisions of paragraph 2 of this Article.

## **3. Exhaustion to the Breeder's Right**

### **Article 27**

The breeder's right shall not extend to acts concerning any material of the protected variety, which has been sold or otherwise marketed by the breeder, or with the authorization of the holder of the breeder's right, in the Republic of Serbia, unless such acts involve:

- further propagation of the variety in question, or
- exports of the material of the variety into a country which does not protect varieties of the plant genus or species to which the variety belongs, except to where the exported material is for final consumption purposes.

For the purposes of paragraph 1, "material" means, in relation to a variety,

- propagating material of any kind,
- harvested material, including entire plants and parts of plants, and
- any product made directly from the harvested material.

## Article 28

Throughout the period starting from the publication of the application for a breeder's right to the passing of the decision of a breeder's right, the holder of the breeder's right shall be entitled to an appropriate remuneration from any person who has carried out acts which, once the right is granted, required the right holder's authorization.

# **Chapter V**

## **ASSIGNMENT OF RIGHTS, CONTRACTUAL AND COMPULSORY LICENSES**

### **1. Assignment and contractual licenses**

#### Article 29

The breeder may assign his rights with respect to the protected variety.

The contractual license must be in written form and include provisions on the scope of the rights, the terms of the license and the amount of remuneration agreed upon.

The breeder shall submit the contractual license to the Institute for entry into the Register of Protected Plant Varieties.

#### Article 30

Where the holder of a breeder's right refuses to grant a contractual license or sets unjustified conditions for such a license, the Institute may, following the evaluation of each individual case, grant a compulsory license only for reasons of public interest at the request of any interested person, if the breeder, alone or through another person, fails to exploit or inadequately exploits the protected variety in the Republic of Serbia.

The interested person shall be under the obligation to prove that, prior to filing the request referred to in paragraph 1 of this Article, he has tried to obtain from the breeder the authorization for the acts covered by Article 25 of the Law under reasonable economic conditions and terms, and that he was not granted such authorization within a reasonable period of time.

The interested person referred to in paragraph 1 of this Article may only be a person who proves to dispose of capacities and facilities necessary for economic exploitation of a protected plant variety.

#### Article 31

The request for the grant of a compulsory license may not be filed prior to the expiration of the period of four years from the filing date of the application, i.e. 3 years from the date of the grant of a breeder's right, whichever of the periods expires later.

The compulsory license shall not be granted if the holder of a breeder's right proves that there are reasons justifying his failure to exploit or adequately exploit the protected variety.

#### Article 32

A person to whom a compulsory license has been granted shall pay the holder of the breeder's right an equitable remuneration determined by mutual agreement of the parties. When there is no agreement on the amount and modality of payment, the remuneration shall be determined by the competent court.

#### Article 33

The Institute may cancel the compulsory license when the person who has been granted the license fails to fulfill the conditions under which the license was granted.

#### Article 34

The breeder shall be under the obligation to deliver, at the request of the Institute and following the payment of an appropriate remuneration, the quantity of the propagating material necessary for the exploitation of the compulsory license, to the person to whom the compulsory license has been granted.

### **Chapter VI** **TERMINATION OF THE BREEDER'S RIGHT**

#### Article 35

The breeder's right shall terminate before the expiration of the term referred to in Article 22 of this Law when the breeder dies or ceases to exist, i.e. has no heirs or successors in rights, as of the date of death or ceased existence.

#### **1. Nullity of the Breeder's Right**

#### Article 36

The Institute shall declare a breeder's right granted by it null and void, *ex officio* or at the proposal of the interested party when it is established:

- 1) that the variety was not new or not distinct at the time of the grant of the breeder's right;
- 2) that, where the grant of the breeder's right has been essentially based upon information and documents furnished by the breeder, the variety was not sufficiently stable or was not uniform at the time of the grant of the breeder's right;
- 3) that the breeder's right has been granted to a person who is not entitled to it, unless it is transferred to the person who is so entitled.

After declaring the decision on the grant of a breeder's right null and void, the Institute shall delete that variety from the Register of Protected Plant Varieties.

## **2. Cancellation of the Breeder's Right**

### **Article 37**

The Institute may cancel the breeder's right and enter the data thereof in the Register of Protected Plant Varieties if:

- 1) it is established that the variety is no longer uniform or stable;
- 2) the breeder renounces to it by written declaration addressed to the Institute as of the date stated or as of the date of receipt of the declaration;
- 3) the breeder fails to provide the Institute with the information, documents or material deemed necessary for verifying the maintenance of the variety;
- 4) the breeder fails to propose, where the denomination of the variety is cancelled after the grant of the right, another suitable denomination within 30 days;
- 5) the breeder fails to pay the annual fees to keep his right in force.

## **Chapter VII**

### **FEES**

### **Article 38**

The fees for the testing of varieties in trial fields and laboratory and the annual fees for a breeder's right shall be paid by the breeder.

The amount of fees referred to in paragraph 1 of this Article shall be determined by the Government of the Republic of Serbia.

## **Chapter VIII**

### **JURIDICAL PROTECTION OF THE BREEDER**

### **Article 39**

A person that violates the rights of the breeder provided in this Law shall be responsible for the damage according to the general provisions on damage compensation.

The breeder whose right has been violated may, in addition to damage compensation, also request an injunction to prohibit the committing or continuation of committing an infringement of the breeder's right by suspension of the activity resulting in such

infringement, and that the expenses of the proceedings for infringement be charged to the defendant.

#### Article 40

The complaint against the violation of the breeder's right may be filed within three years after the date when the prosecutor has become aware of the violation.

The complaint can not be filed after five years following the violation.

Proceedings according to complaints for infringement of the breeder's right shall be treated expeditiously, and will be done by competent Court.

### **Chapter IX** **SUPERVISION**

#### Article 41

The Ministry shall perform the supervision over the implementation of this Law and the regulations passed on the basis of this Law, also as activities carried out by the Institute.

The Institute has the obligation to inform the Ministry about the activities carried out by the Institute.

### **Chapter X** **PENALTY PROVISIONS**

#### Article 42

Any person commits an offence and shall be liable to a fine of 300,000 to 3,000,000 CSD if he:

- 1) willfully offers for sale or markets propagating material of a variety protected in Republic of Serbia without using the registered variety denomination;
- 2) willfully makes use of the registered variety denomination of a variety protected in Republic of Serbia for another variety of the same plant species or closely related species;
- 3) fails to comply with the decision of the inspector taken for the implementation of this Law.

The responsible person in a legal entity shall be liable to a fine of 50,000 to 200,000 CSD for the commercial offences referred to in paragraph 1 of this Article.

In case of offences referred to in paragraph 1 of this Article, in addition to the fine, any legal person may also be prohibited to conduct a certain business activity, i.e. the responsible

person in the legal entity may be prohibited to discharge certain duties for a period from six months to three years.

#### Article 43

Any person shall be liable to a fine of 100,000 to 1,000,000 CSD if he fails to deliver, at the request of the competent authority, the quantity of propagating material necessary for the exploitation of the compulsory license referred to in Article 34 of this Law.

Responsible person in a legal entity shall be liable to a fine of 10,000 to 50,000 CSD in case of the offences referred to in paragraph 1 of this Article.

### **Chapter XI** **TRANSITIONAL AND FINAL PROVISIONS**

#### Article 44

All Applications for plant breeder's rights that have been submitted prior to the date of entry into force of this Law, and for which the grant of breeder's rights has not been completed shall be granted in keeping with the provisions of this Law.

#### Article 45

Notwithstanding Article 6, a variety may still be considered new on the date of entry into force of this Law, and the application for the said variety could be filed within the 5 years following the date of entry into force of this Law if the variety:

- 1) has been entered in the Official Register of Varieties Admitted to Trade, or
- 2) has been the subject of a breeder's right in a member of UPOV, or is the subject of an application in a member of UPOV, provided that the application subsequently leads to the granting of the breeder's right, or
- 3) is the subject of proof acceptable to the Institute concerning the existence and the date on which the variety ceased to be new under the provisions of Article 6.

#### Article 46

Until the adoption of regulations by the authority provided for in this Law, the regulations passed in keeping with the Law on the Protection of Agricultural Crop and Forest Plant Varieties ("Official Gazette of FRY", no. 28/2000 and "Official Gazette of RS", no. 101/05 / second law) shall be applied, provided that they are not contrary to the provisions of this Law.

#### Article 47

The Law on the Protection of Agricultural and Forest Plant Varieties (“Official Gazette of FRY”, no. 28/2000 and “Official Gazette of RS”, no. 101/05 / second law) shall cease to be valid on the day this Law enters into force.

#### Article 48

This Law shall enter into force on the eighth day following its publication in the “Official Gazette of the Republic of Serbia”.

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Fin de l'annexe II et du document/  
Ende der Anlage II und des Dokuments/  
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